

Transport calculations for single molecules based on DFT

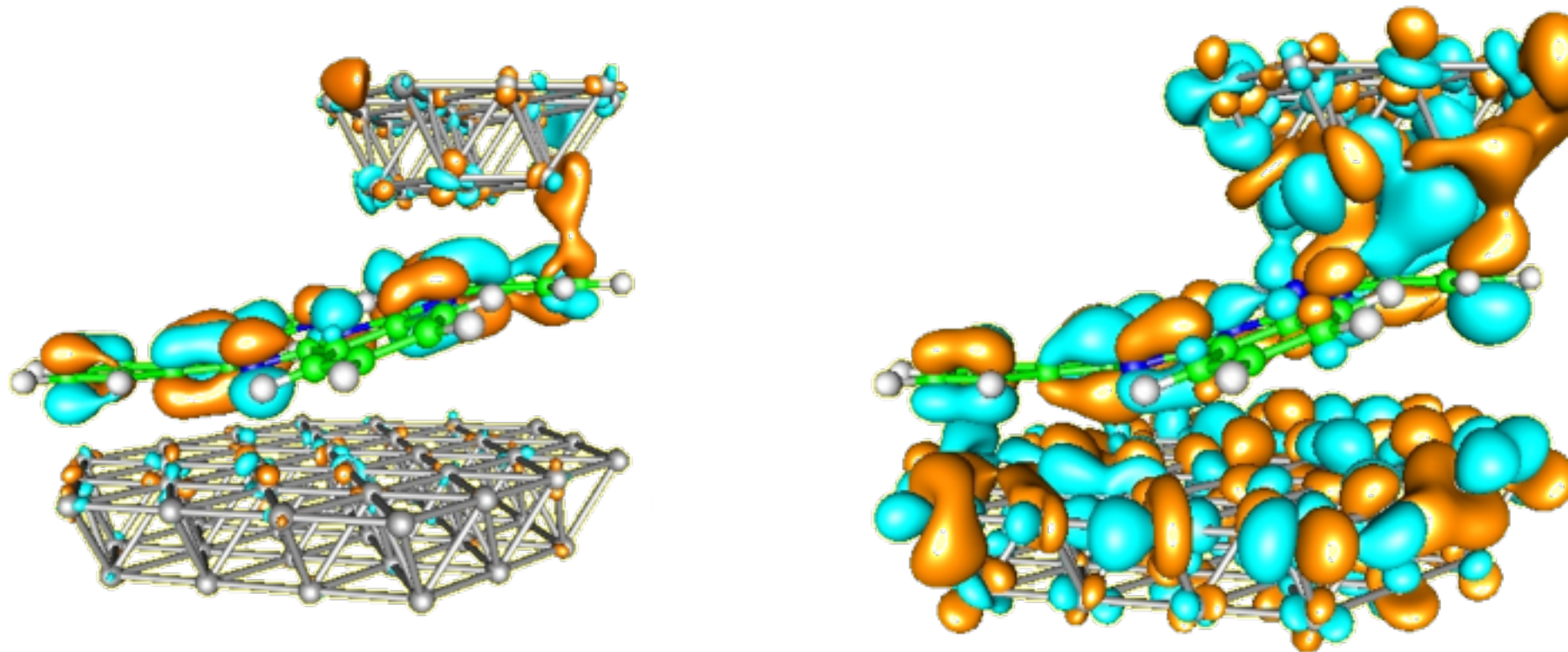
Ferdinand Evers

Institute of Nanotechnology
and

Institut für Theorie der Kondensierten Materie

Karlsruhe Institute of Technology

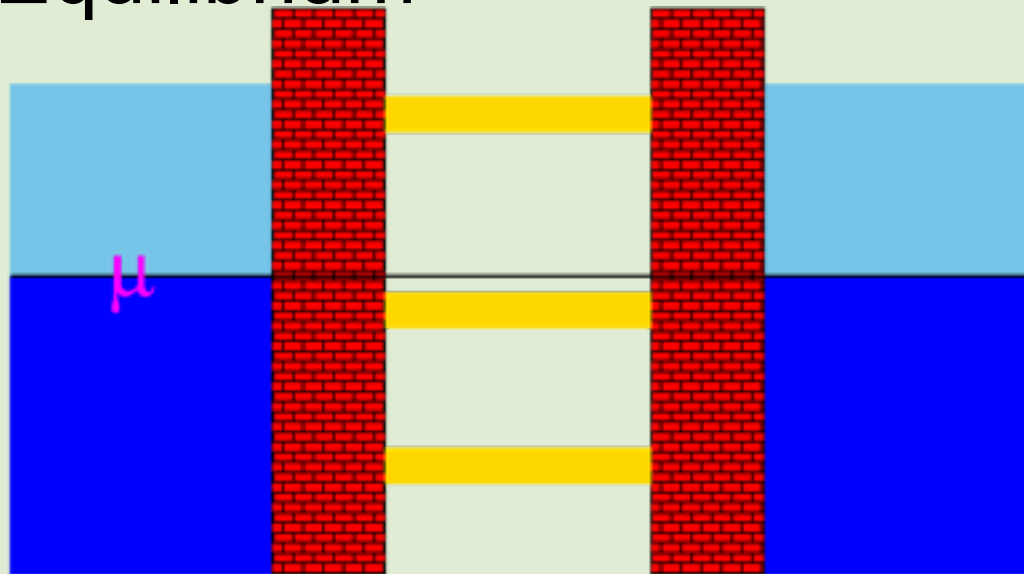
I. Pedagogical part: Basic transport theory



Transport in the scattering picture

Equilibrium vs. nonequilibrium

Equilibrium



Lumo: ϵ_L

Homo: ϵ_H

level broadening $\Gamma_{H,L}$

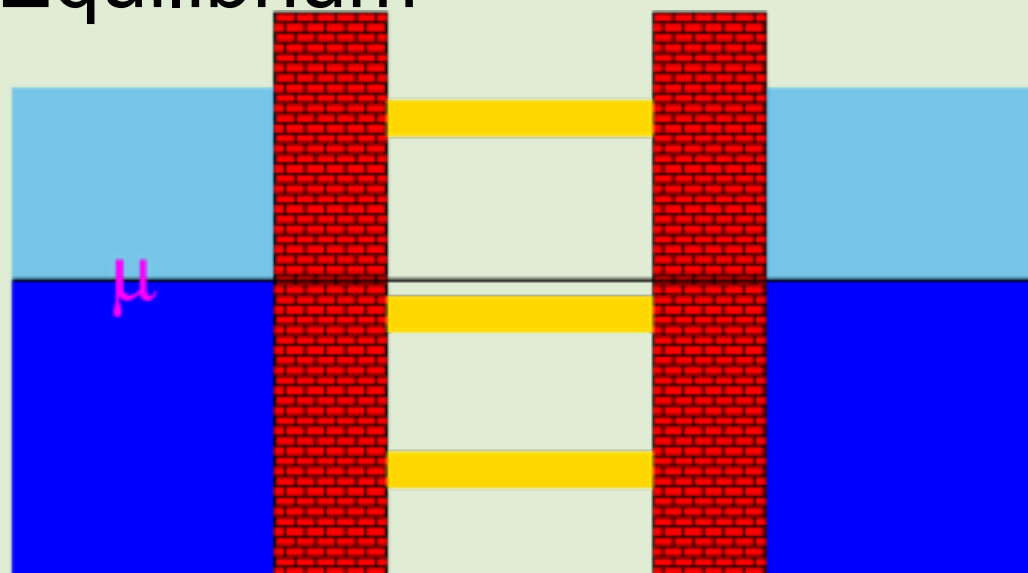
electrochemical potential: μ

temperature: T

Transport in the scattering picture

Equilibrium vs. nonequilibrium

Equilibrium



Lumo: ϵ_L

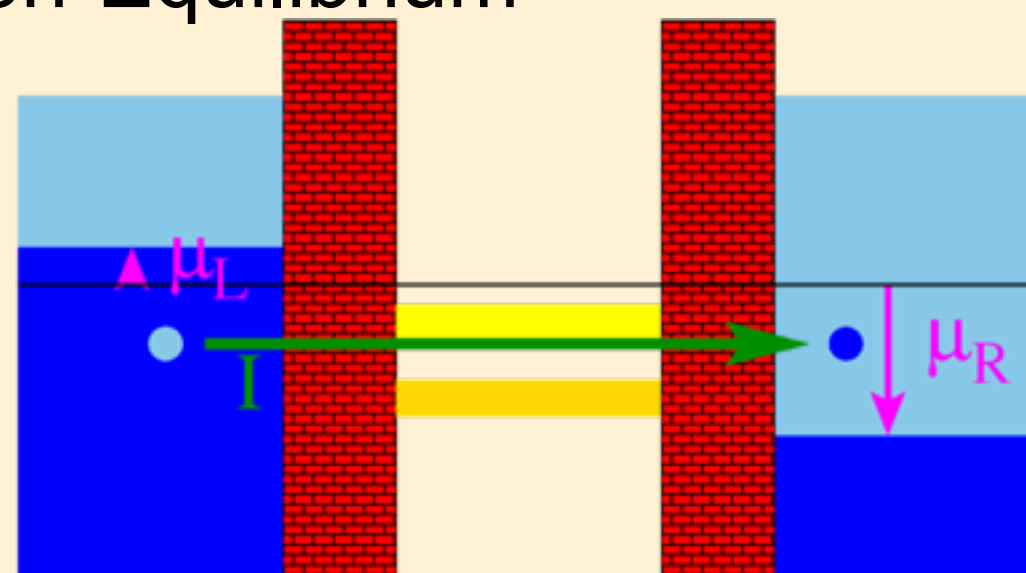
Homo: ϵ_H

level broadening $\Gamma_{H,L}$

electrochemical potential: μ

temperature: T

Non-Equilibrium



left/right electrochemical potential: $\mu_{L,R}$

left/right temperature: $T_{L,R}$

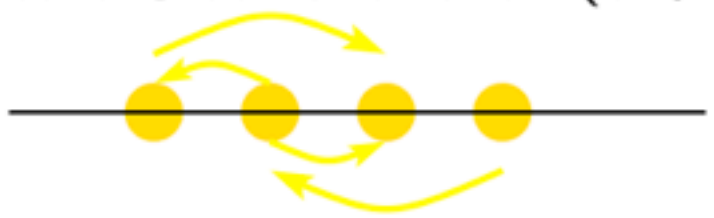
Green's function formalism

bare Green's function (of \mathcal{M}):

$$G(\mathbf{x}, \mathbf{x}'; t - t') = \langle \mathbf{x} | G(t - t') | \mathbf{x}' \rangle$$
$$G_{\mathcal{M}}^{-1}(E) = E - H_{\mathcal{M}}$$

Green's function formalism

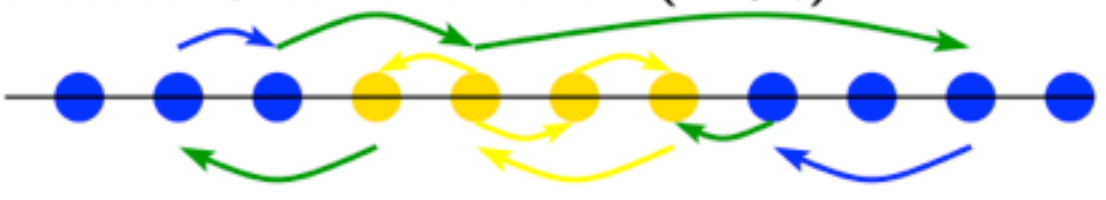
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dressed Green's function (of \mathcal{M}):

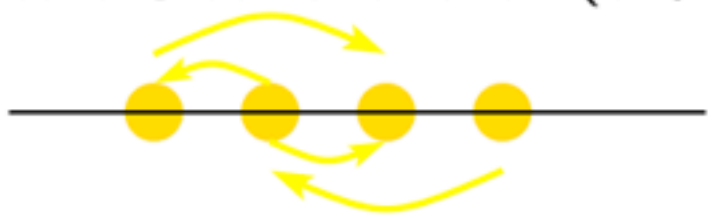


$$G^{-1} = G_{\mathcal{M}}^{-1} - \Sigma_L - \Sigma_R$$

$$\Sigma_{\mathcal{X},ij} = \sum_{\mathcal{L},\nu\mu} V_{i\nu} G_{\mathcal{X},\nu\mu} V_{\mu j}^*$$

Green's function formalism

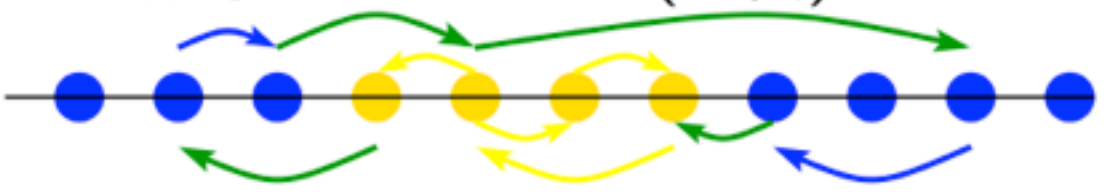
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$$G^{-1} = G_{\mathcal{M}}^{-1} - \Sigma_L - \Sigma_R$$

$$\Sigma_{X,ij} = \sum_{\mathcal{L}, \nu\mu} V_{i\nu} G_{X,\nu\mu} V_{\mu j}^*$$

$$H_{\text{eff}} = H_{\mathcal{M}} + \frac{1}{2} \sum_{X=R,L} (\Sigma_X + \Sigma_X^\dagger)$$

leakage rates:

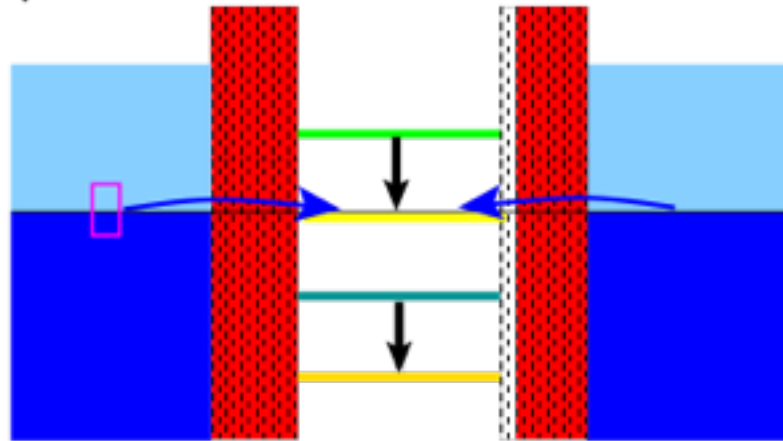
$$\Gamma_X = \frac{1}{2i} (\Sigma_X - \Sigma_X^\dagger), \quad X = R, L$$

Intuitive meaning

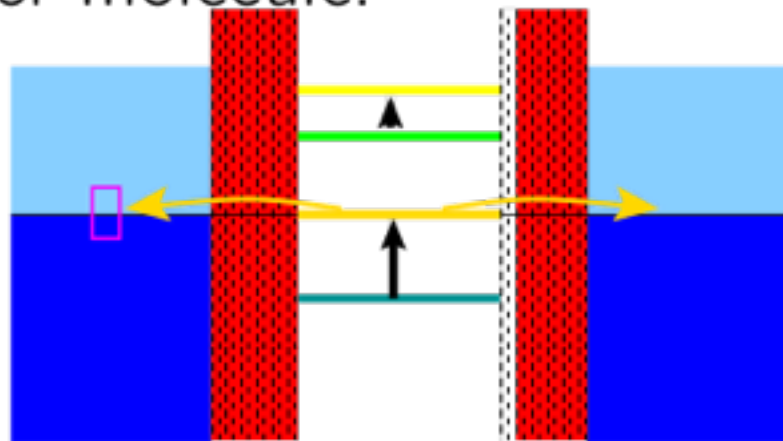
Charge exchange and lifetime effects

$$H \longrightarrow H_{\text{eff}} = H + \frac{1}{2} (\Sigma + \Sigma^\dagger)$$

acceptor molecule:



donor molecule:

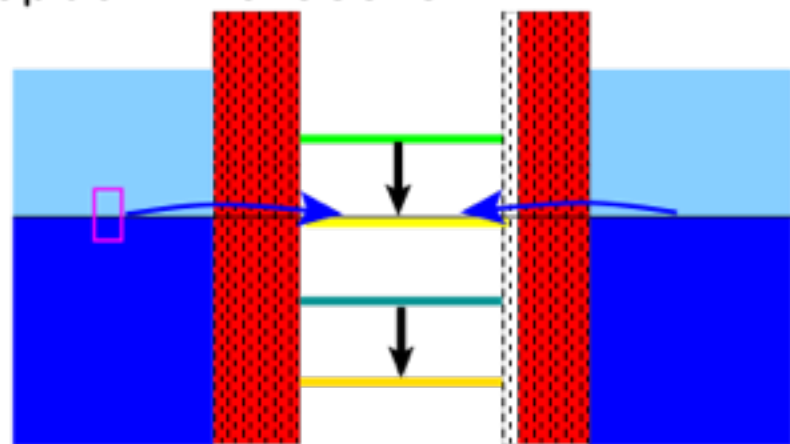


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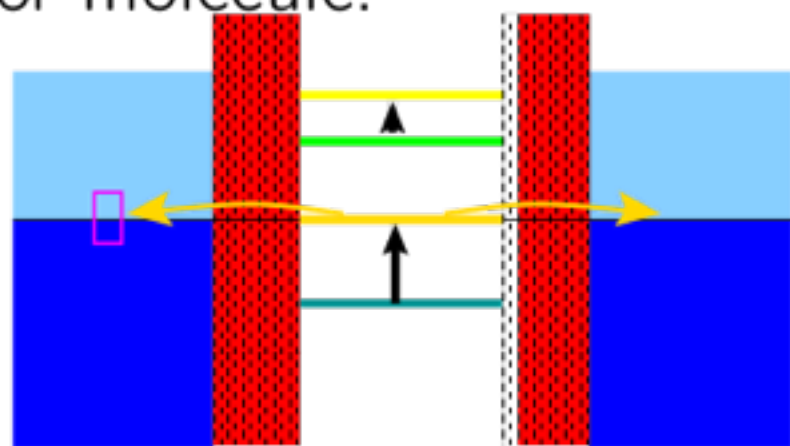
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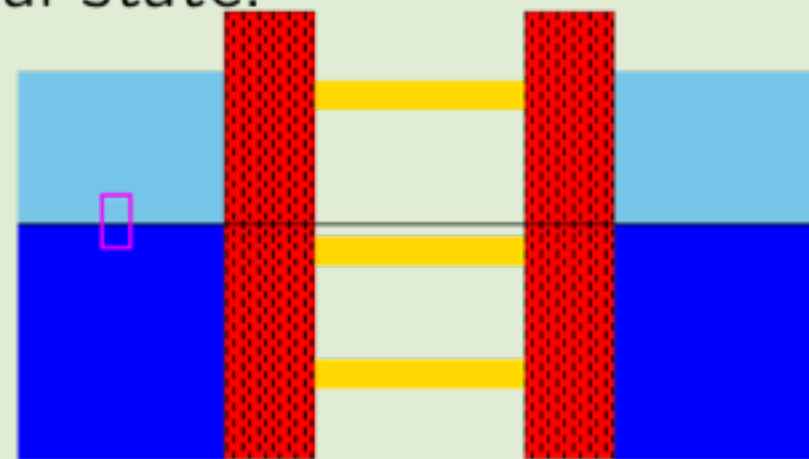


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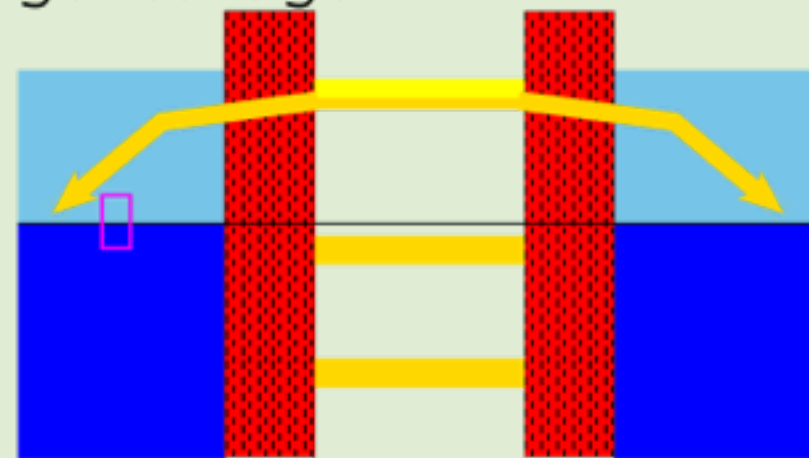


$$G^{-1} = E - H_{\text{eff}} + \frac{1}{2i} (\Gamma_L + \Gamma_R)$$

initial state:



charge leakage:

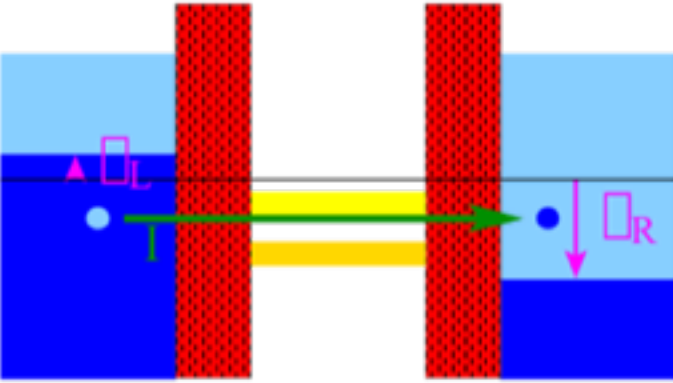


Formalism with Green's function

Connecting scattering approach with NEGFs

scattering states:

- electrode: quantum numbers $|\nu\rangle = |kn\rangle, \epsilon_n(k)$

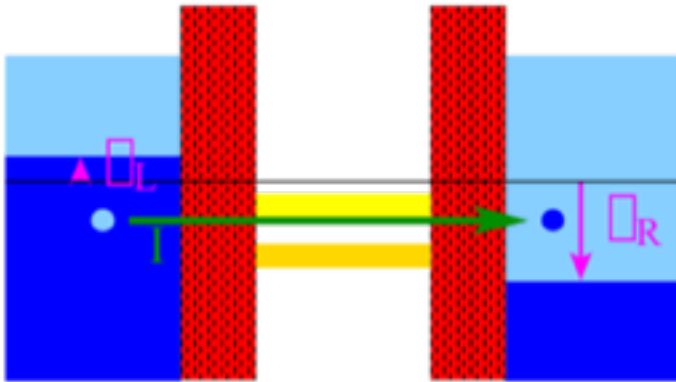

$$I = \int_{\mu_R}^{\mu_L} dE \sum_{n,n'} |t_{nn'}(E)|^2$$
$$= \int_{\mu_R}^{\mu_L} dE \text{Tr}_{\mathcal{L}_T} (tt^\dagger)$$

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Green's function formulation:

$$Q(E) = \int_{\mathcal{M}} dx \sum_j \delta(E - \epsilon_j) |\psi_j(\mathbf{x})|^2 = \text{Tr}_{\mathcal{M}} (G_E - G_E^\dagger)$$

leakage:

$$\partial_t Q(E) = [(1 - f_R)\Gamma_R + (1 - f_L)\Gamma_L] Q(E)$$

spectral transport current $j(E)$:

$$j(E) = \left[\frac{f_L \Gamma_L}{\Gamma_L + \Gamma_R} (1 - f_R) \Gamma_R - \frac{f_R \Gamma_R}{\Gamma_L + \Gamma_R} (1 - f_L) \Gamma_L \right] Q(E)$$

$$= (f_L - f_R) \frac{\Gamma_L \Gamma_R}{\Gamma_L + \Gamma_R} Q(E)$$

total current $I = \int j(E)$:

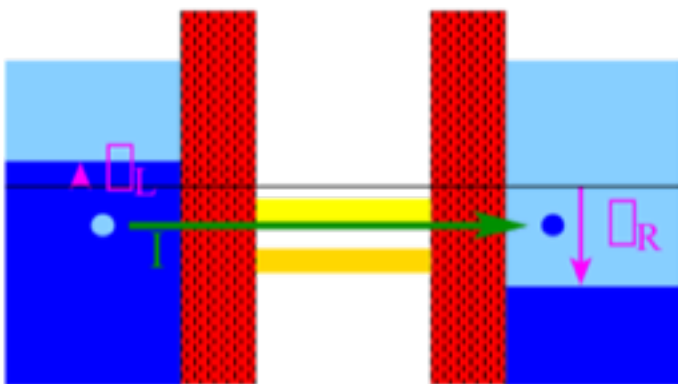
$$I = 4 \int_{\mu_R}^{\mu_L} dE \text{Tr}_{\mathcal{M}} (\Gamma_L G \Gamma_R G^\dagger)$$

Formalism with Green's function

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scattering states:

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equivalence ($E = \epsilon_\nu$): $t_{nn'} = i \text{Tr}_{\mathcal{L}_L} (\delta(\epsilon_\nu - H_{\mathcal{L}}) V_R G V_L)$

II. Current developments

collaborations with

Peter Schmitteckert (INT @ KIT),

Alexei Bagrets (SCC/INT @ KIT),

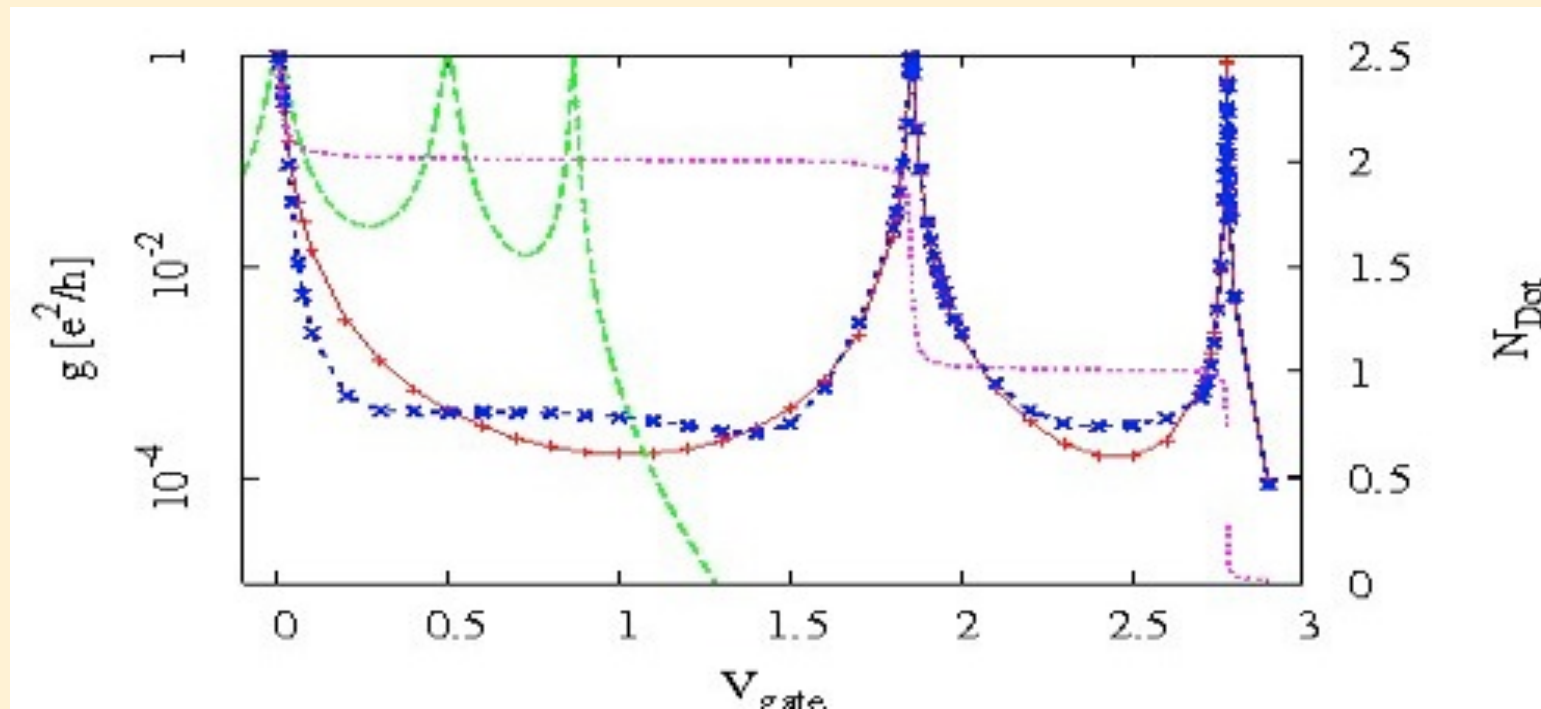
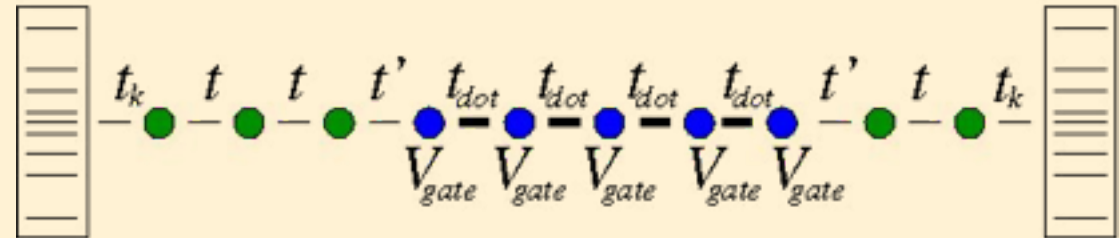
Florian Weigend (INT @ KIT) & Michiel van Setten (CFN @ KIT)

Exact functionals with DMRG

Fundamental aspects of DFT based transport

(collaboration: P. Schmitteckert)

Model system:
5 site interacting
resonant levels



Comparing exact
conductance (DMRG)
with conductance
calculated with exact
XC-functional from
backwards DFT

Schmitteckert & Evers,
PRL 2008

Green's fcts methods in Chemistry

Perturbative energy shifts with GW-approach

(collaboration: M. van Setten & F. Weigend)

Quasi particle equation with energy dependent self-energy

$$\left\{ -\frac{\hbar^2}{2m} \nabla^2 + V_{\text{ext}} + V_{\text{H}} \right\} \psi_n^{\text{QP}} + \Sigma(\epsilon_n^{\text{QP}}) \cdot \psi_n^{\text{QP}} = \epsilon_n^{\text{QP}} \psi_n^{\text{QP}} \quad (1)$$

In first order the self-energy is approximated by GW

$$\Sigma(E) = \frac{i}{2\pi} \int e^{-i\omega 0^+} G(E - \omega) W(\omega) d\omega$$

Greens and screened coulomb interaction

$$G(E) = \int_{-\infty}^{+\infty} dE' \frac{A(E')}{E - E' + i\eta \text{sgn}(E')} \quad W(\omega) = \epsilon^{-1}(\omega) \cdot v$$

Inverse dielectric function and polarization functions

$$\epsilon(\omega)^{-1} = 1 + v \cdot \chi(\omega) \quad \chi = P + P \cdot v \cdot \chi$$

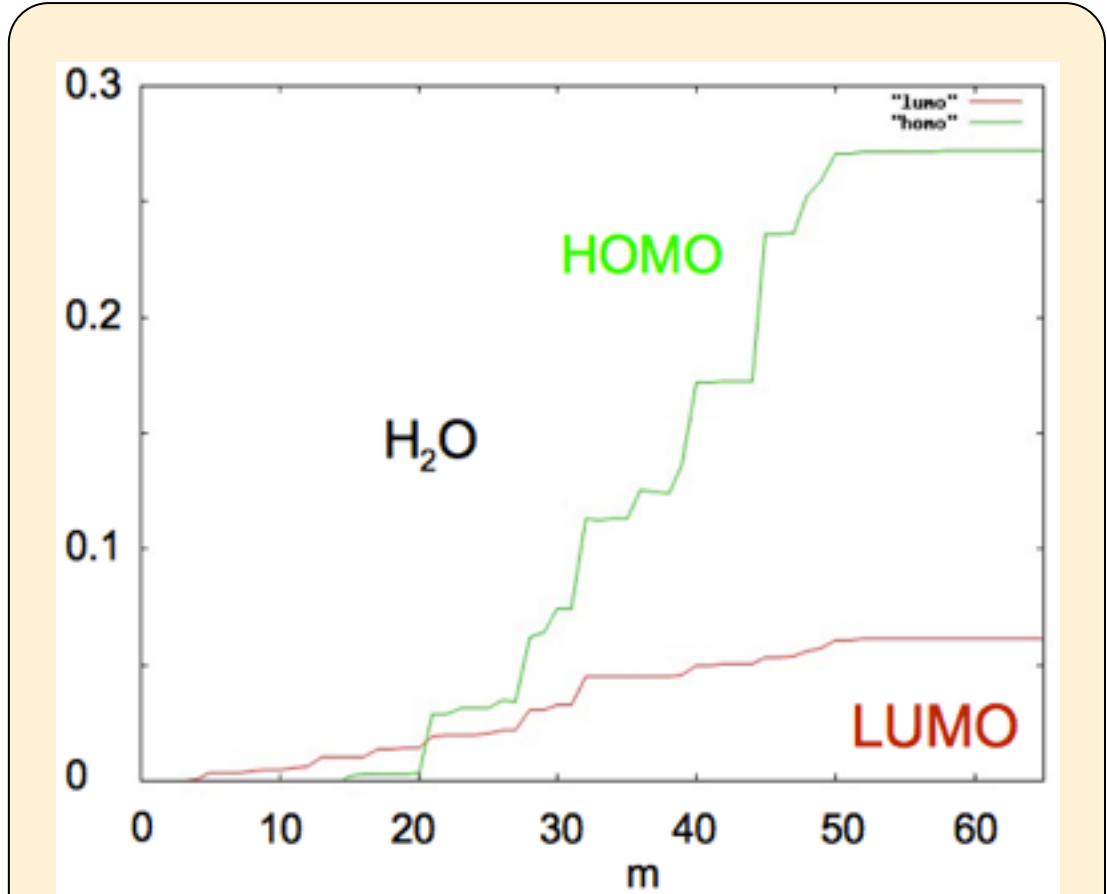
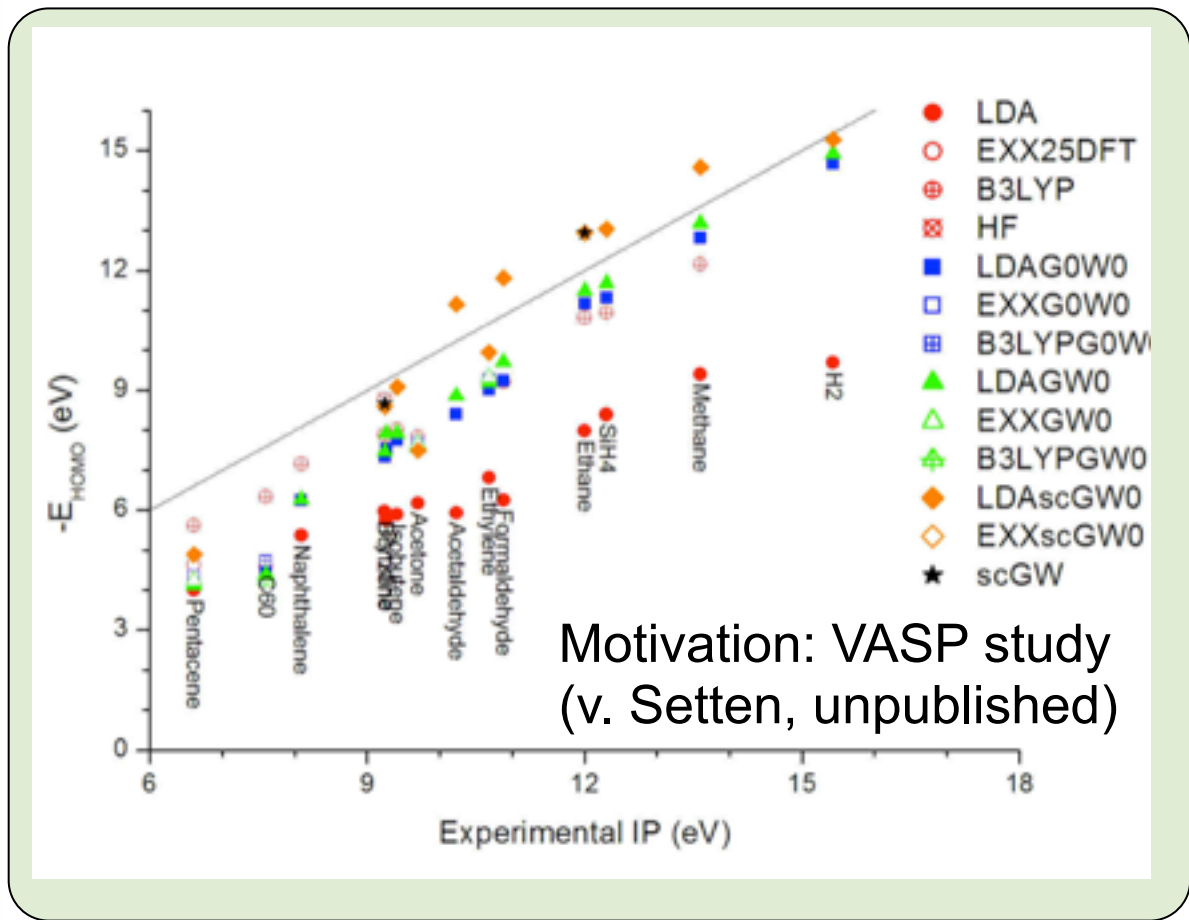
$$P(\omega) = -\frac{i}{2\pi} \int_{-\infty}^{\infty} dE' G(E') G(E' - \omega)$$



Green's fcts methods for chemistry

Perturbative energy shifts with GW-approach

(collaboration: M. van Setten & F. Weigend)

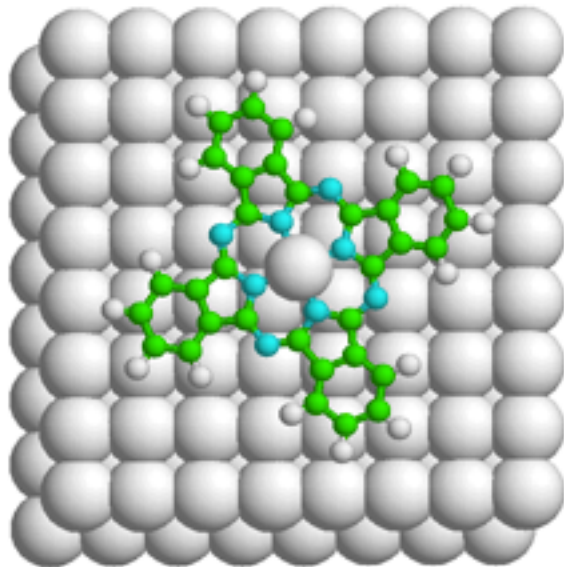


Coding: modular extension of TURBOMOLE (CFN-supported)

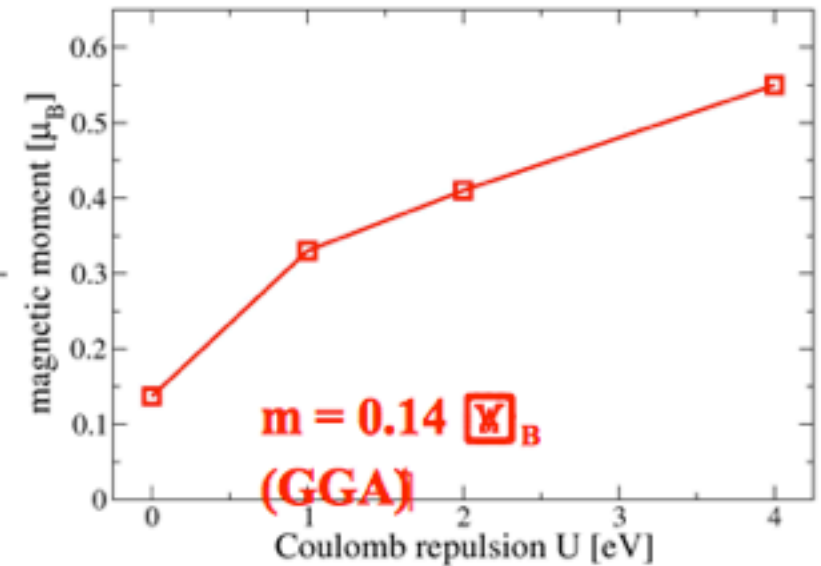
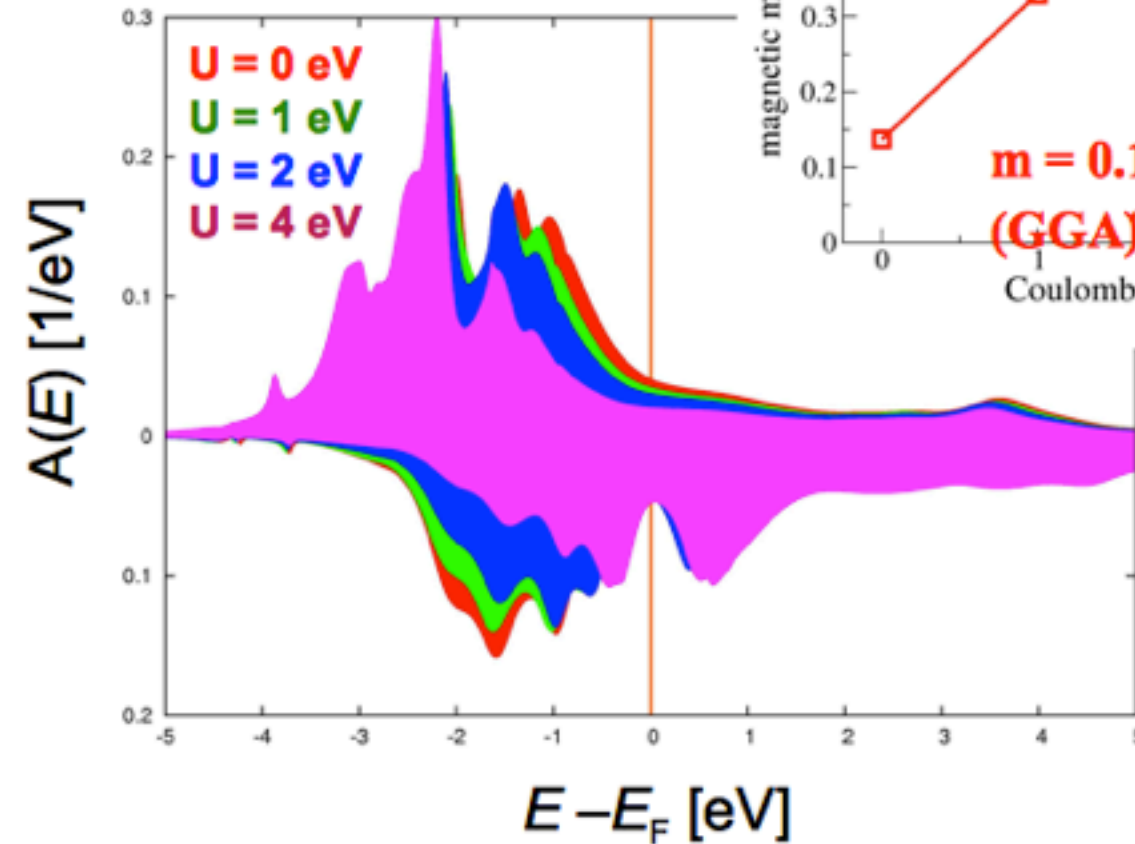
Simplified GW: LDA + U

Stability of DFT against weak on-site interactions

(collaboration: A. Bagrets)



Spectral function $A(E)$ projected on Co $d(z^2)$ orbital (preliminary)



Plans

- (1) implementing and testing van der Waals-functional after Langreth, Hyldgaard et al. (PRB 2004) into TURBOMOLE
- (2) parallelization
- (3) transport with GW-corrected Green's functions
- (4) in the longer run: transport implementation also into 2d-periodic code

III. Magneto-transport through phtalocyanine (H₂Pc) molecules

collaboration with

Alexei Bagrets (SCC/INT @ KIT)

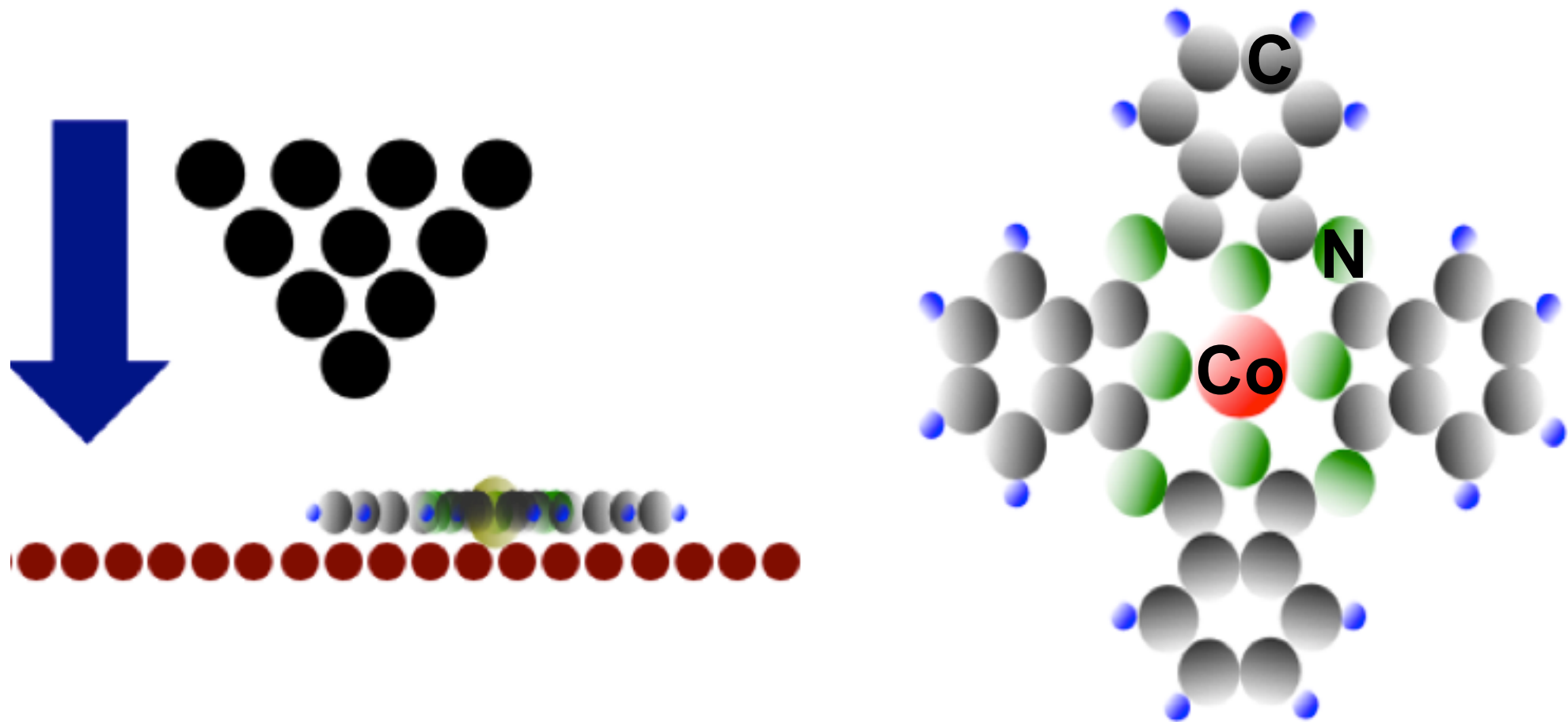
&

Stefan Schmaus and Wulf Wulfhekel (PI@KIT)

preprint submitted 2010

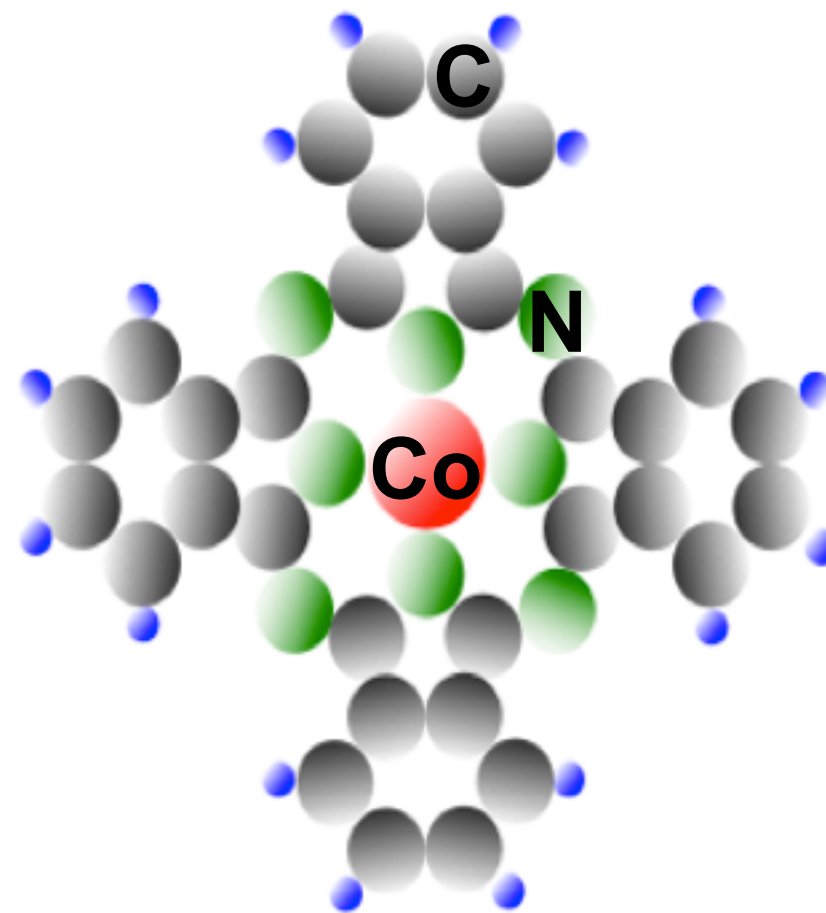
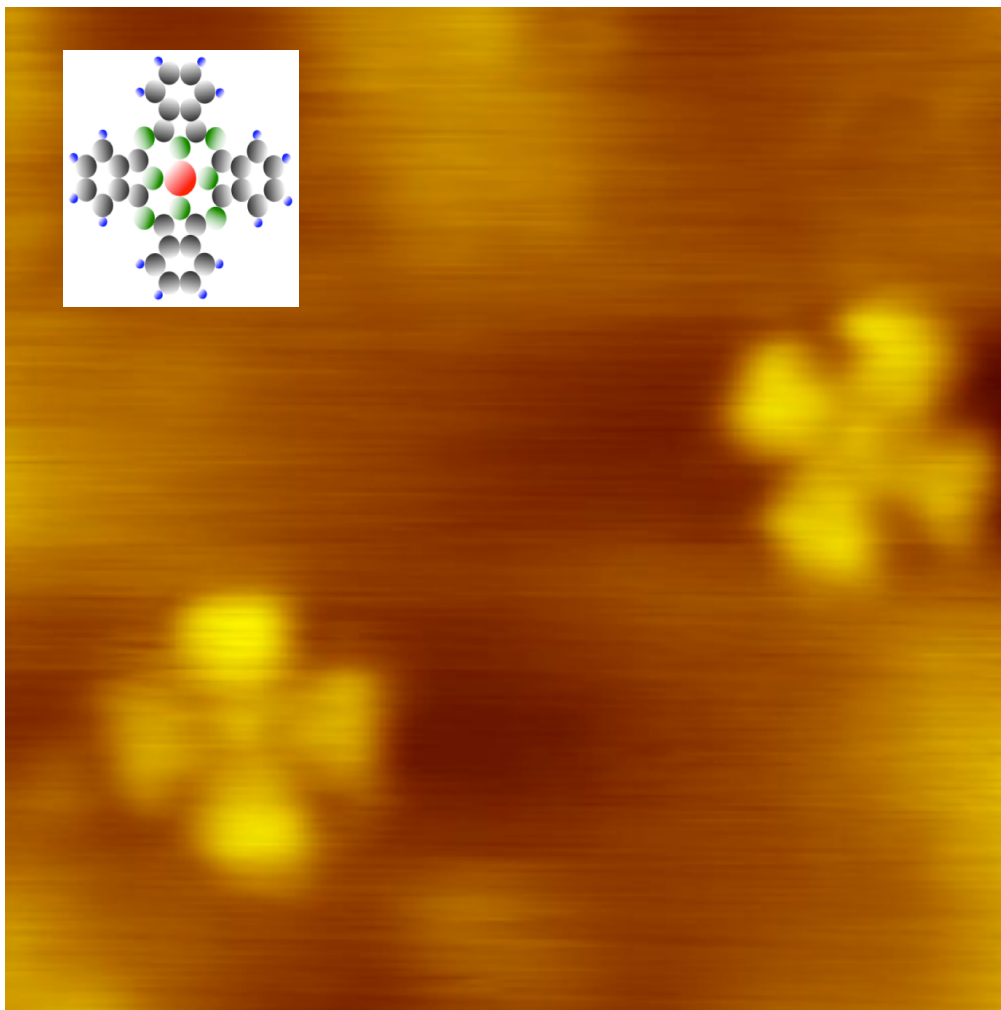
Phthalocyanine on Cu(111) under STM

(experiment: Schmaus & Wulfhekel, 2010)



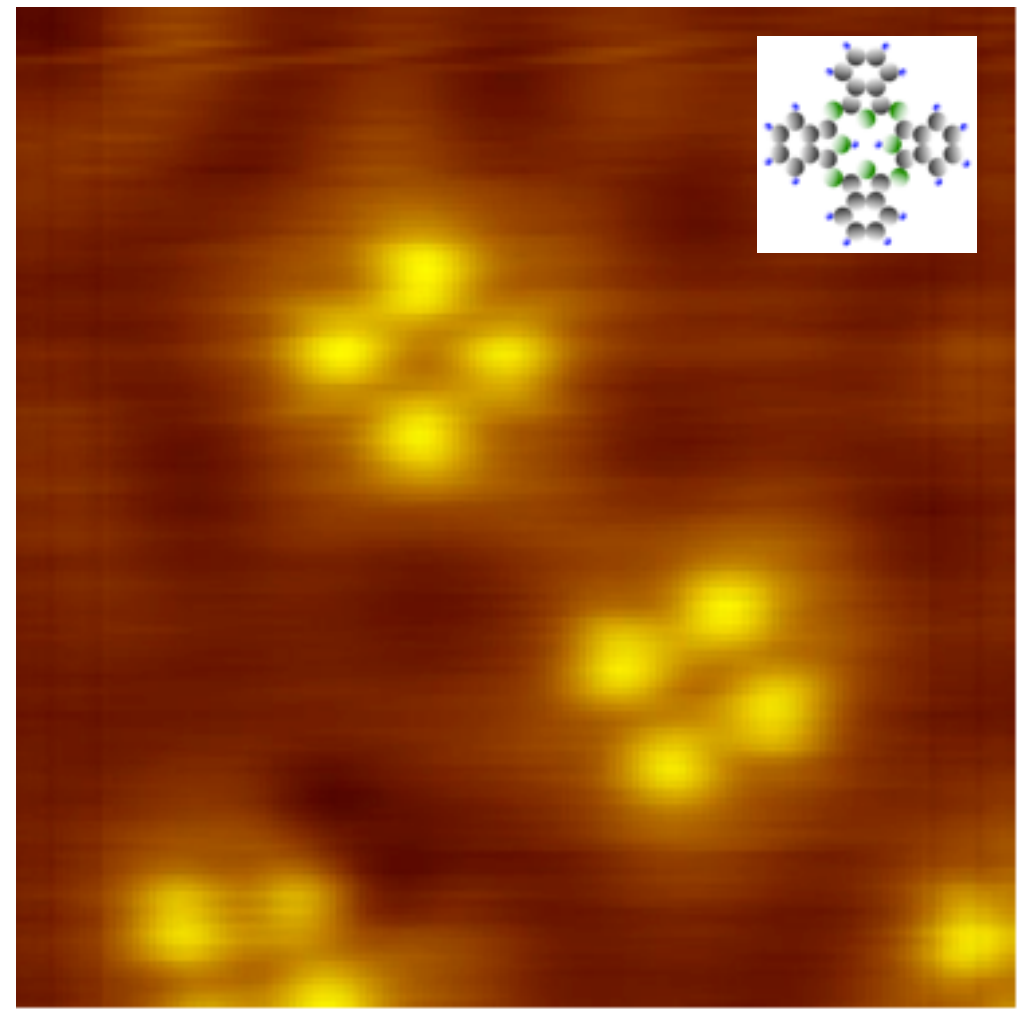
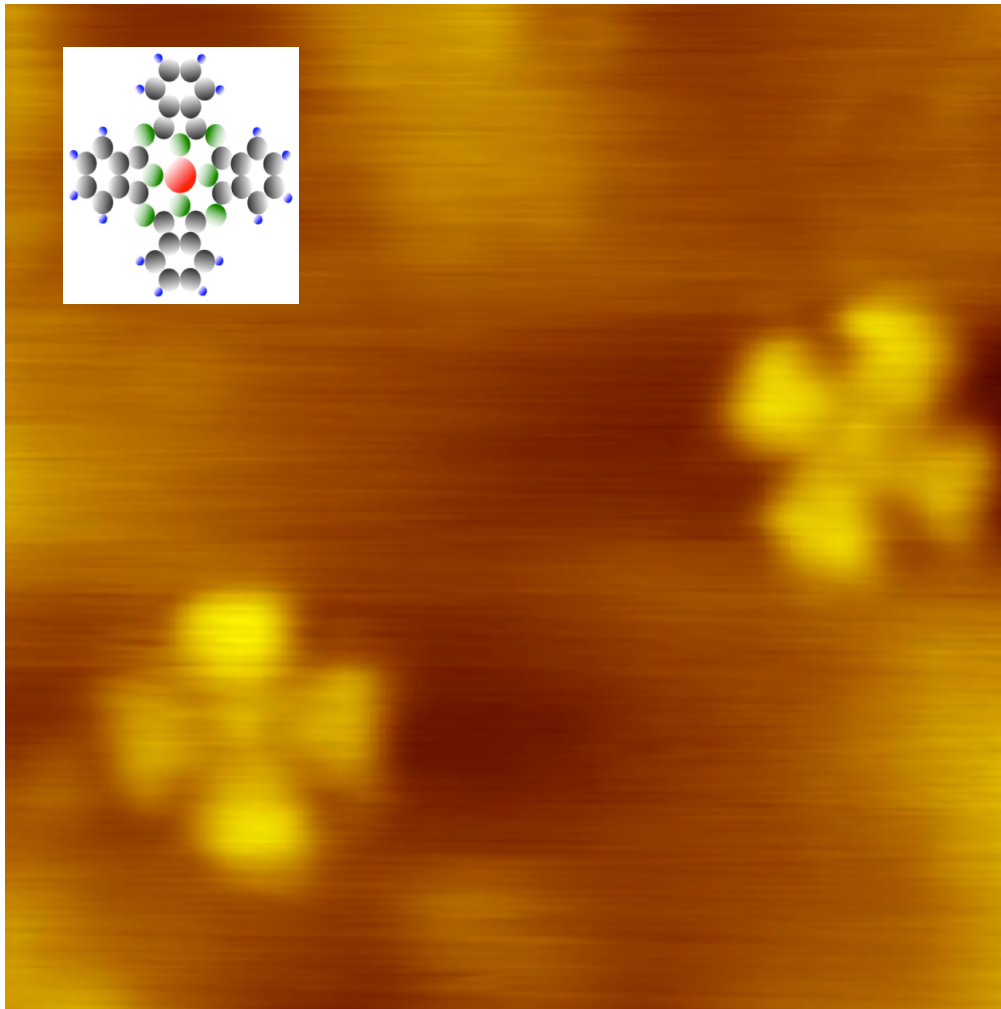
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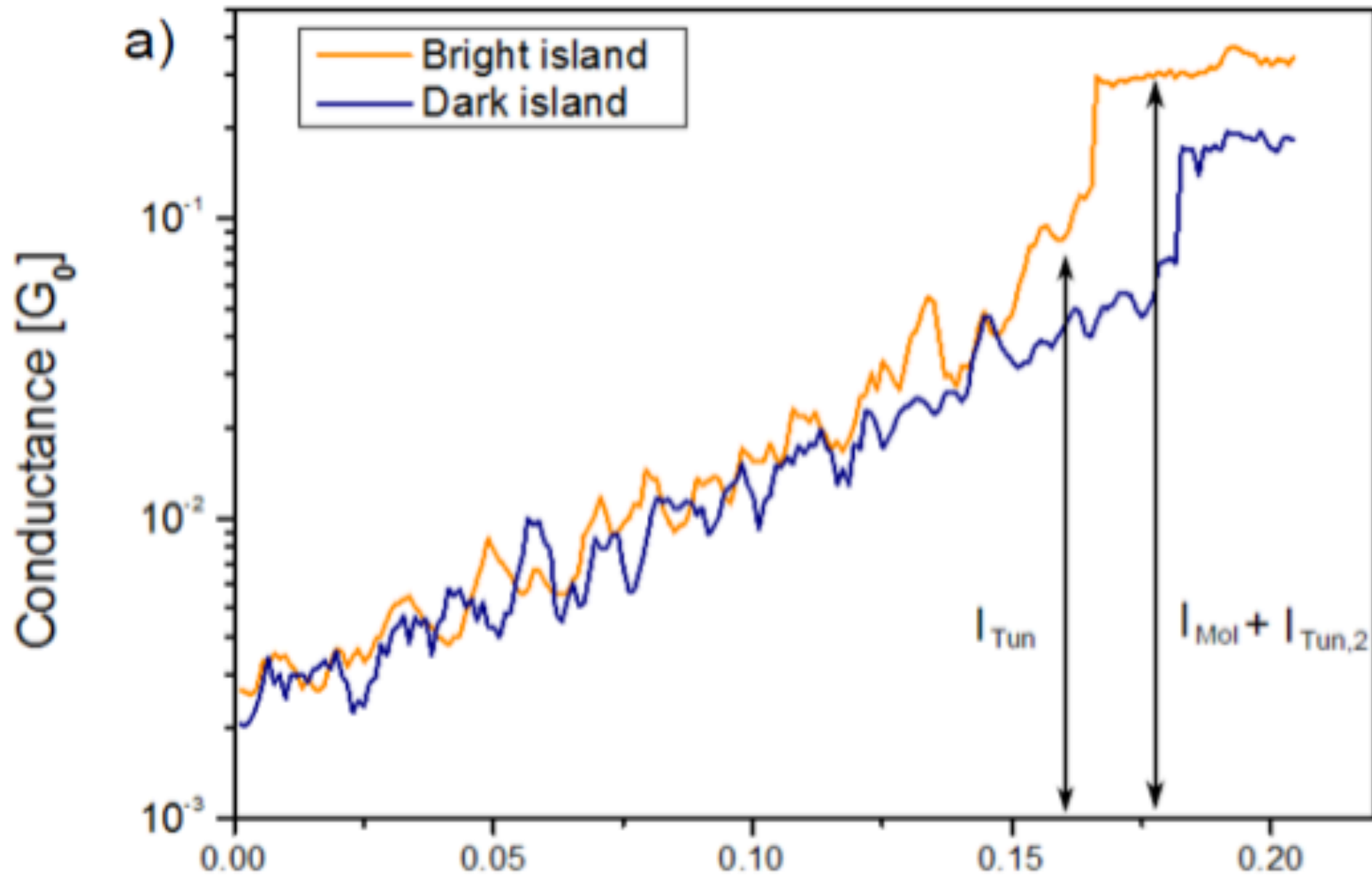


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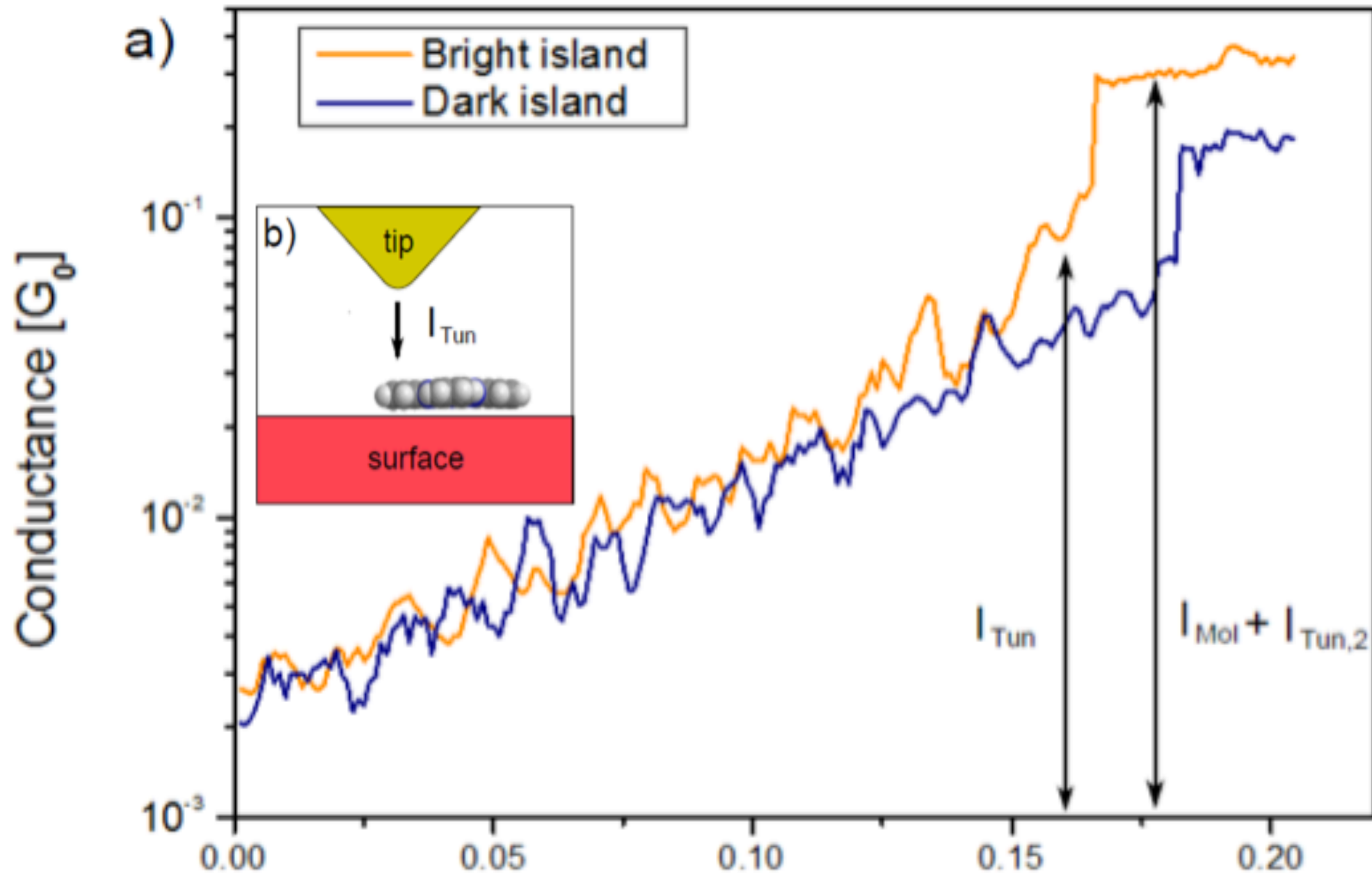
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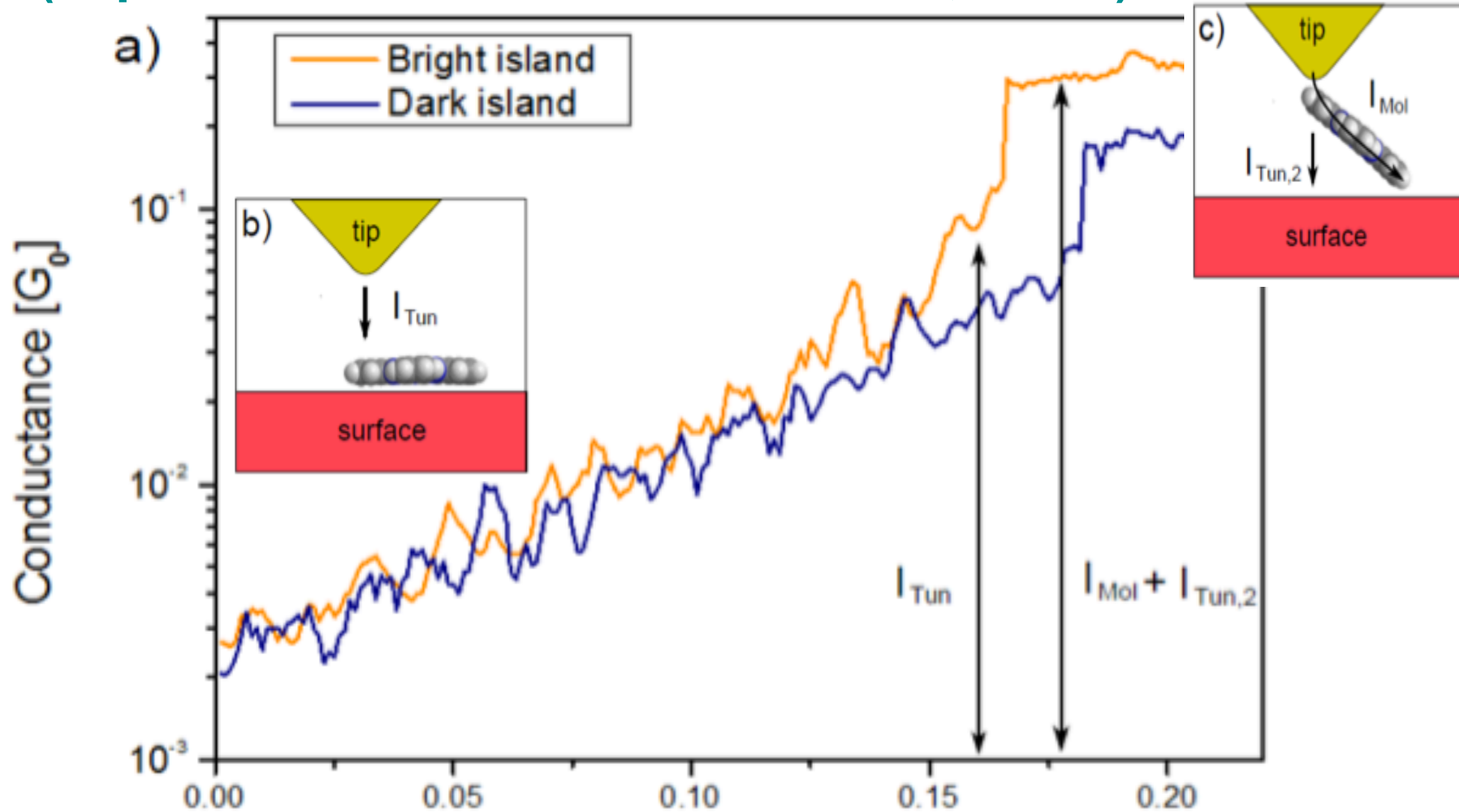
Spin-polarized low-T STM on Co(111) (experiment: Schmaus & Wulfhekel, 2010)



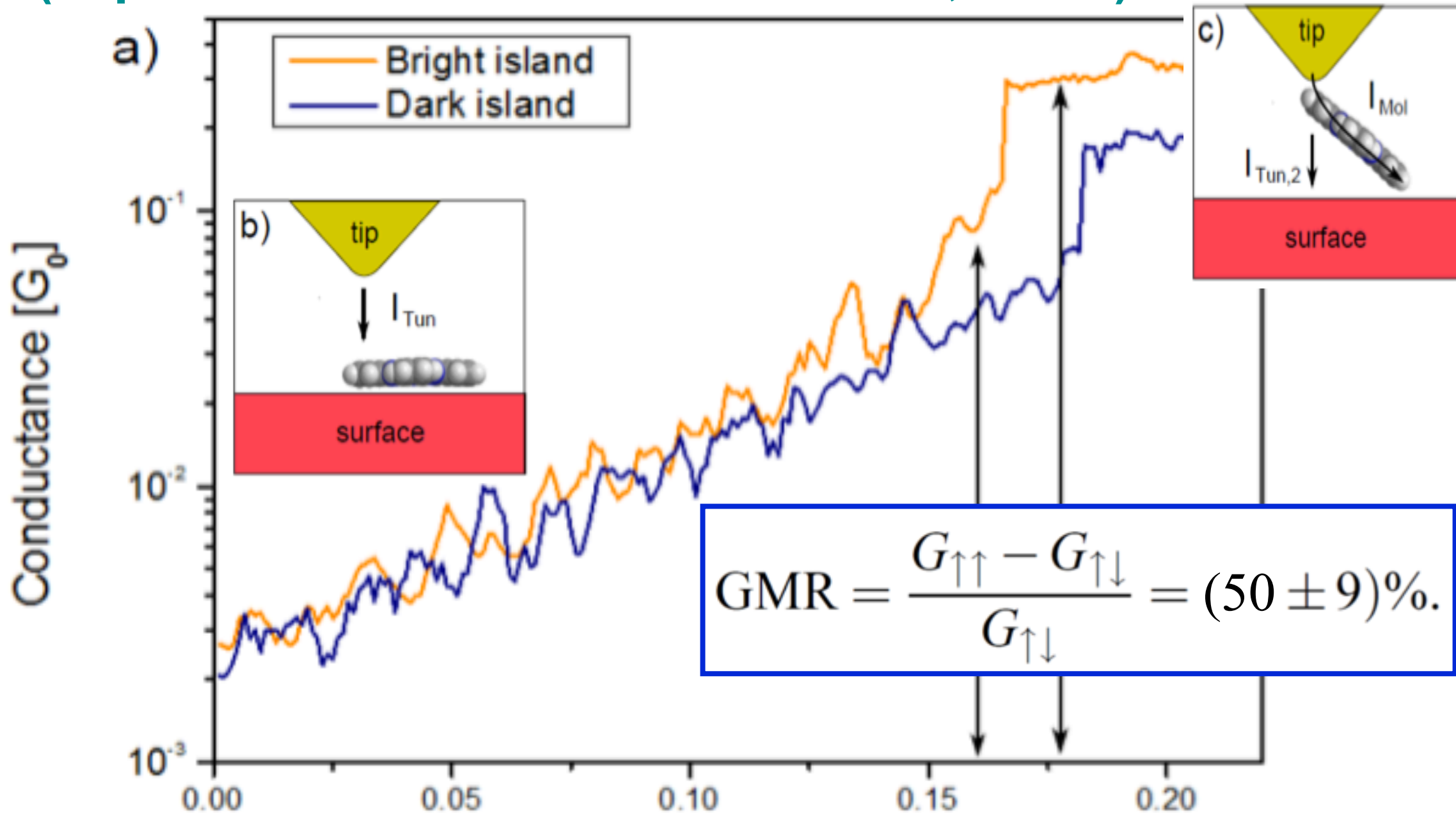
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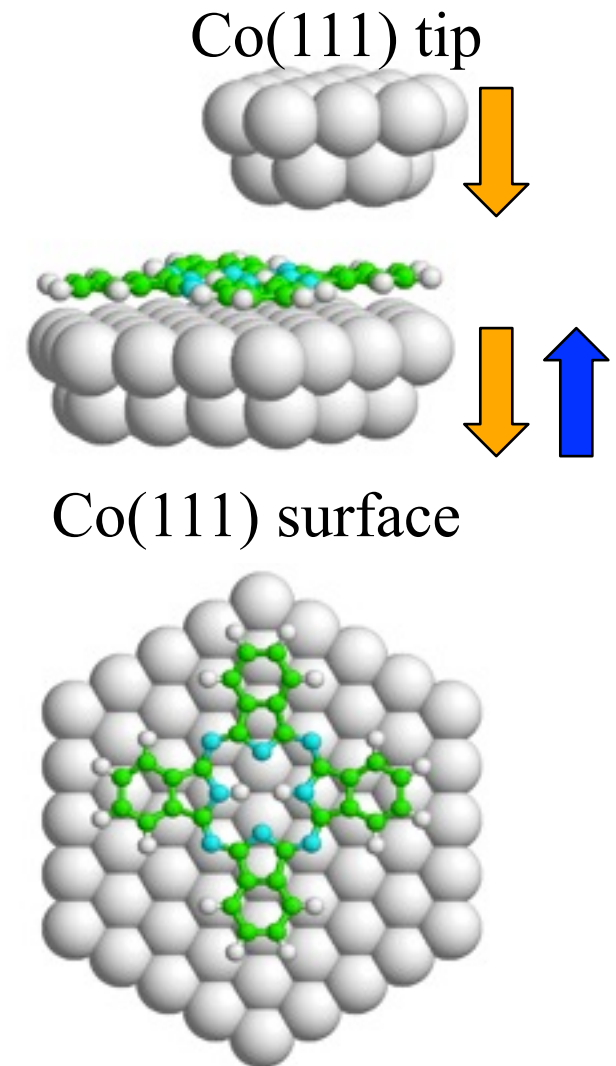
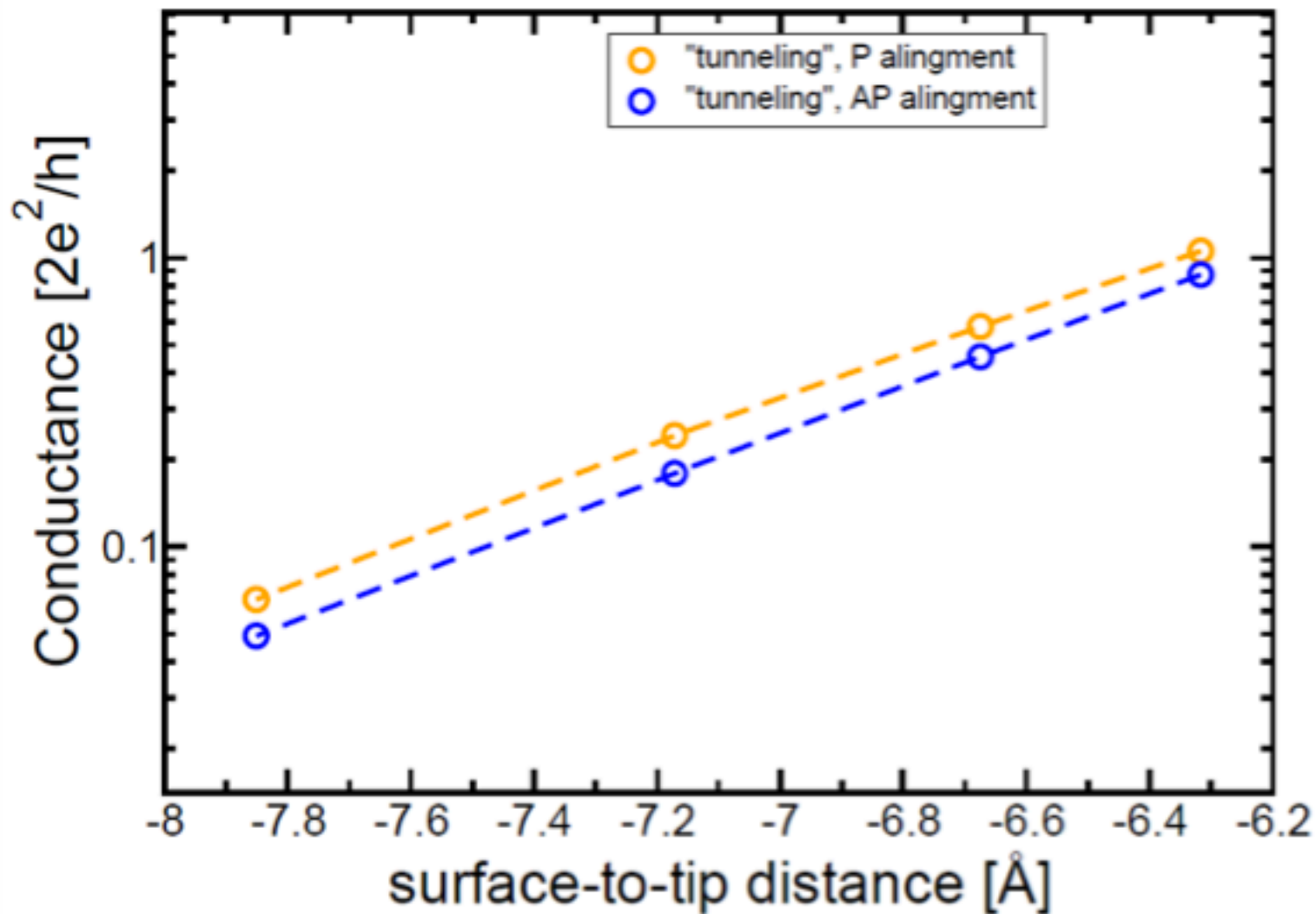


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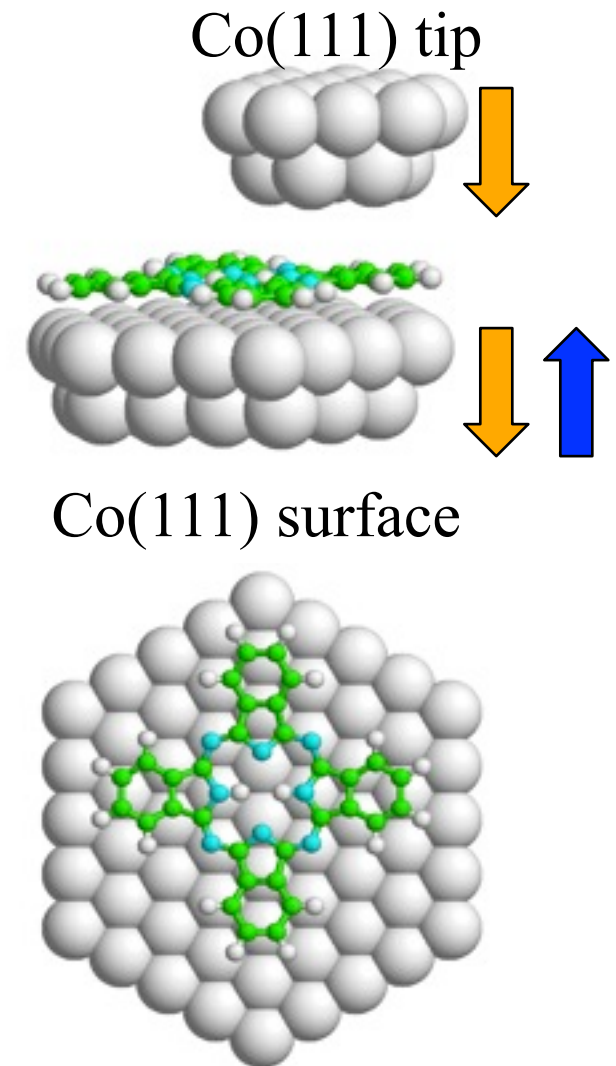
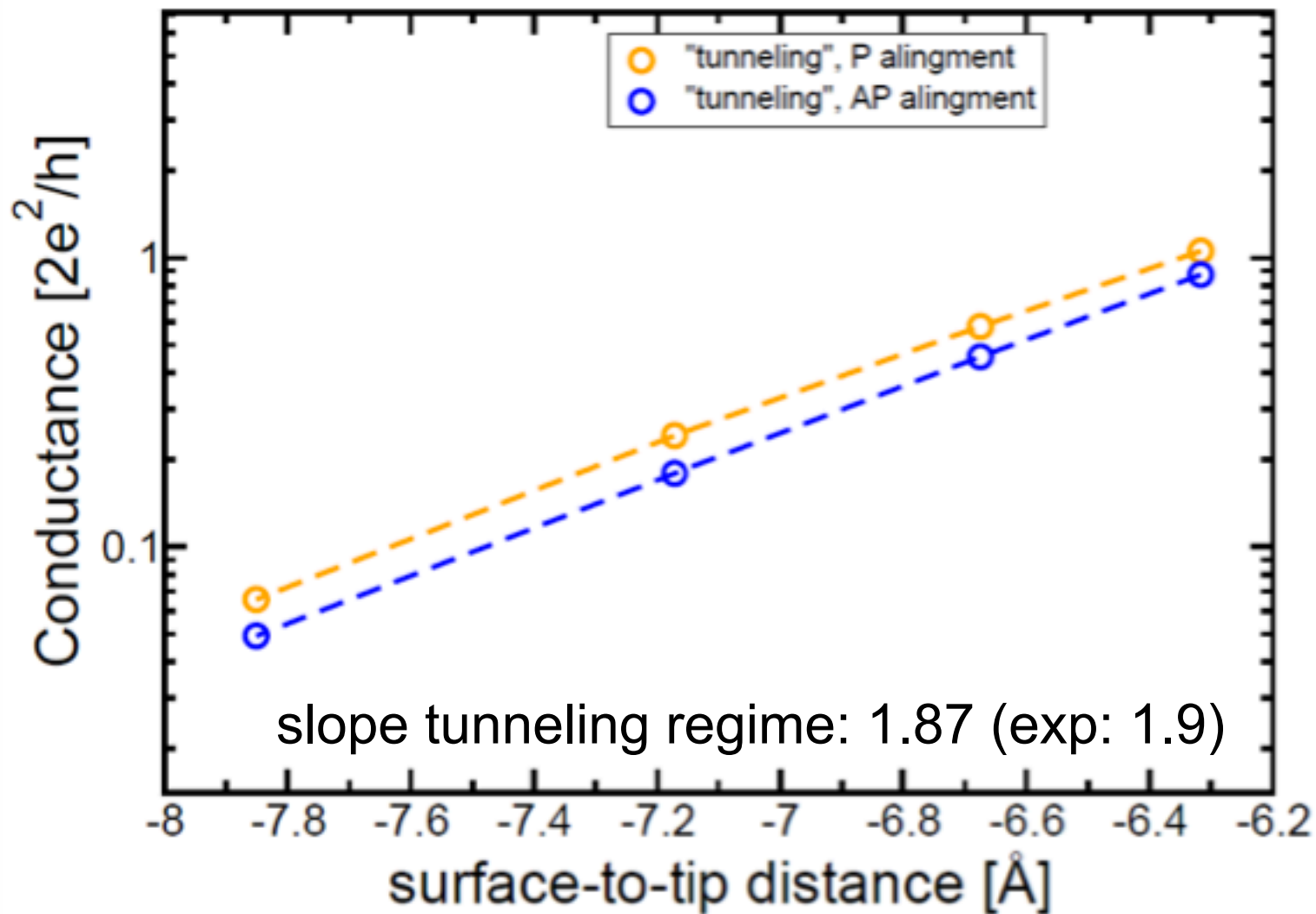
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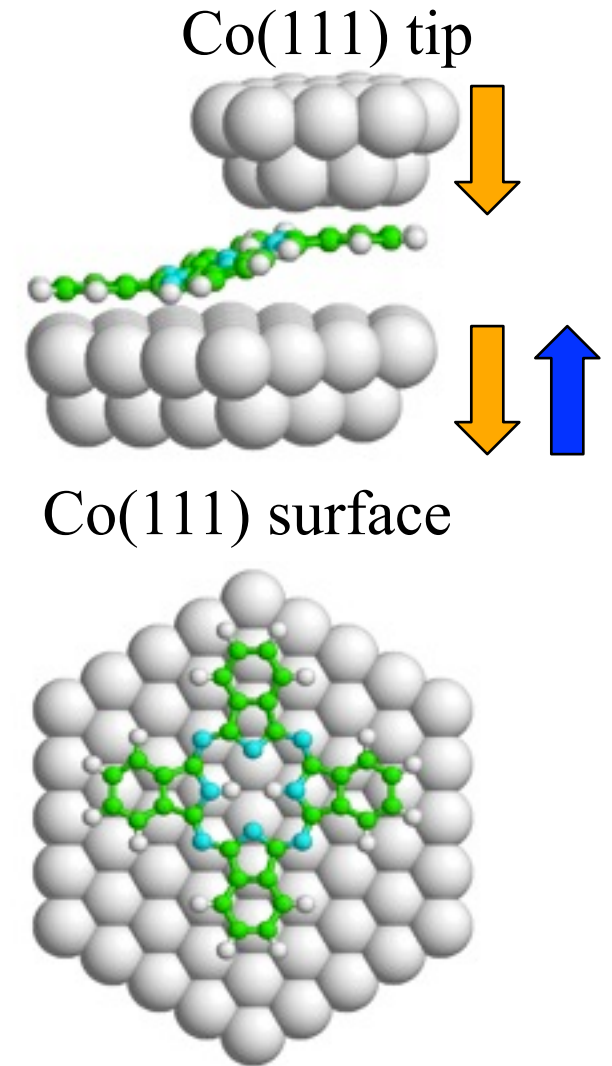
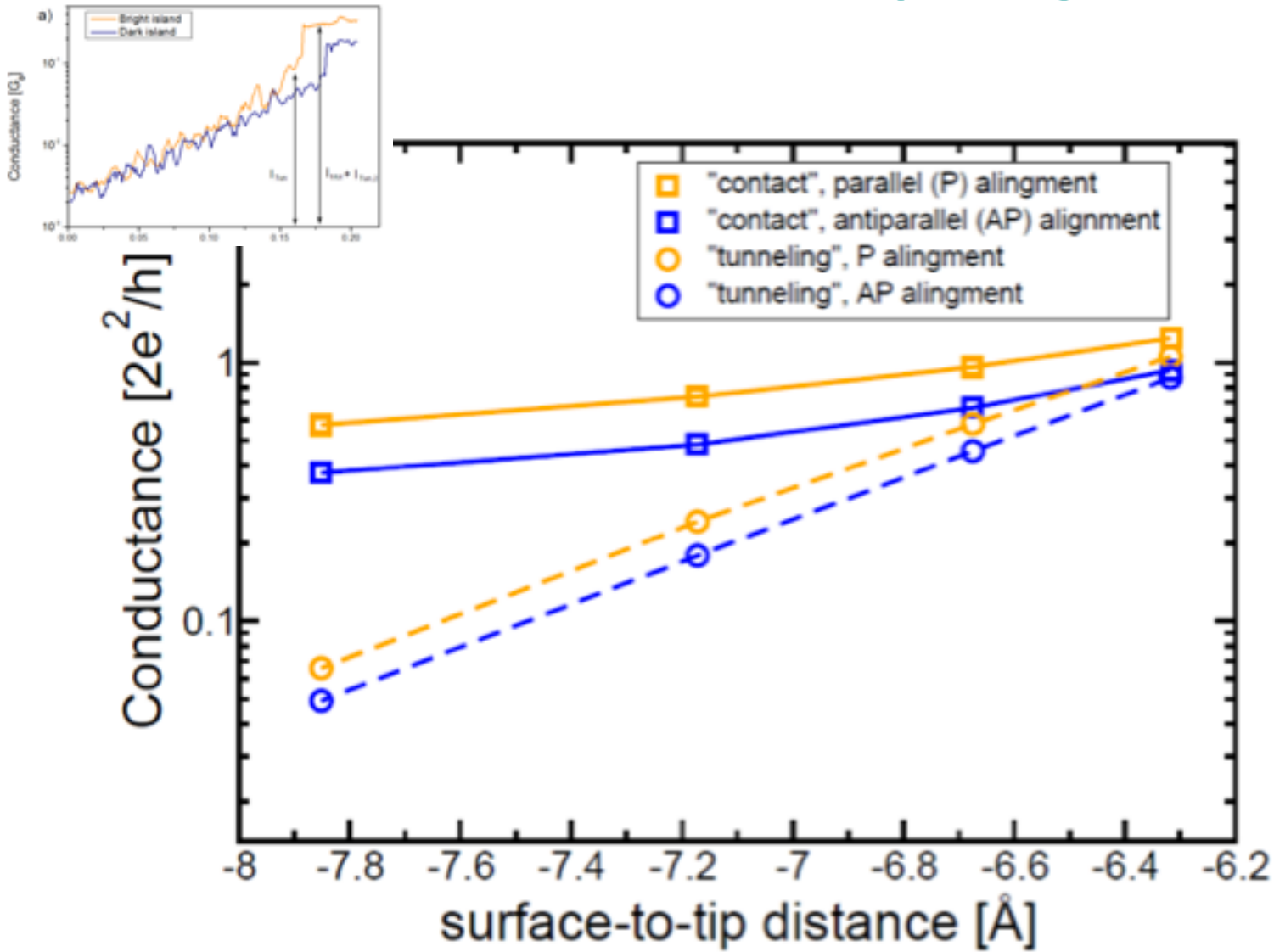
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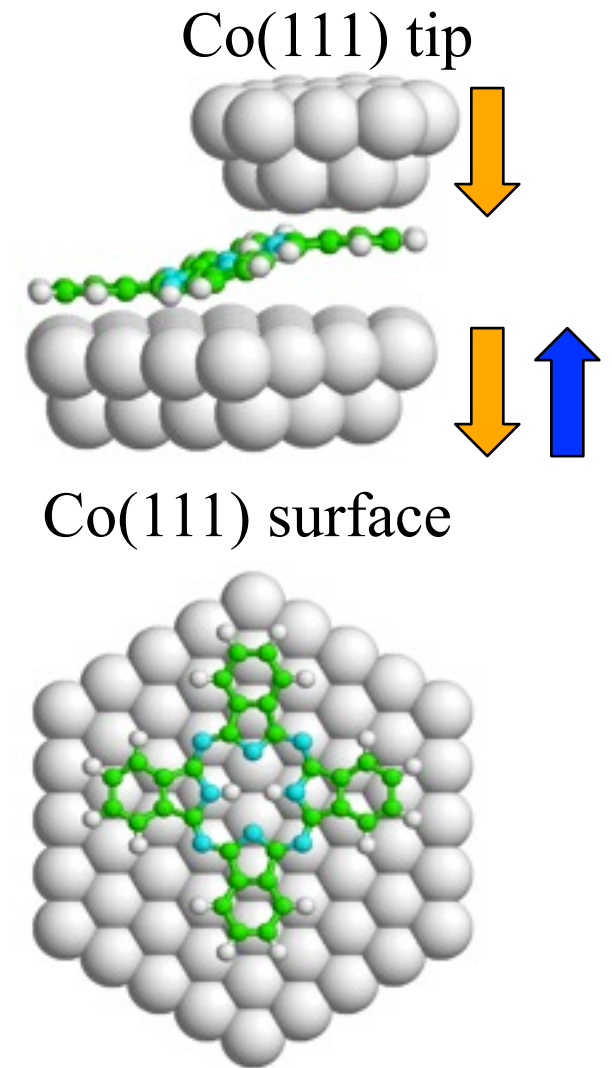
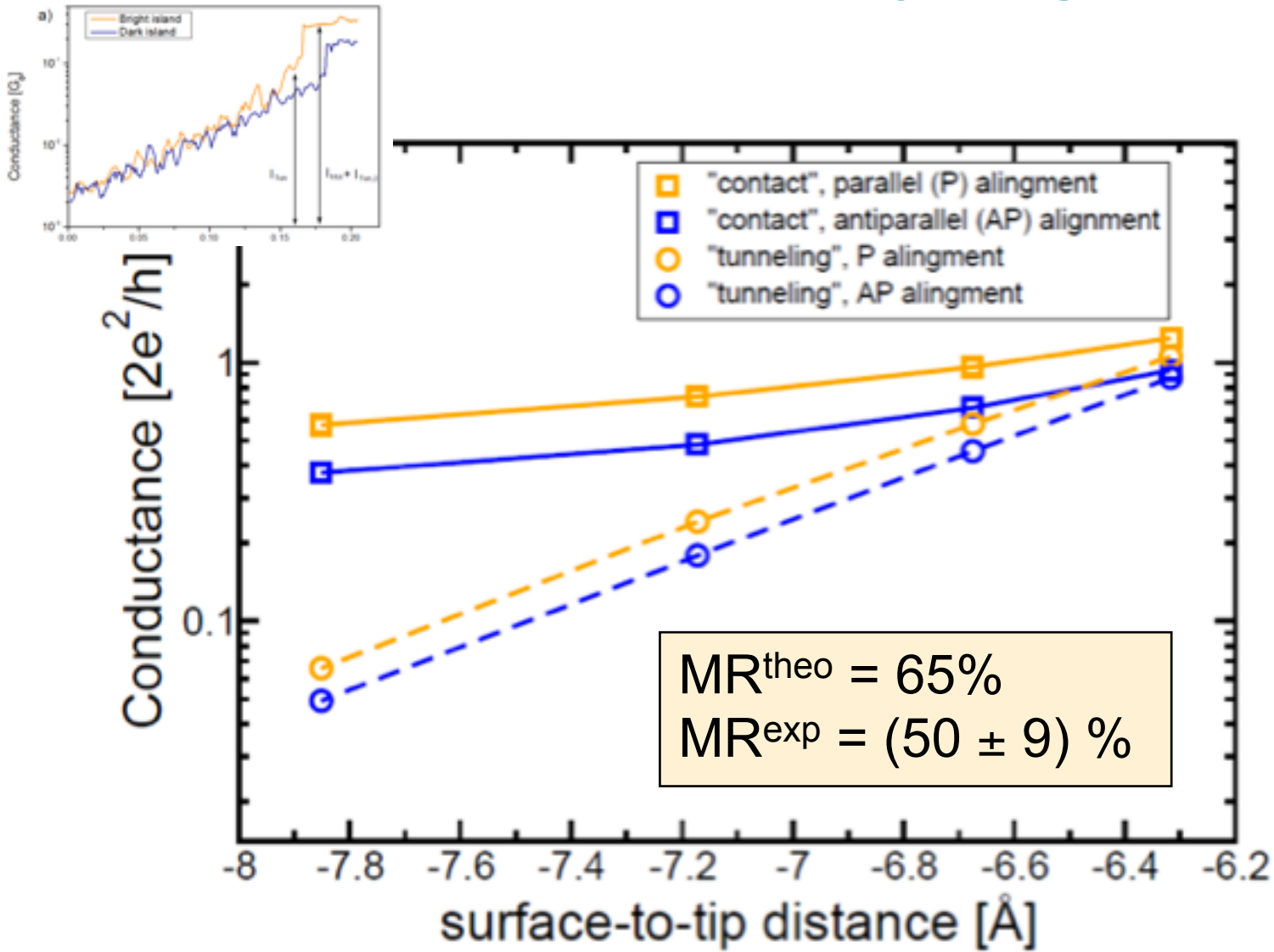


work function: $W = 3.24 \text{ eV}$

Spin-polarized low-T STM on Co(111) (SDFT-based transport theory: Bagrets & Evers, 2010)

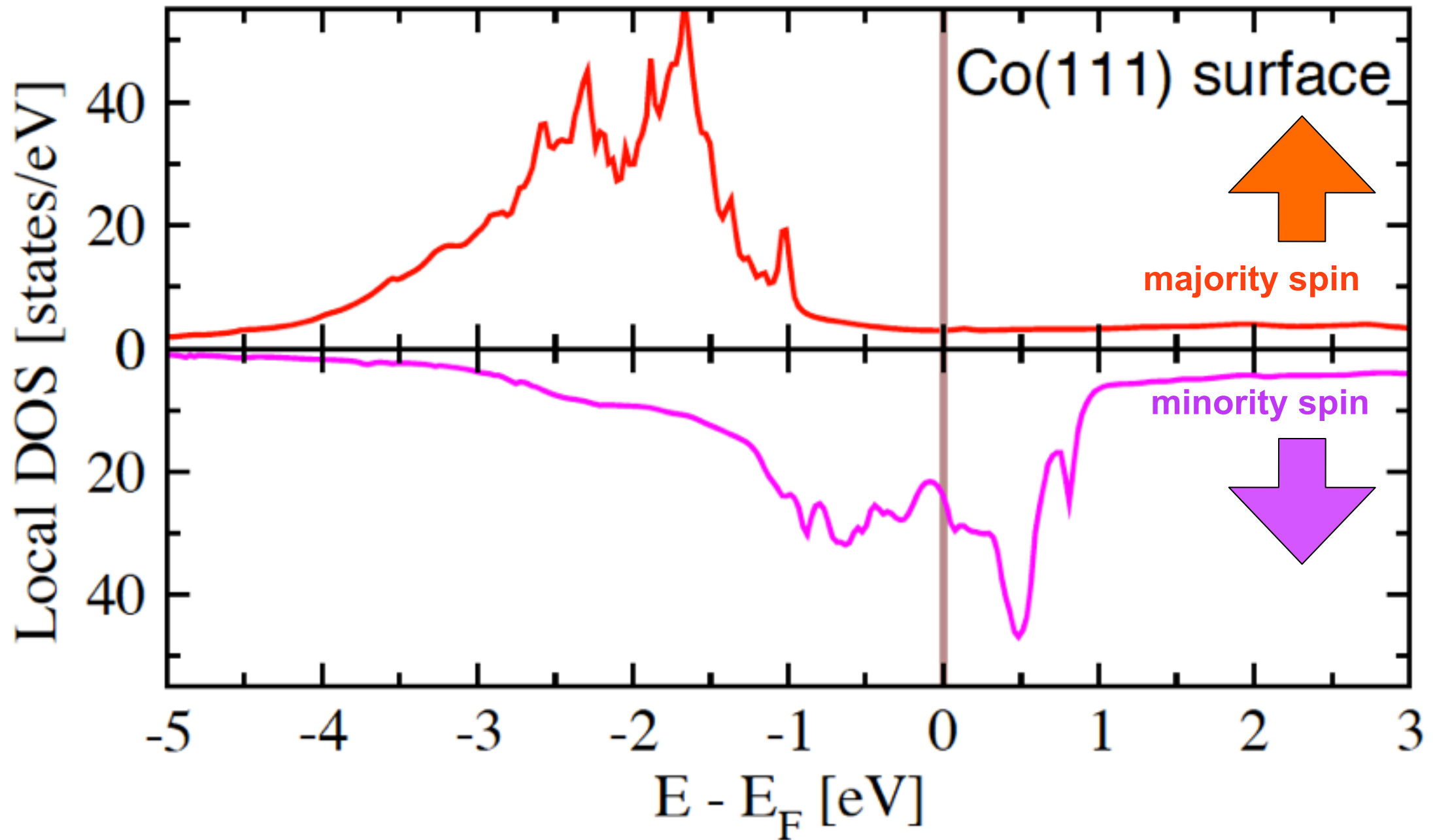


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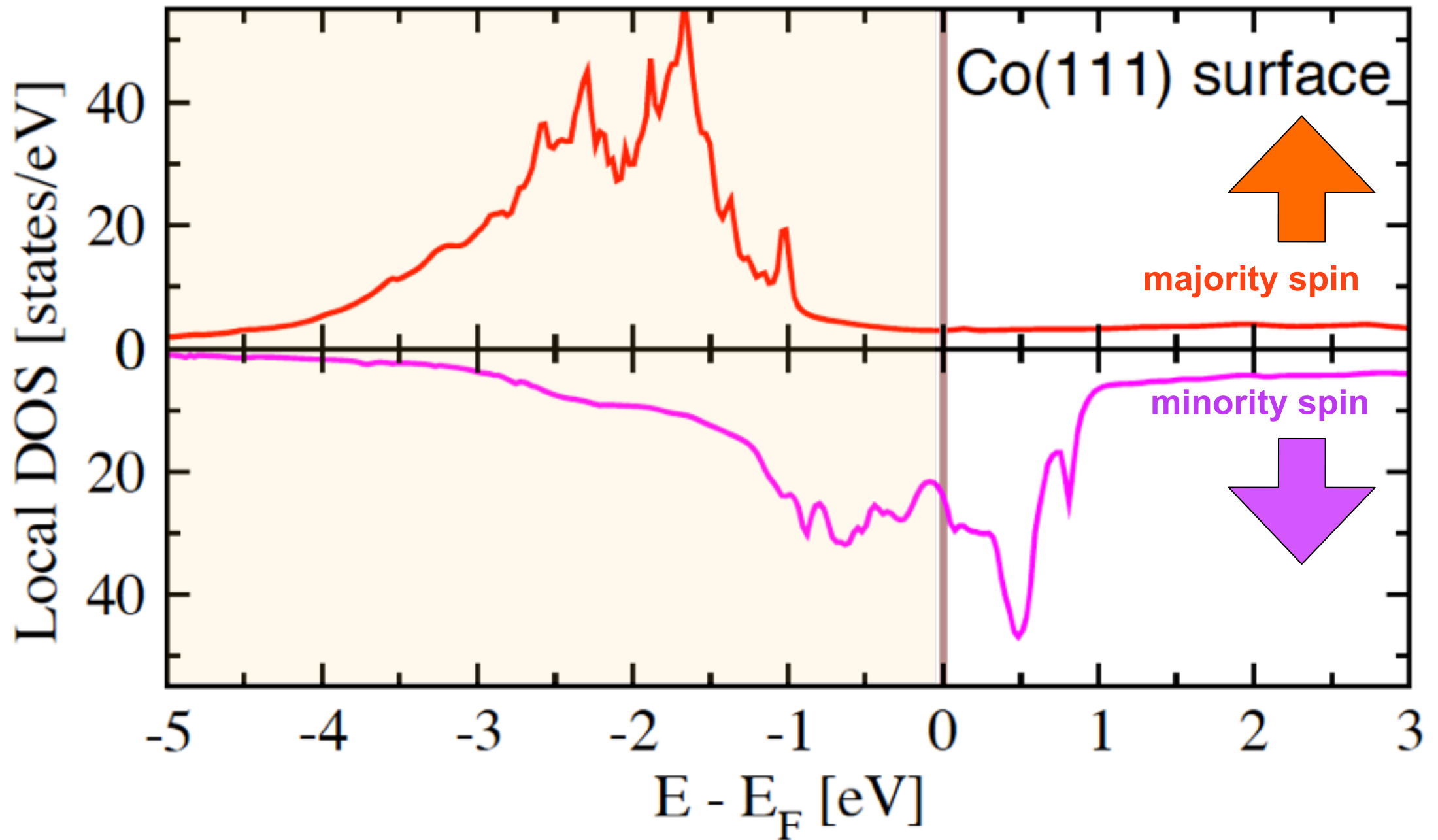
Theory: LDoS at Co-surface

(spin-DFT-transport & Turbomole: A. Bagrets & FE, 2010)



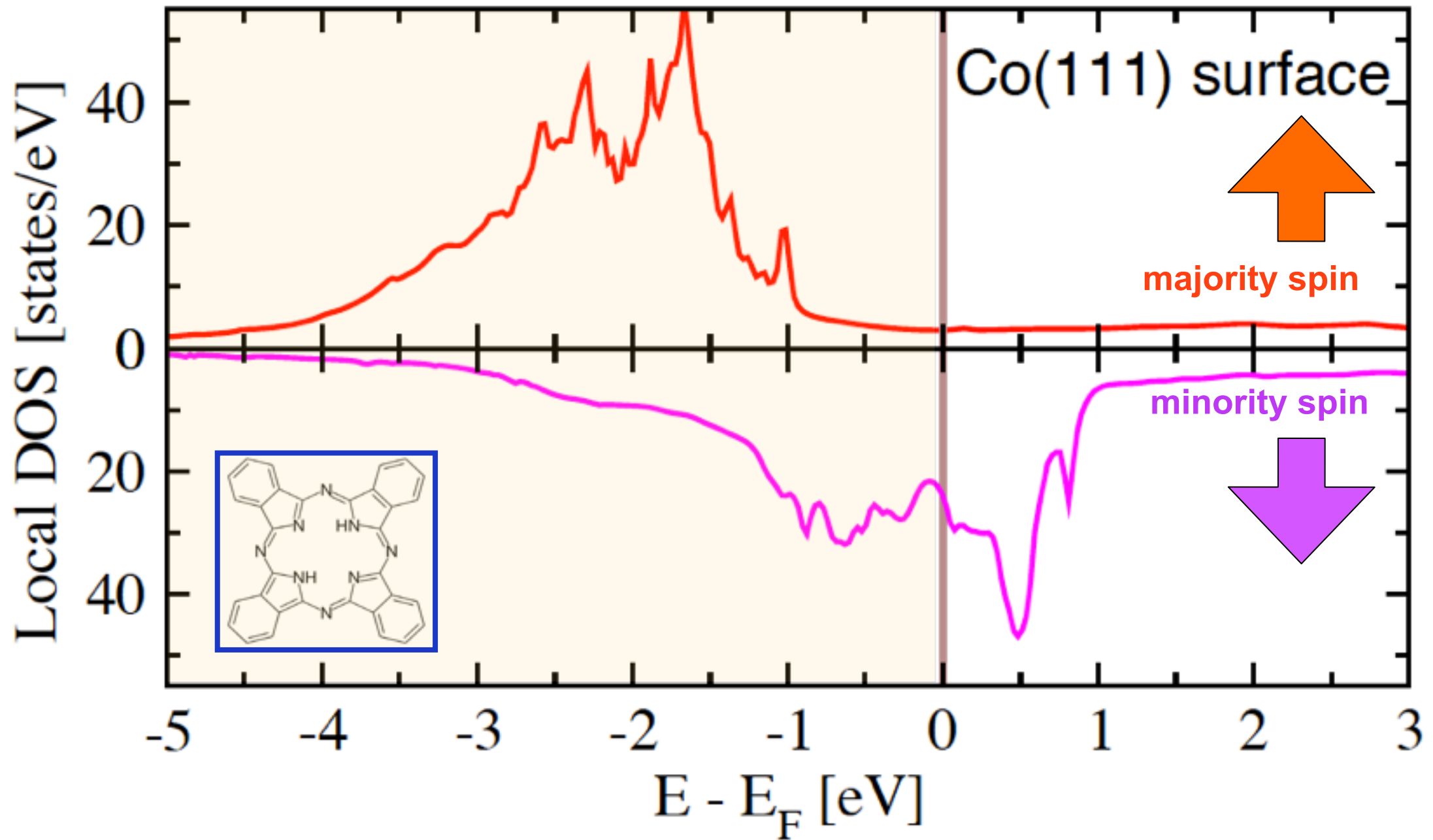
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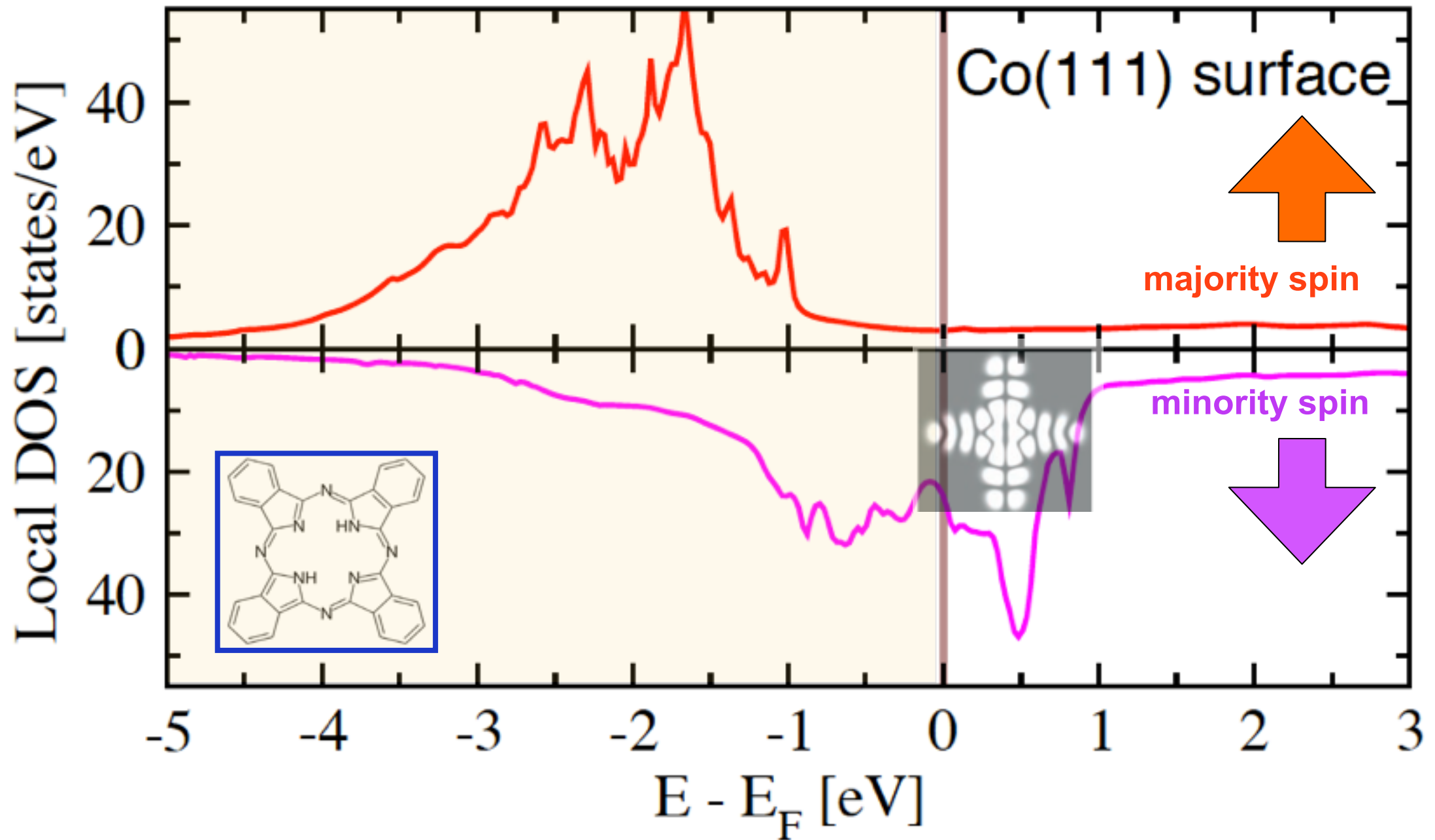
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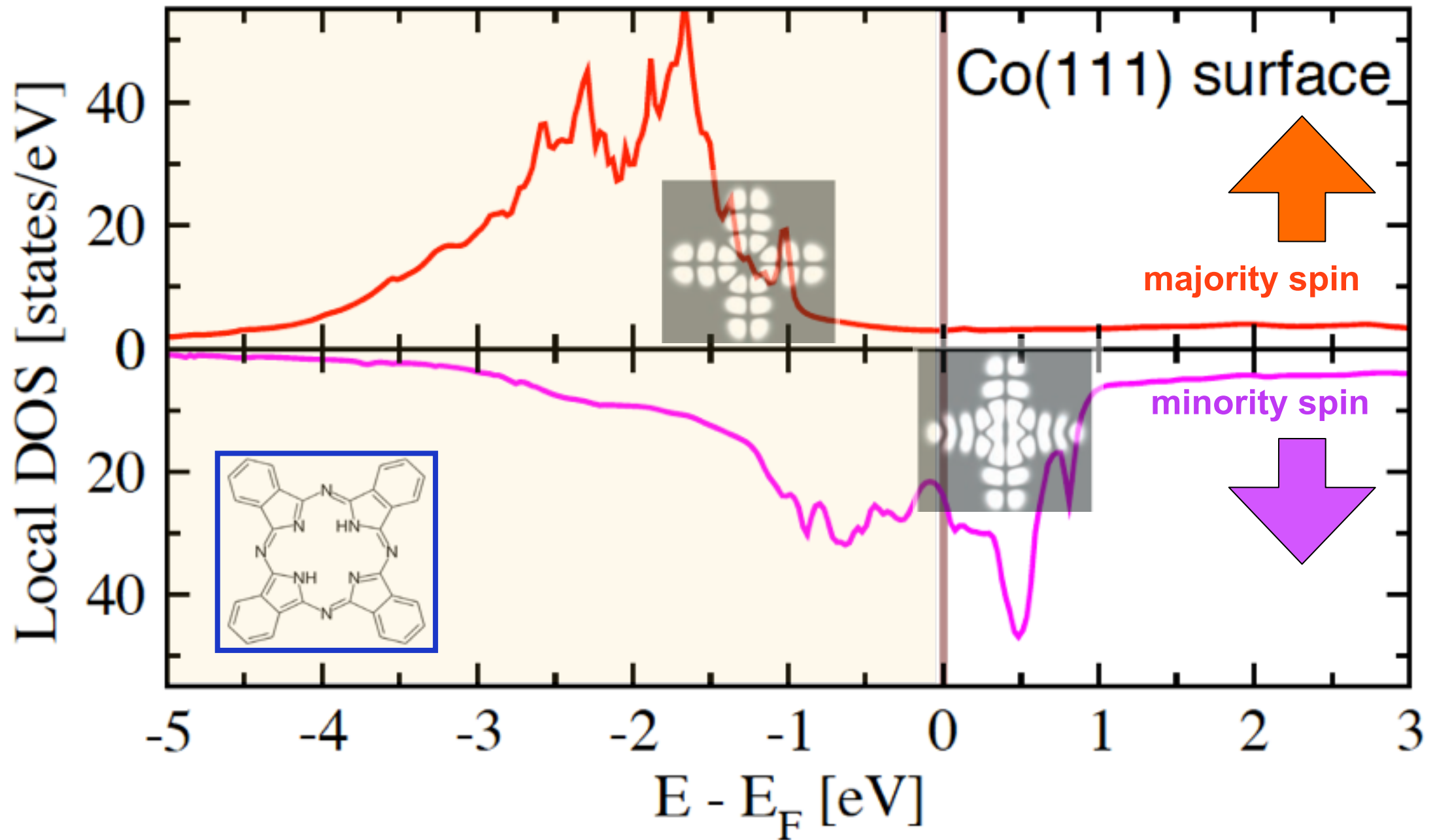
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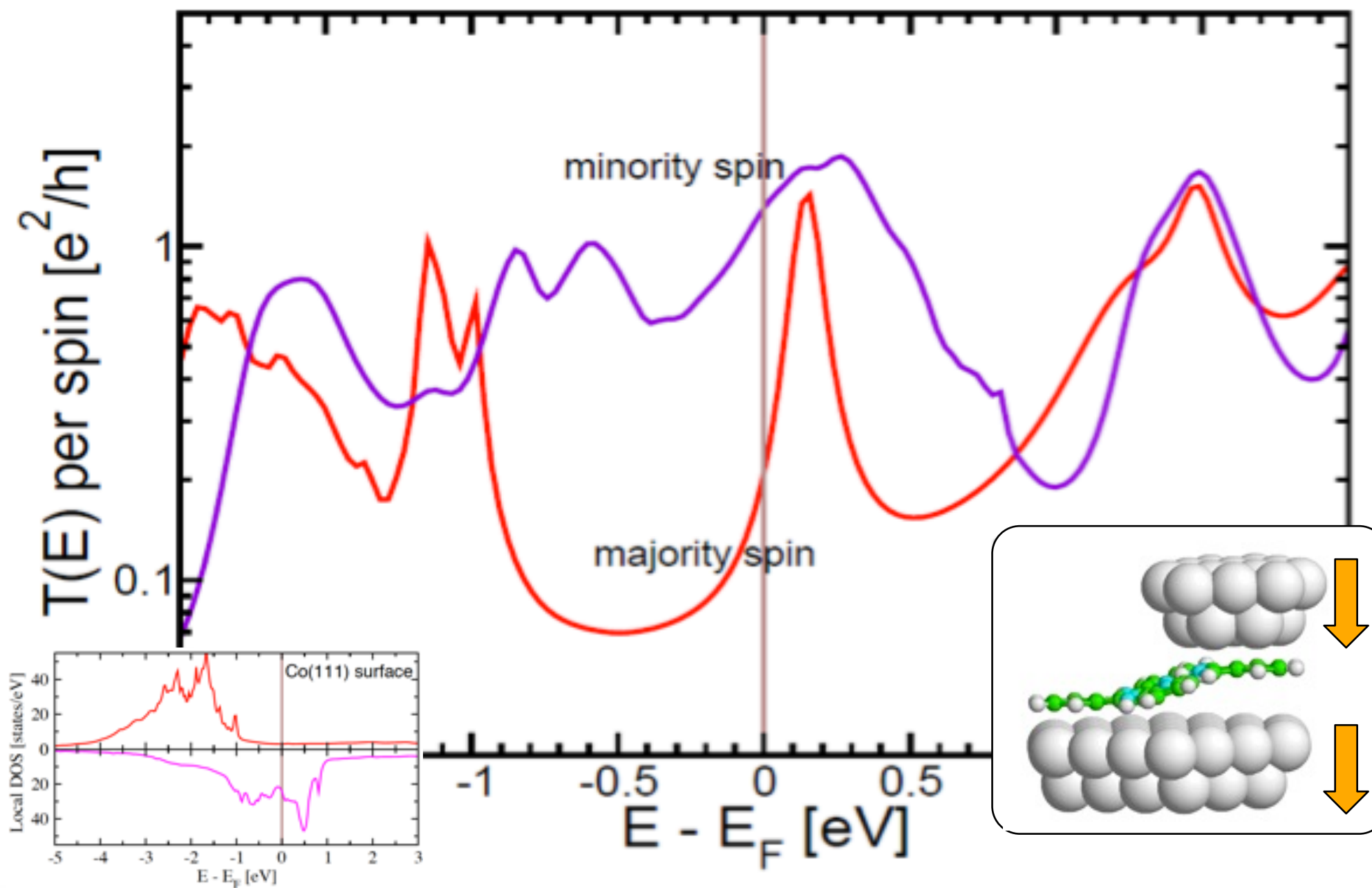
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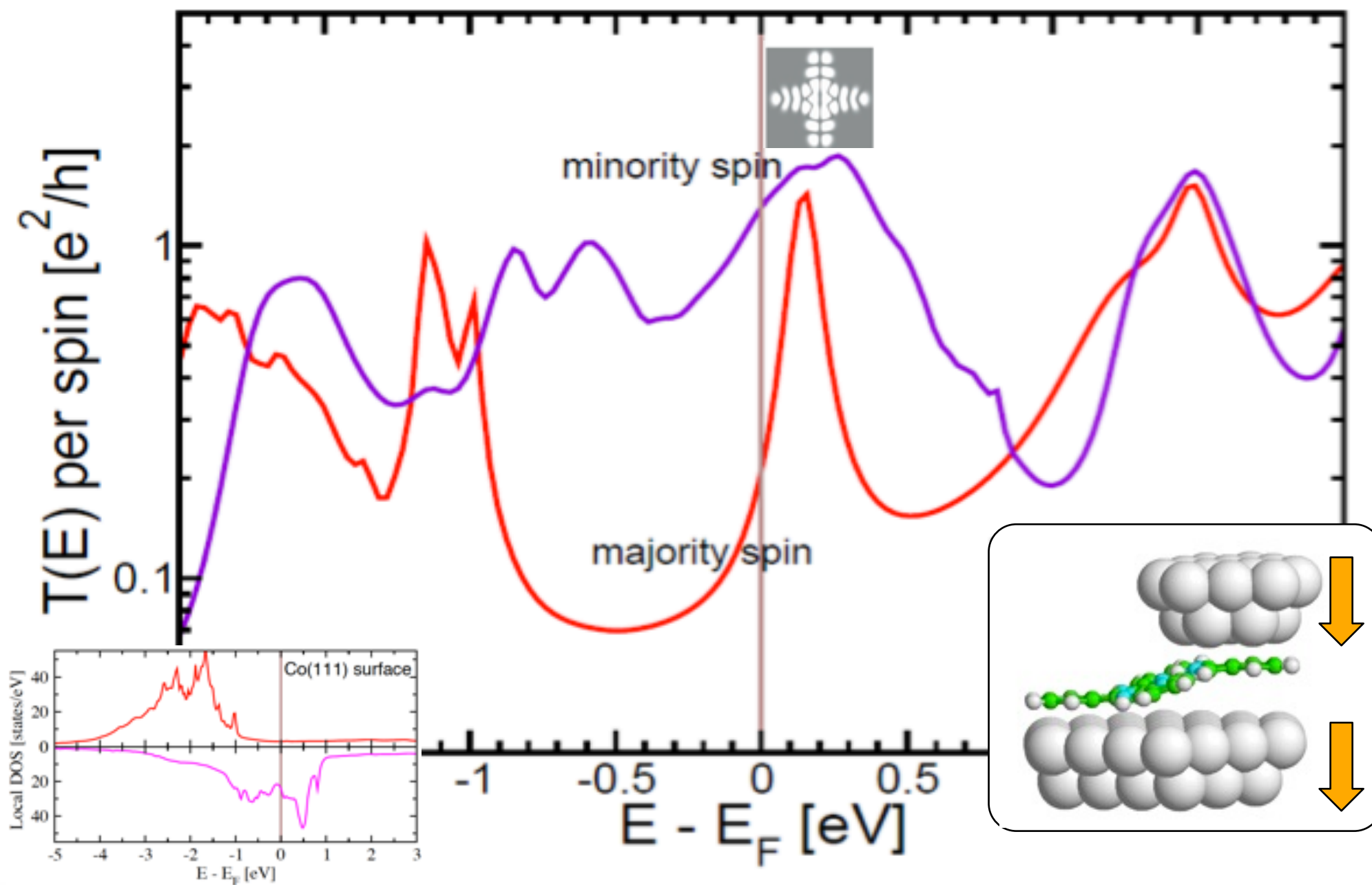
LUMO based transport resonance

(spin-DFT-transport & Turbomole: A. Bagrets & FE, 2010)



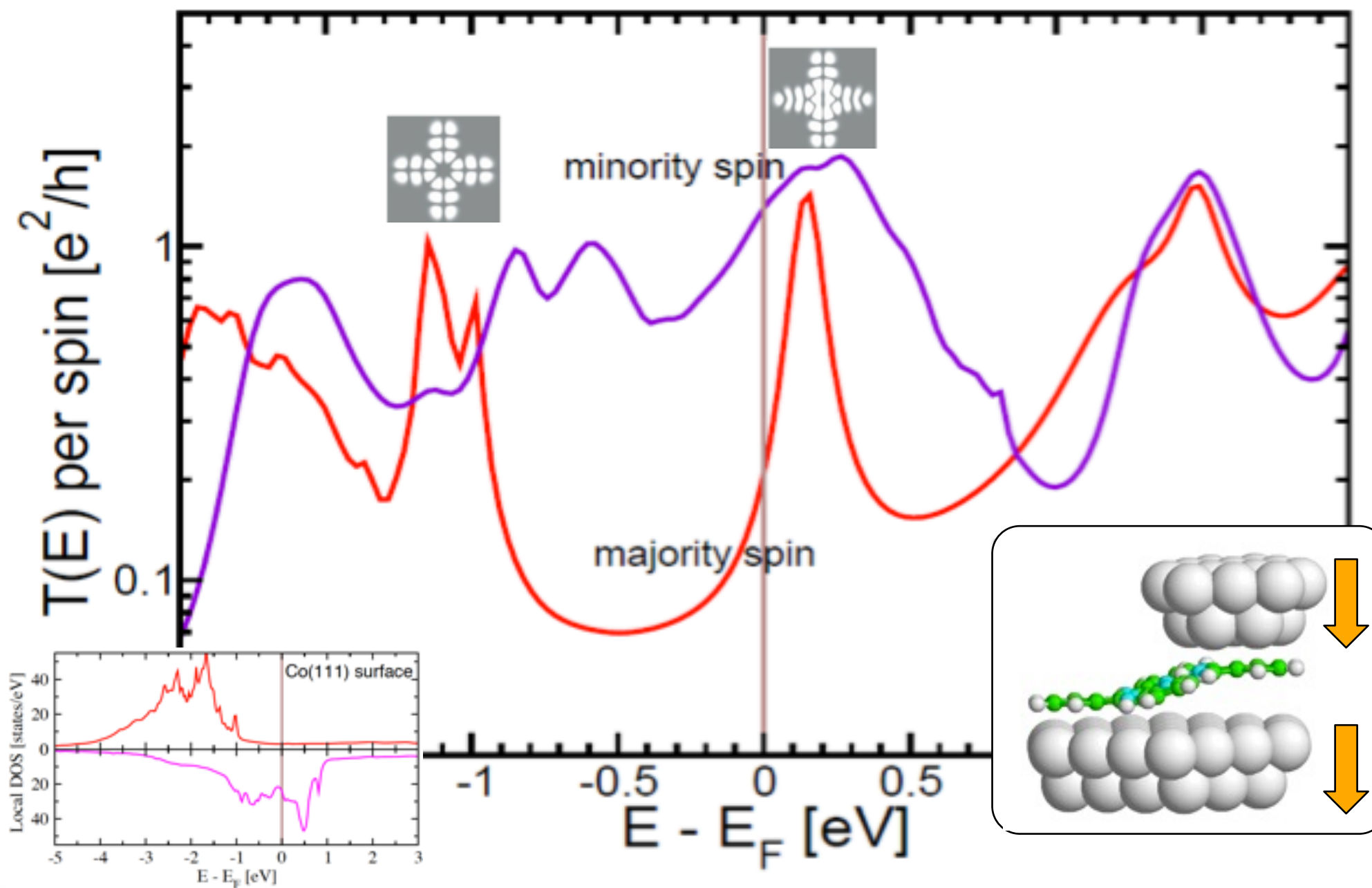
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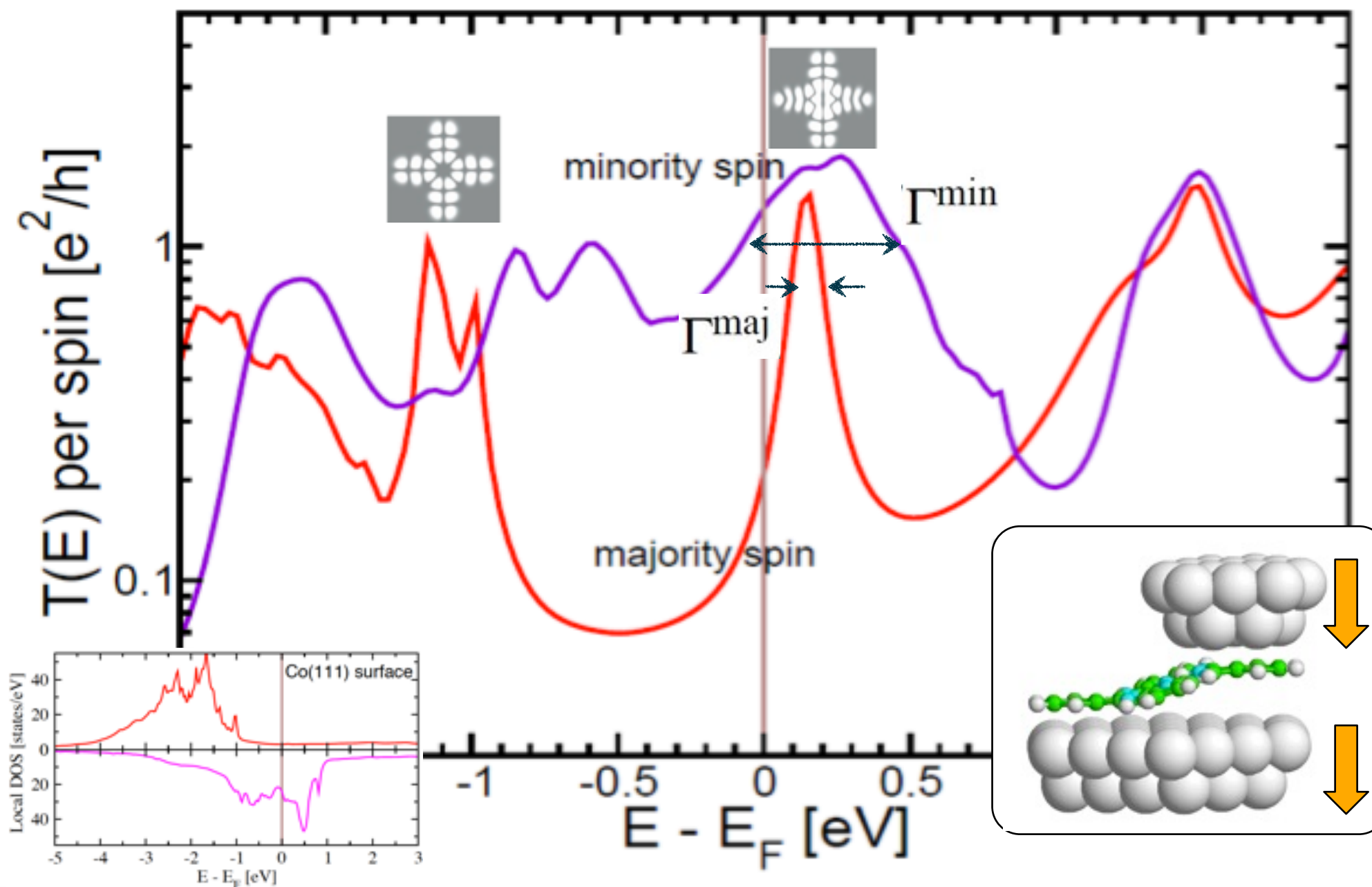
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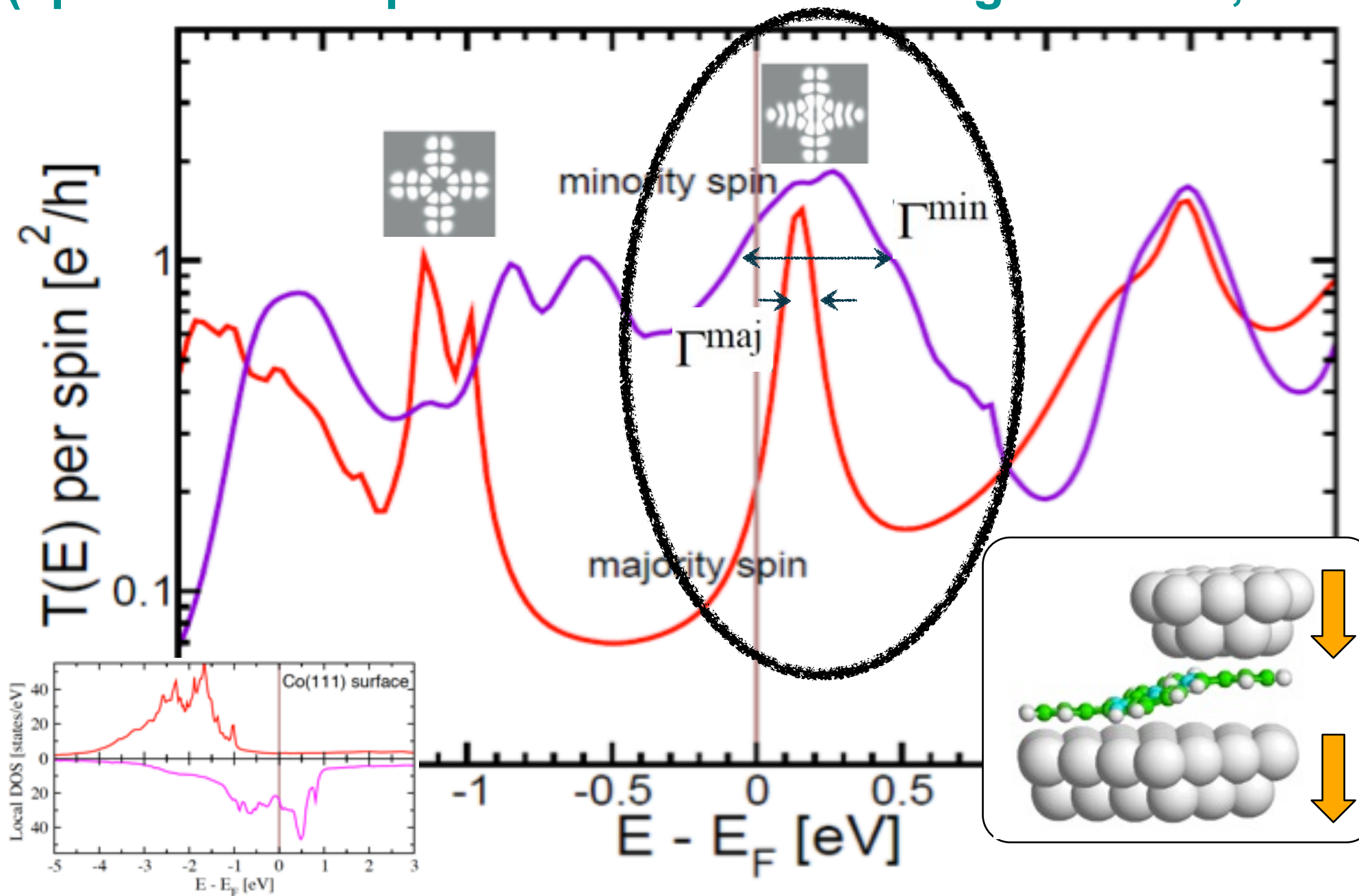
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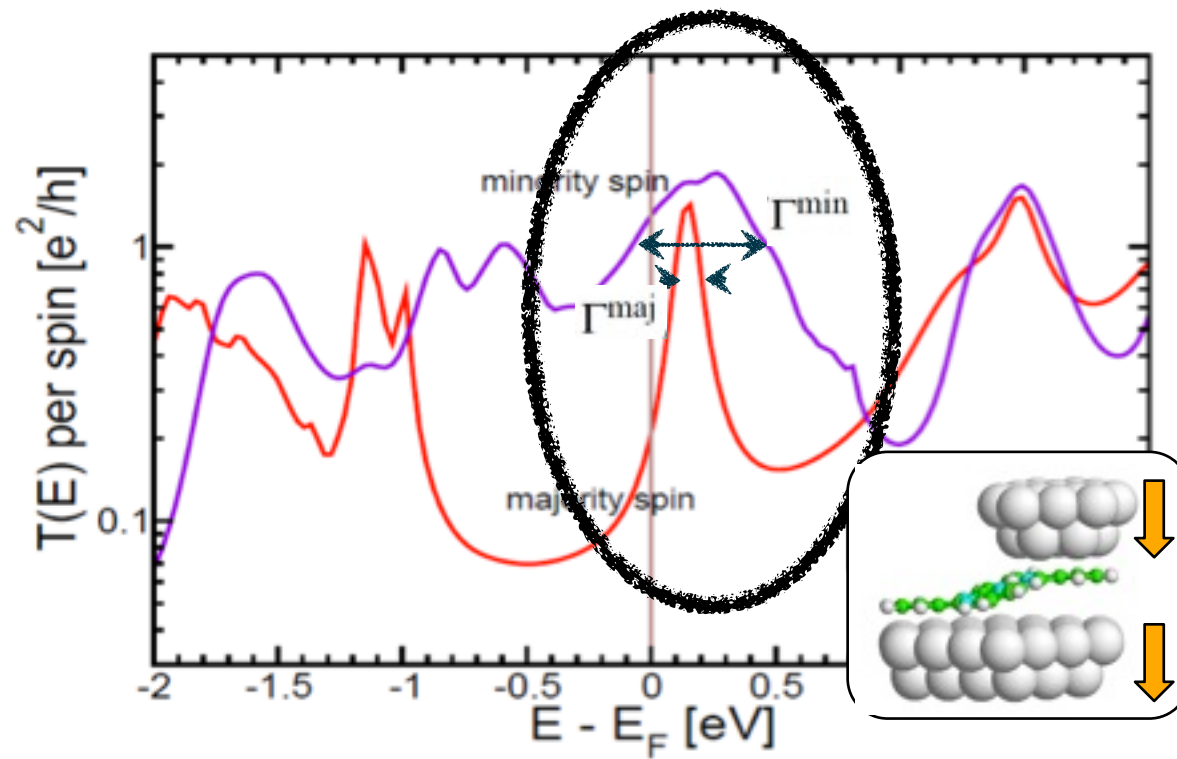
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Origin of large GMR

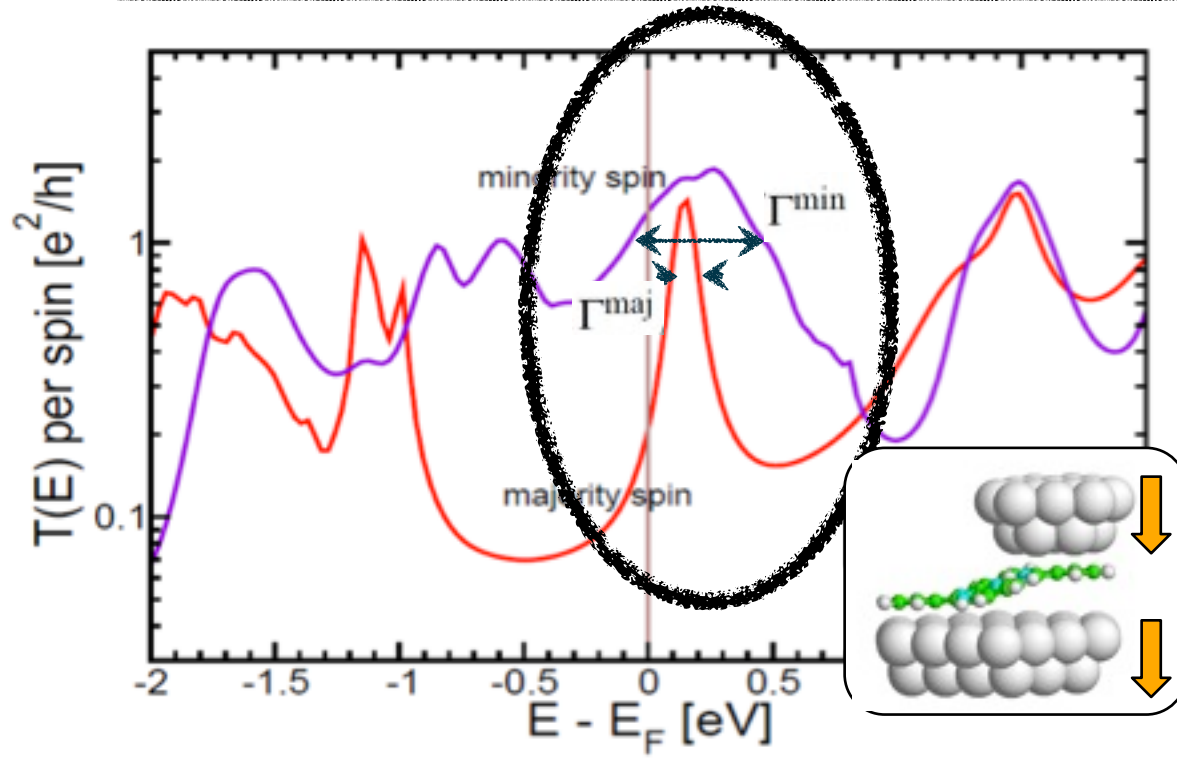
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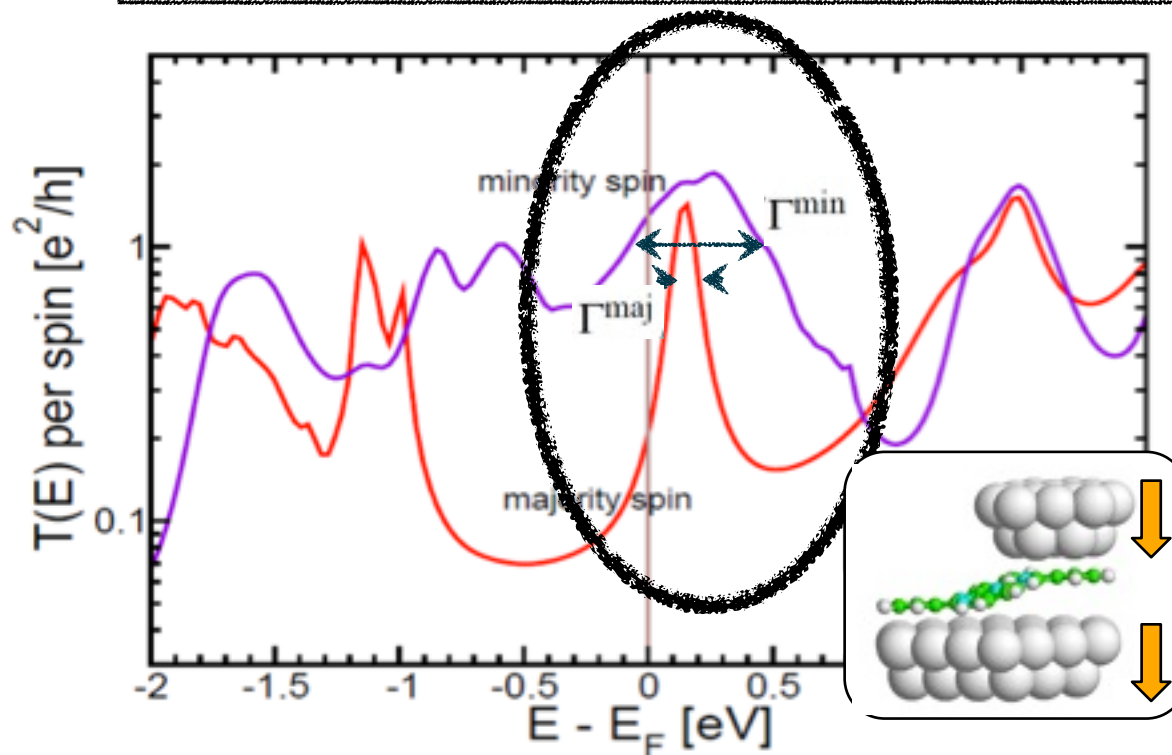
$$T(E) = \frac{\Gamma_{\text{substrate}}\Gamma_{\text{tip}}}{(E - E_{\text{LUMO}})^2 - (\Gamma_{\text{substrate}} + \Gamma_{\text{tip}})^2/4}$$



Origin of large GMR

(spin-DFT-transport & Turbomole: A. Bagrets & FE, 2010)

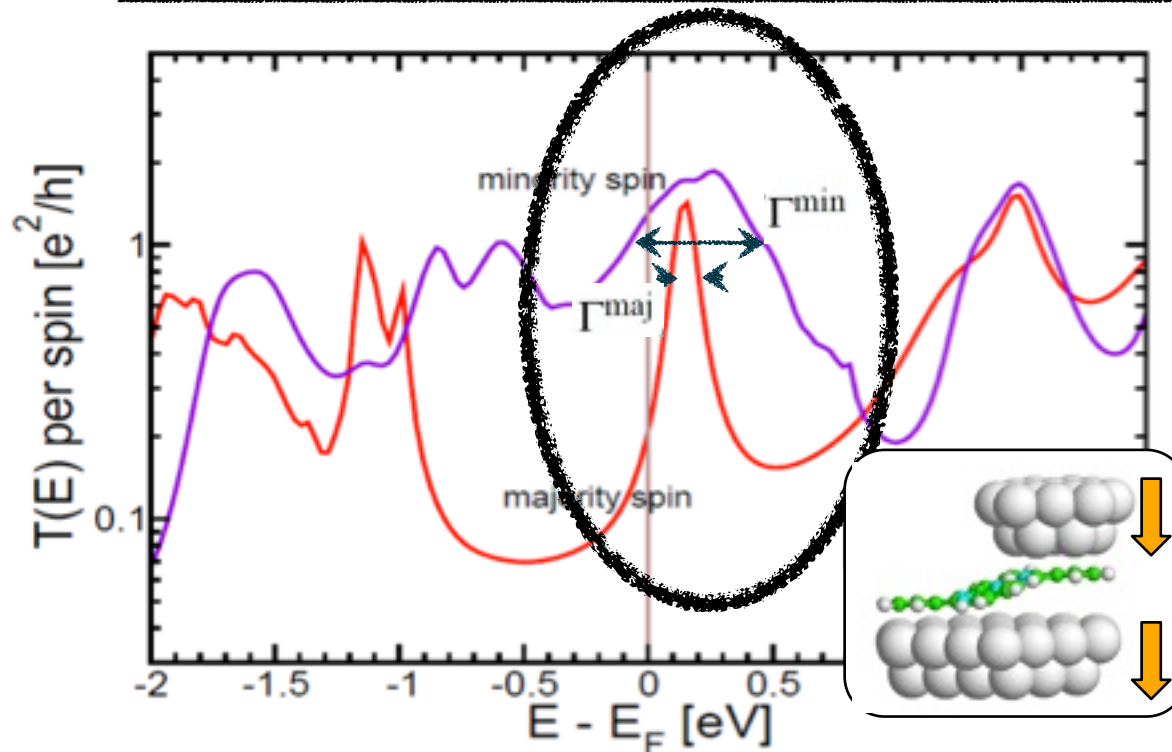
$$T(E) = \frac{\Gamma_{\text{substrate}}\Gamma_{\text{tip}}}{(E - E_{\text{LUMO}})^2 - (\Gamma_{\text{substrate}} + \Gamma_{\text{tip}})^2/4}$$



	$\uparrow\uparrow$	$\downarrow\downarrow$	$\uparrow\downarrow$	$\downarrow\uparrow$
$\Gamma_{\text{substrate}}$	Γ_{maj}	Γ_{min}	Γ_{maj}	Γ_{min}
Γ_{tip}	Γ_{maj}	Γ_{min}	Γ_{min}	Γ_{maj}

Origin of large GMR (spin-DFT-transport & Turbomole: A. Bagrets & FE, 2010)

$$T(E) = \frac{\Gamma_{\text{substrate}}\Gamma_{\text{tip}}}{(E - E_{\text{LUMO}})^2 - (\Gamma_{\text{substrate}} + \Gamma_{\text{tip}})^2/4}$$



	↑↑	↓↓	↑↓	↓↑
$\Gamma_{\text{substrate}}$	Γ^{maj}	Γ^{min}	Γ^{maj}	Γ^{min}
Γ_{tip}	Γ^{maj}	Γ^{min}	Γ^{min}	Γ^{maj}

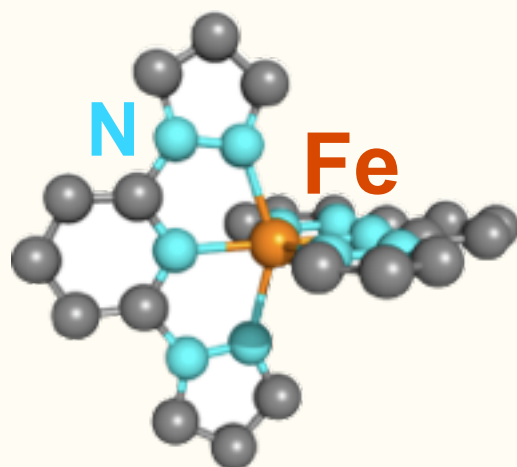
$$\begin{aligned} \text{GMR} &\approx \frac{(\Gamma^{\text{min}} - \Gamma^{\text{maj}})^2}{2\Gamma^{\text{min}}\Gamma^{\text{maj}}} \\ &= \frac{(1 - \rho)^2}{2\rho} \end{aligned}$$

where

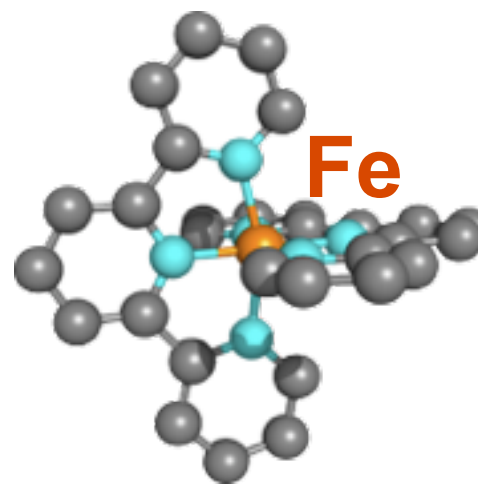
$$\begin{aligned} \rho &= \Gamma^{\text{maj}}/\Gamma^{\text{min}} \\ &\approx \frac{|V_{\text{hybrid}}|^2 \varrho_{\text{LDoS}}^{\text{maj}}}{|V_{\text{hybrid}}|^2 \varrho_{\text{LDoS}}^{\text{min}}} \approx \frac{\varrho_{\text{LDoS}}^{\text{maj}}}{\varrho_{\text{LDoS}}^{\text{min}}} \end{aligned}$$

III. Molecular Instabilities

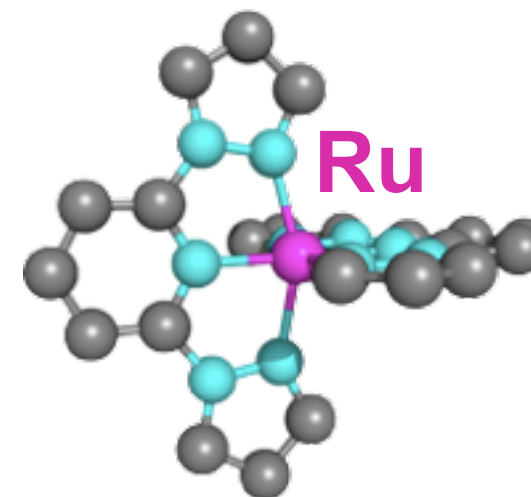
Spin transition complexes



$[\text{Fe}(\text{bpp})_2]^{2+}$



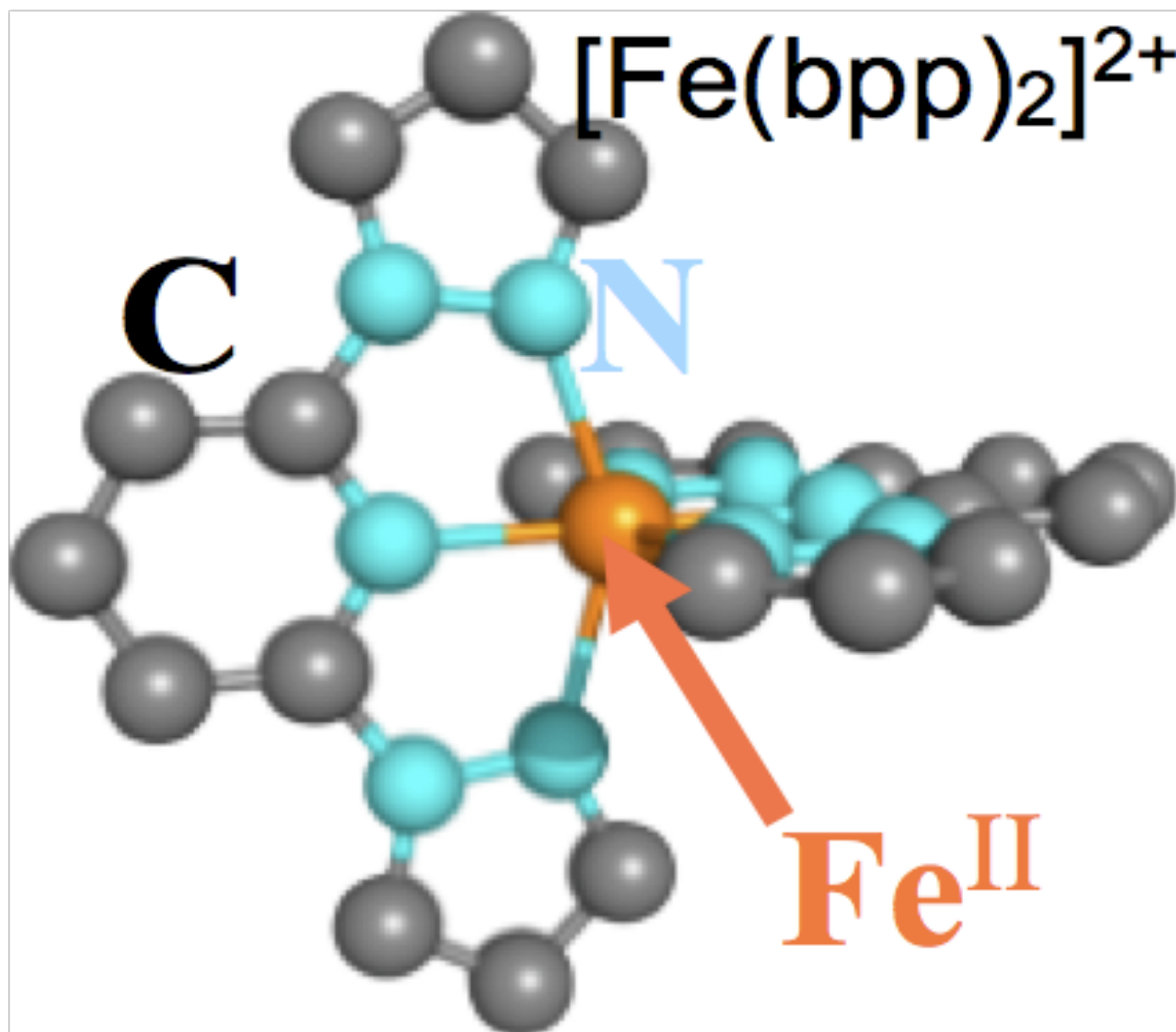
$[\text{Fe}(\text{tpy})_2]^{2+}$



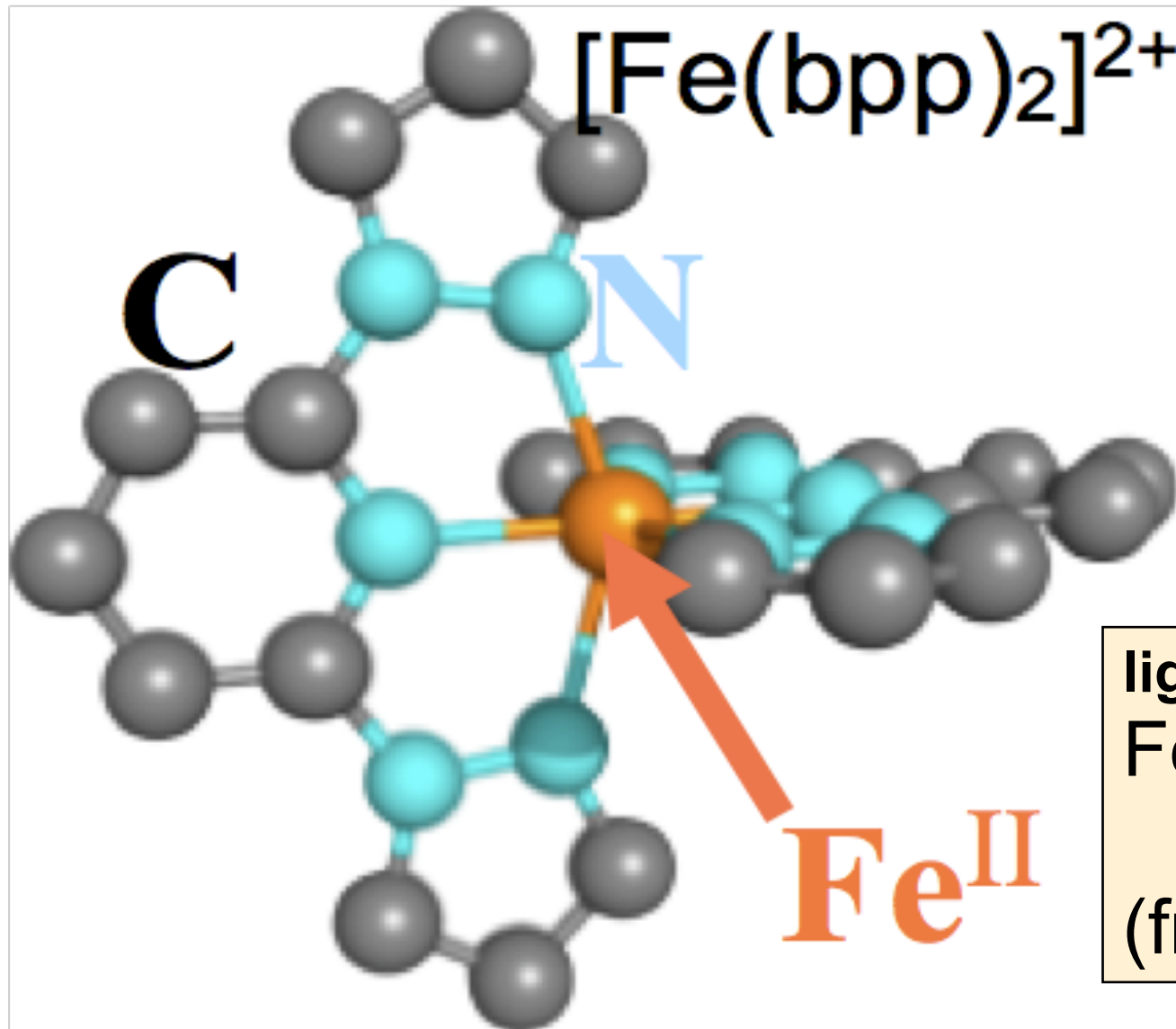
$[\text{Ru}(\text{bpp})_2]^{2+}$

- Transition metal (Me) : Fe or Ru (or Mn, Co,)
- Organic ligands: bpp = bispyrazolopyridine ; tpy = terpyridine

The Protagonist of the Story



The Protagonist of the Story



ligand induced spin quenching
Fe^{II} -ion in (bpp)₂: $S_{Fe}=0$
(free space: $S_{Fe}=2$)

collaboration with

V. Meded, A. Bagrets, K. Fink, M. Ruben (INT)

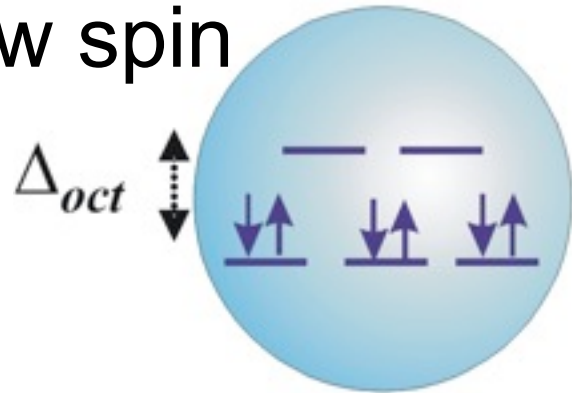
H. van der Zant , A. Bernand-Mantel (TU Delft)

(submitted 2010)

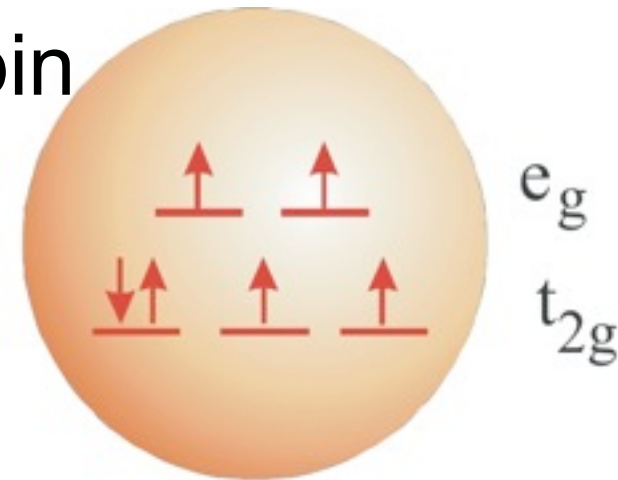
Spin transition in Me^{II} -complexes

control parameters: T, pressure, light

low spin

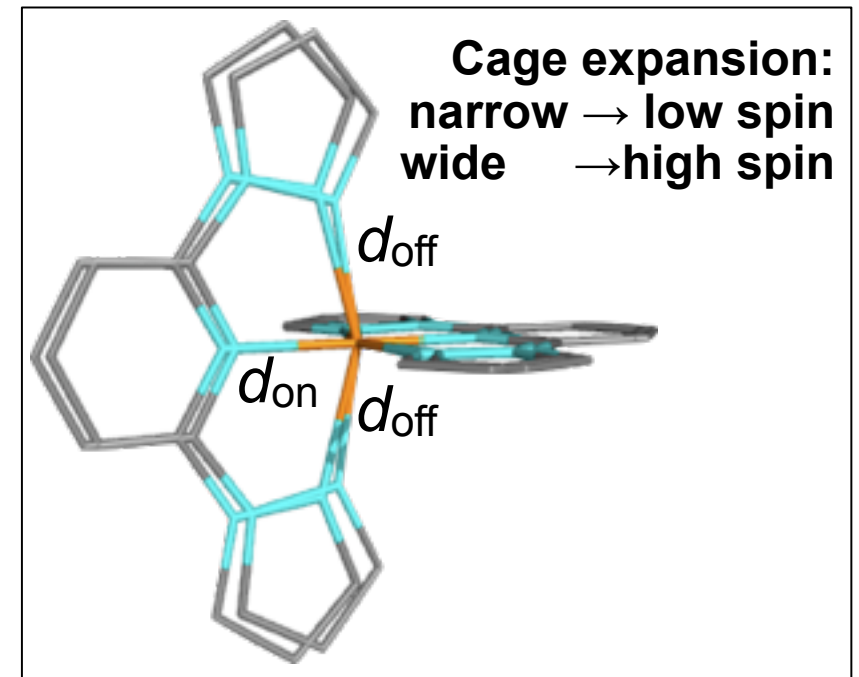
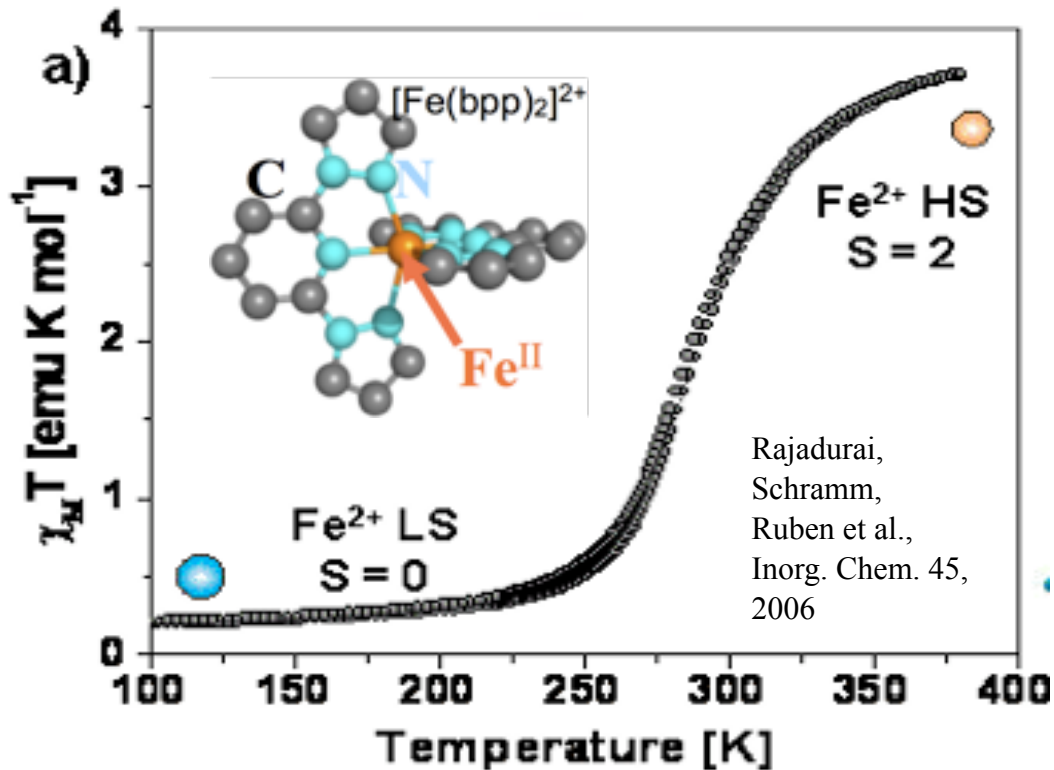


high spin



light, temperature

pressure



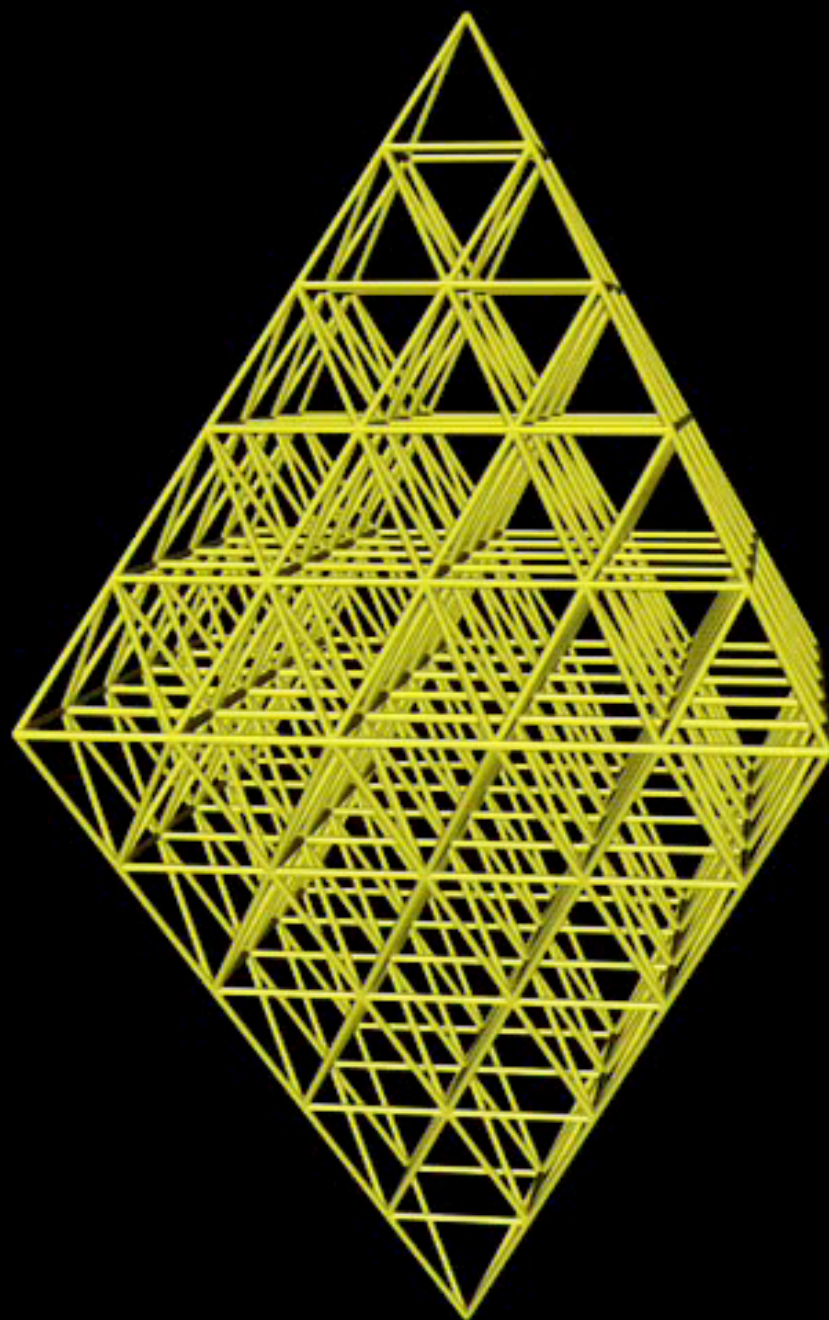
II.1 Spin transition driven by charging

Theory part
collaboration with **M. Ruben**

2006 - 2008

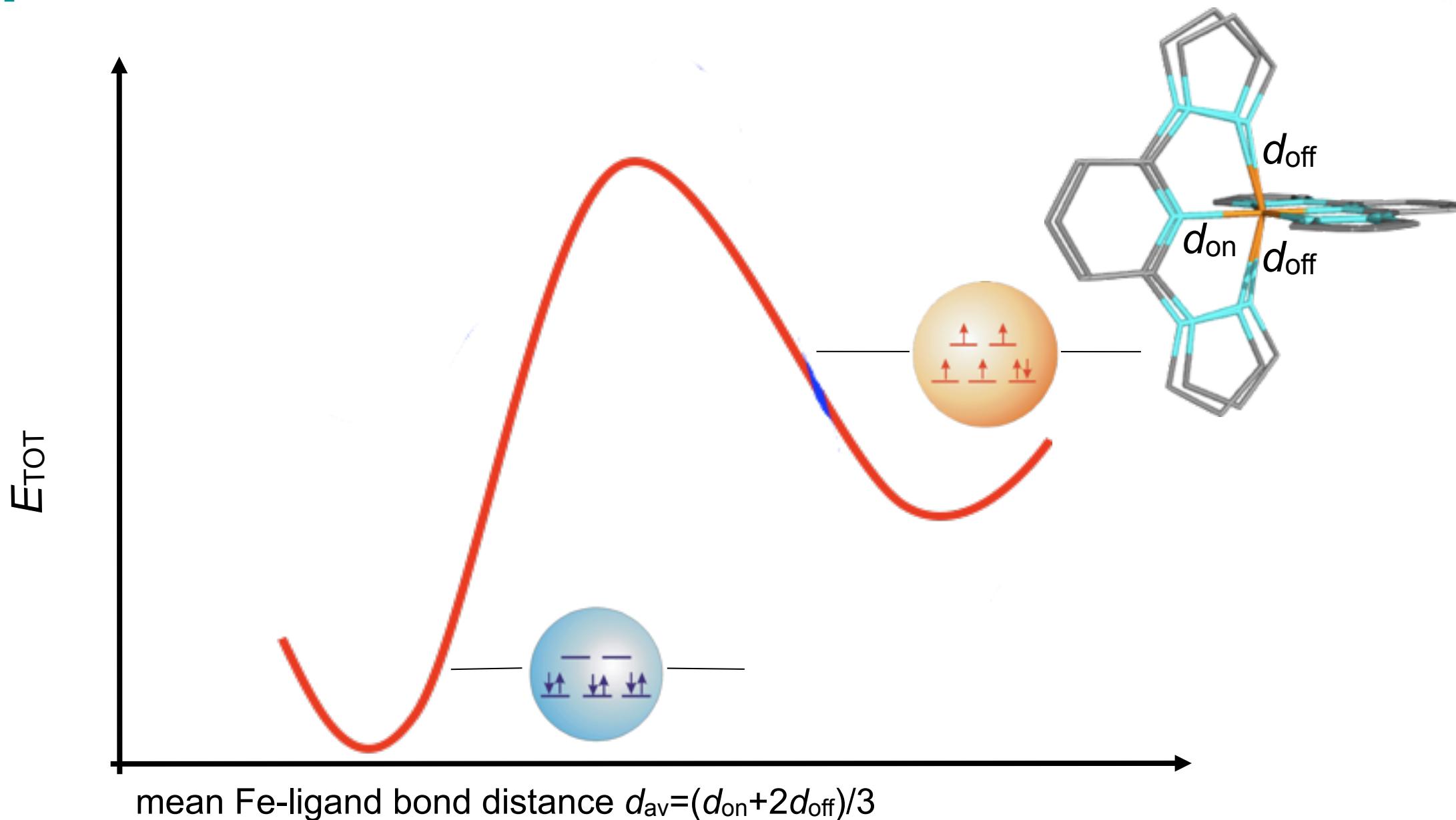
Ferdinand Evers SFB Kolloquium, FU Berlin May 5th, 2010

F. Weigend, FE, J. Weissmueller, SMALL 2006

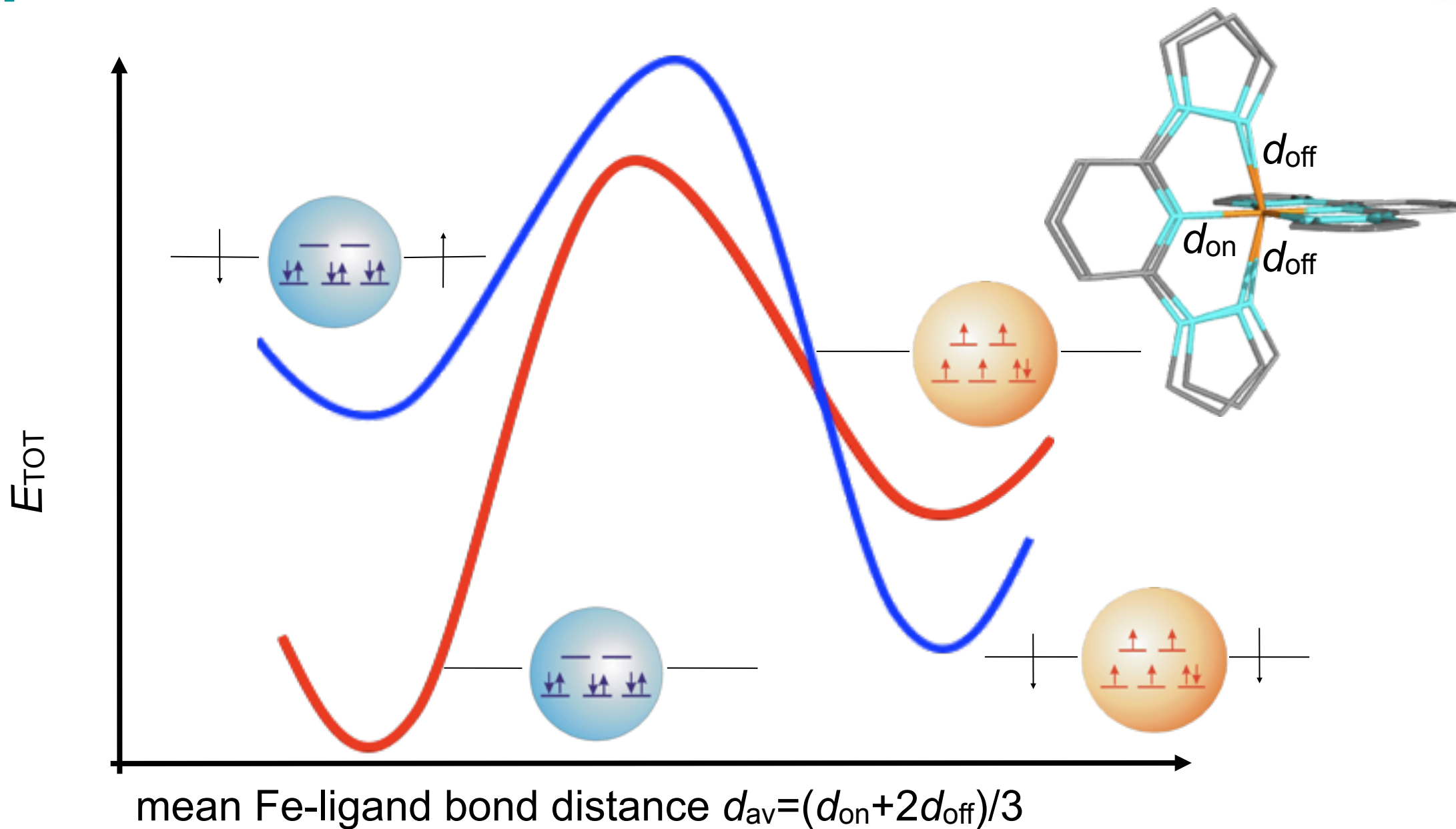


F. Weigend, FE, J. Weissmueller, SMALL 2006

Spin transition: mechanical feedback

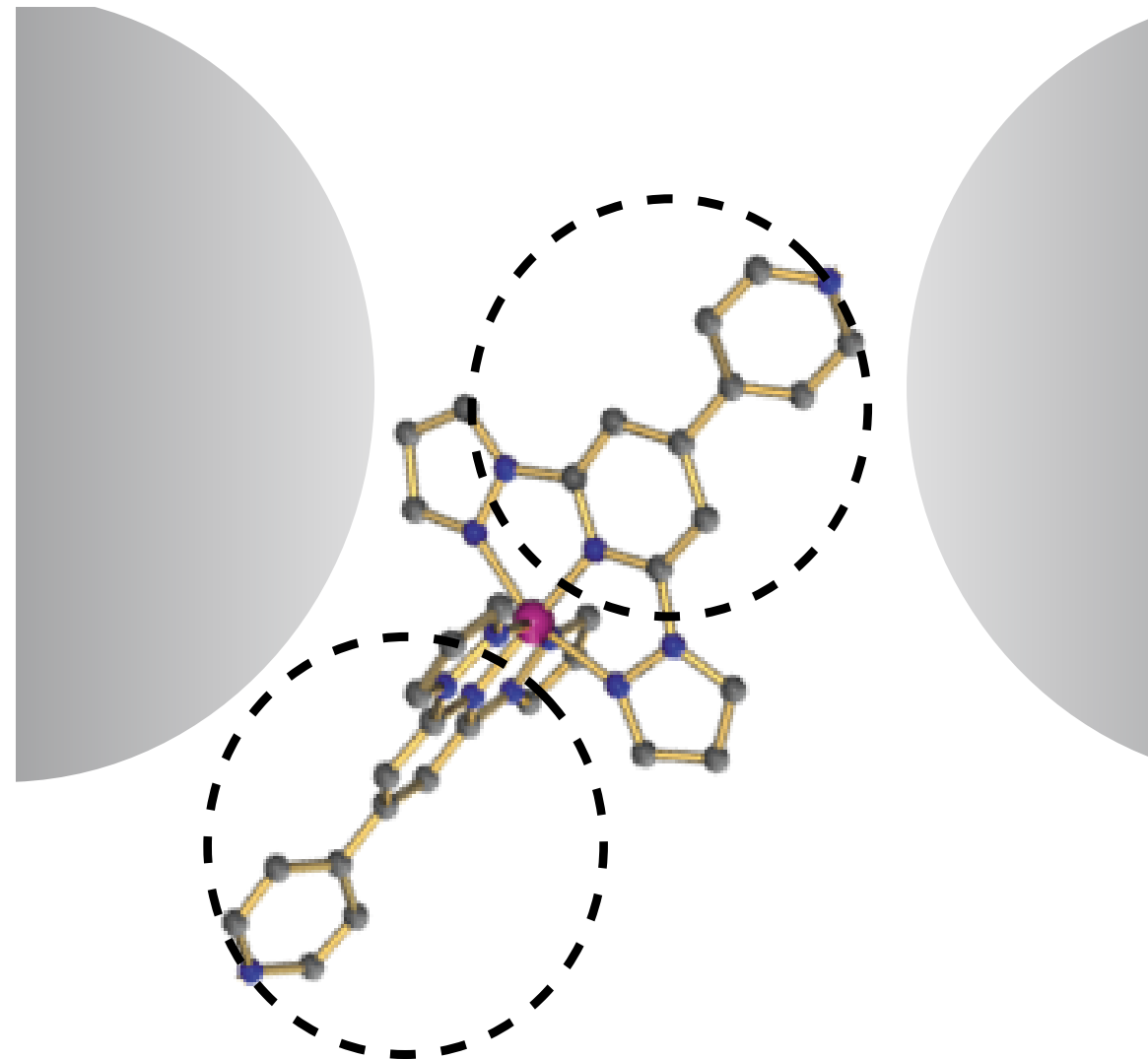


Spin transition: mechanical feedback



Method: Density functional theory (DFT) with TURBOMOLE®

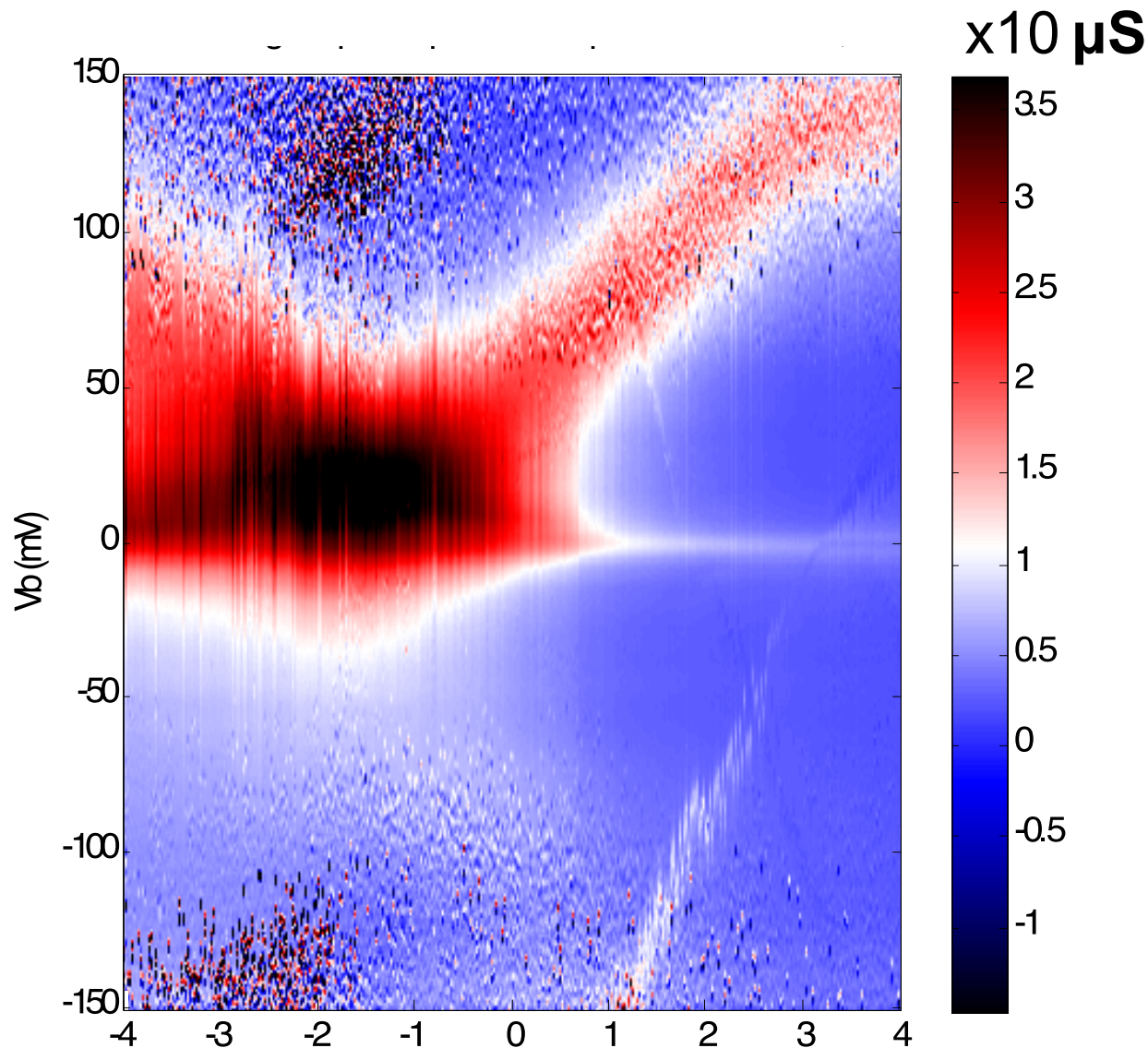
II.2 Transport experiments on spin transition complexes



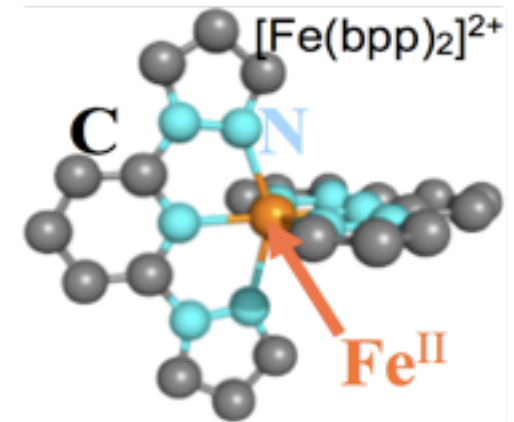
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



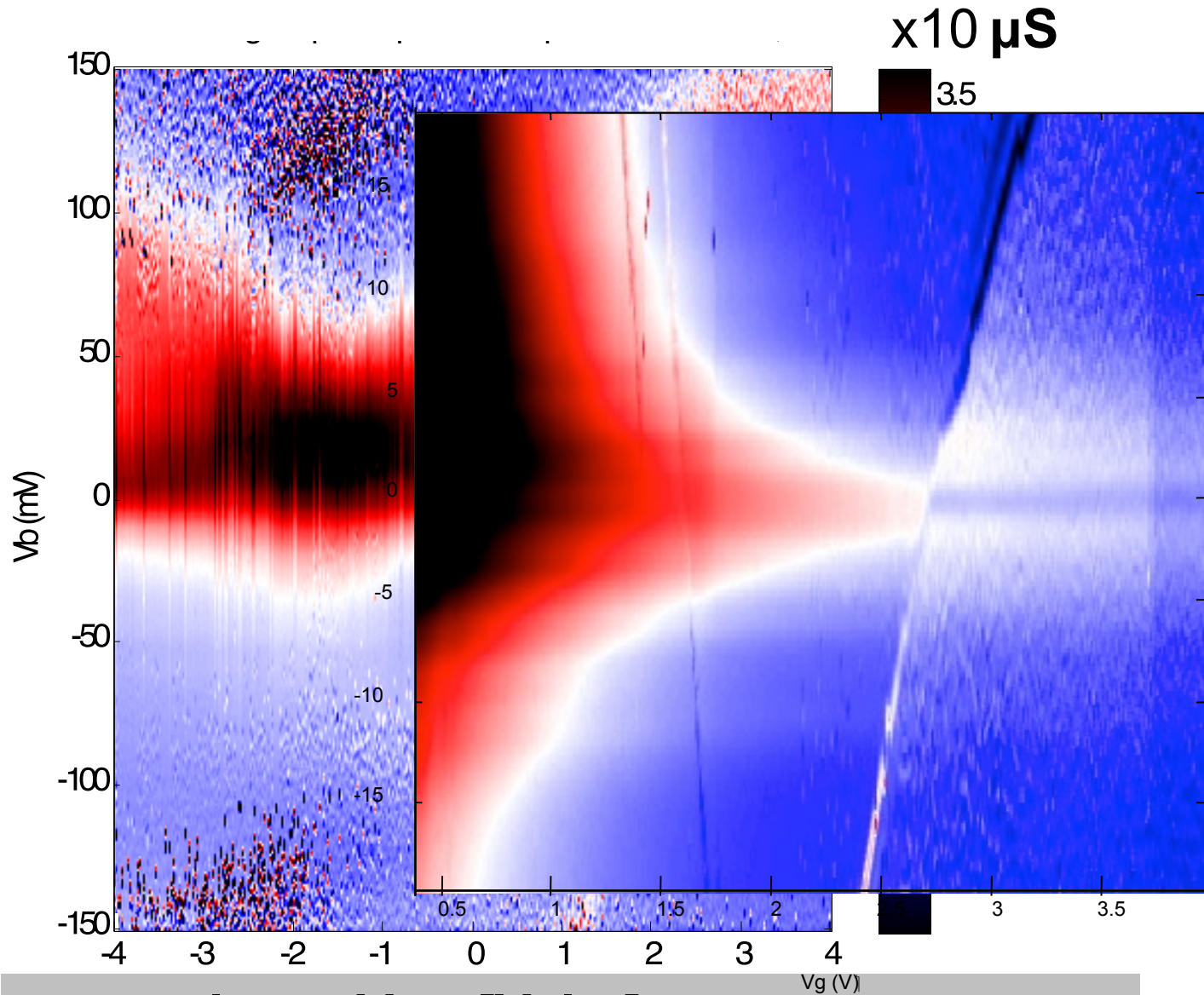
gate voltage V_{gate} [Volts] (controls particle number)



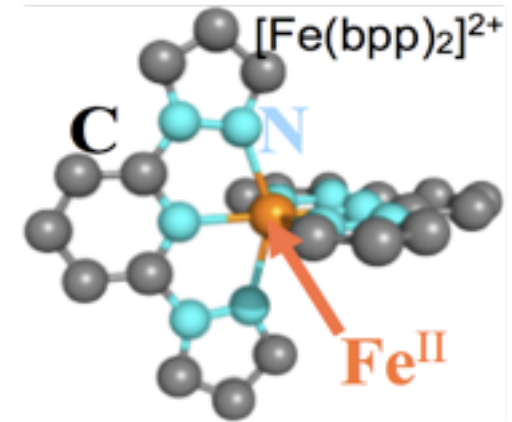
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



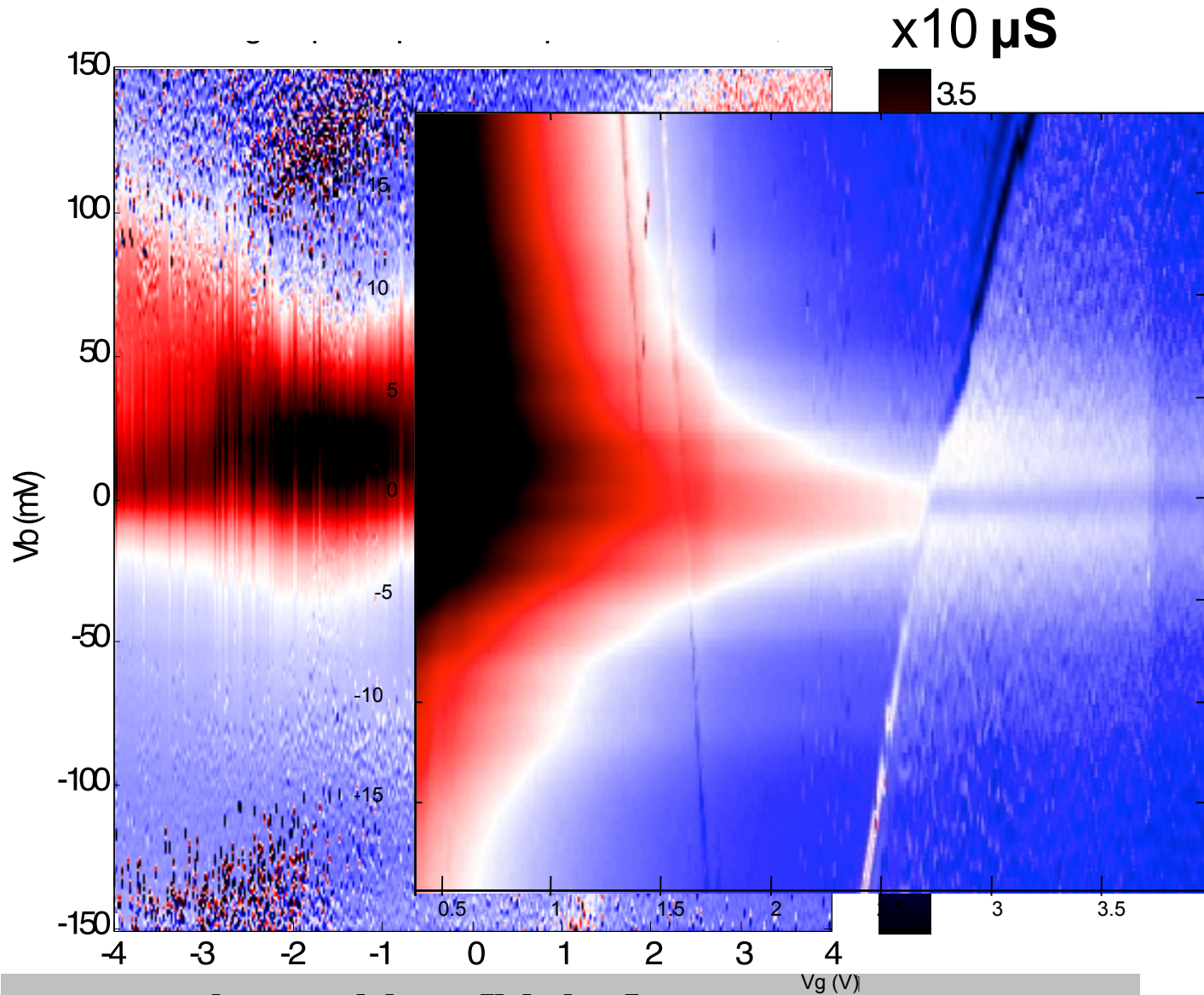
gate voltage V_{gate} [Volts] (controls particle number)



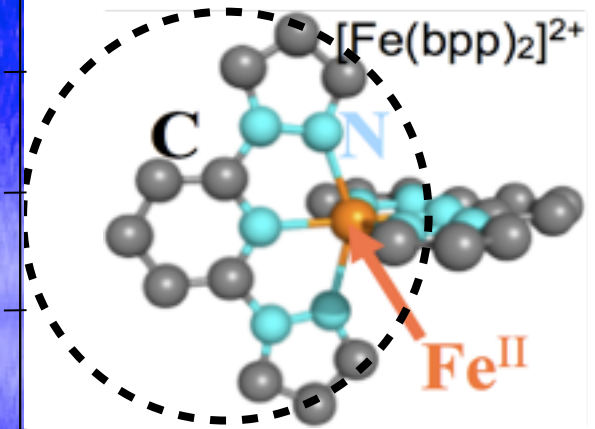
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



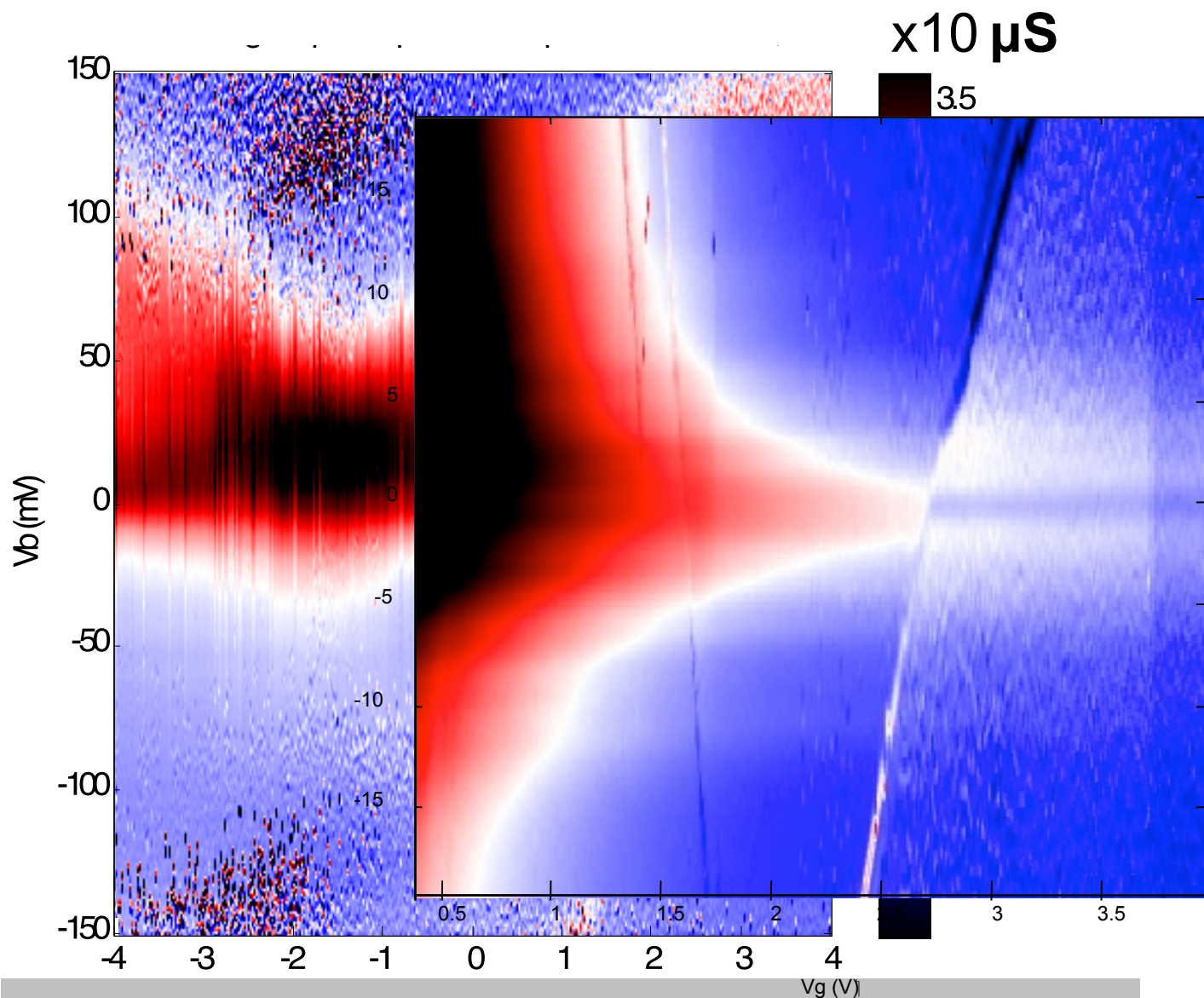
gate voltage V_{gate} [Volts] (controls particle number)



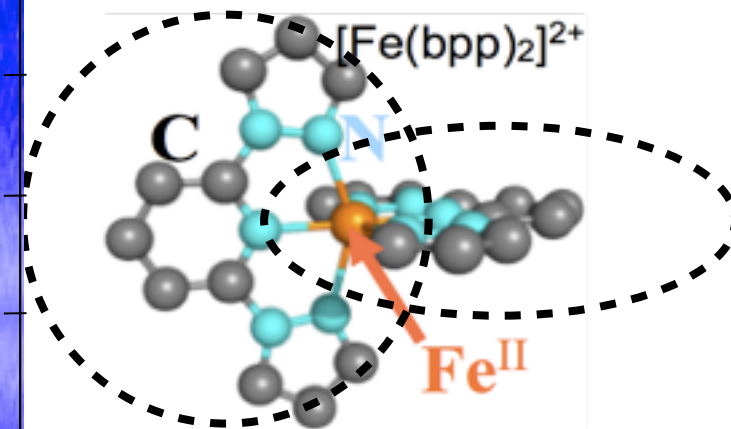
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



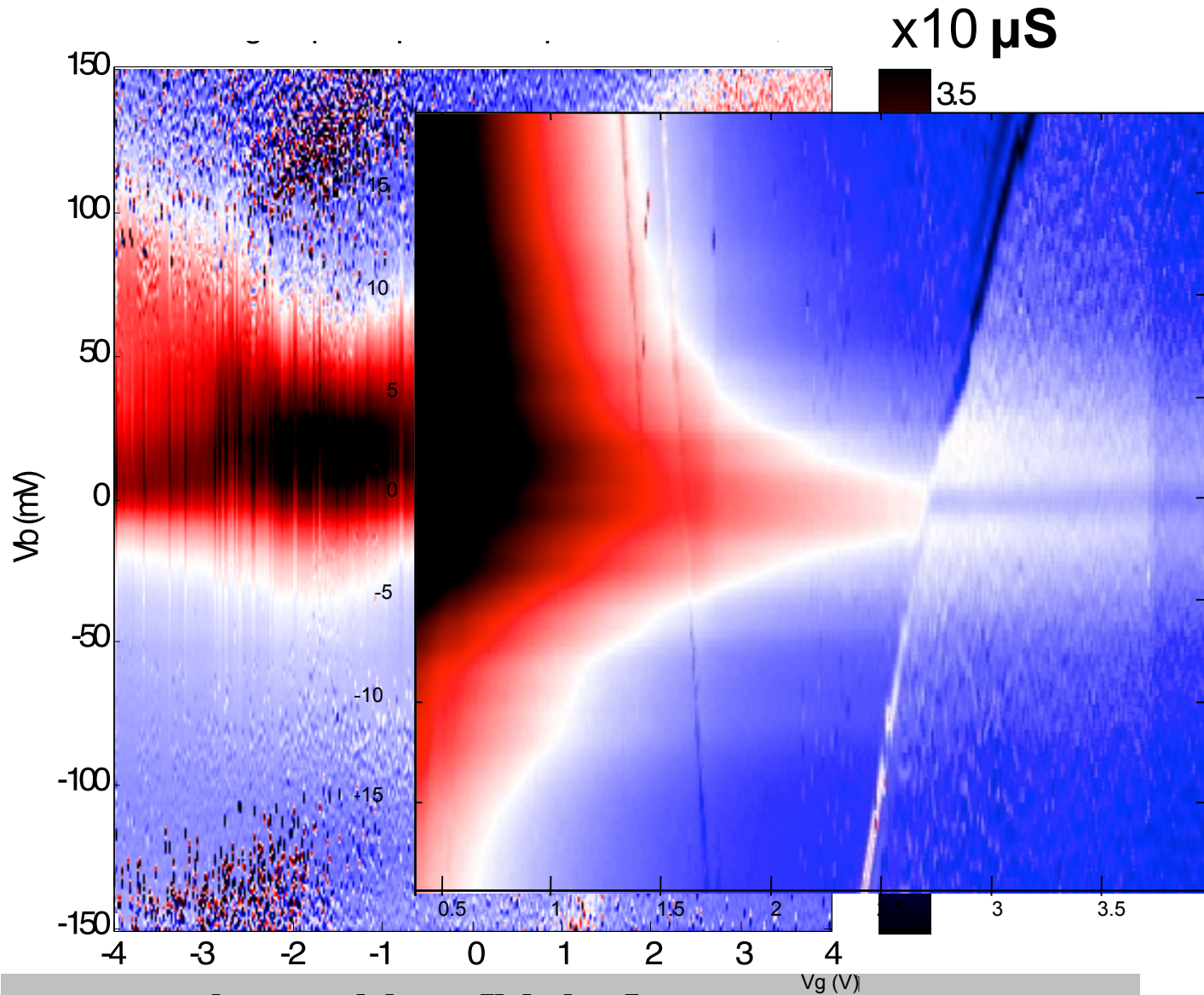
gate voltage V_{gate} [Volts] (controls particle number)



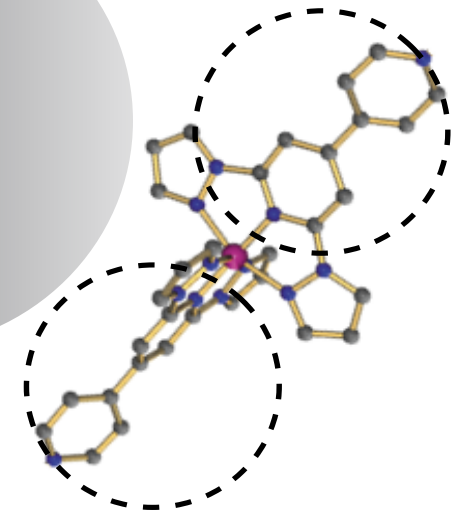
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



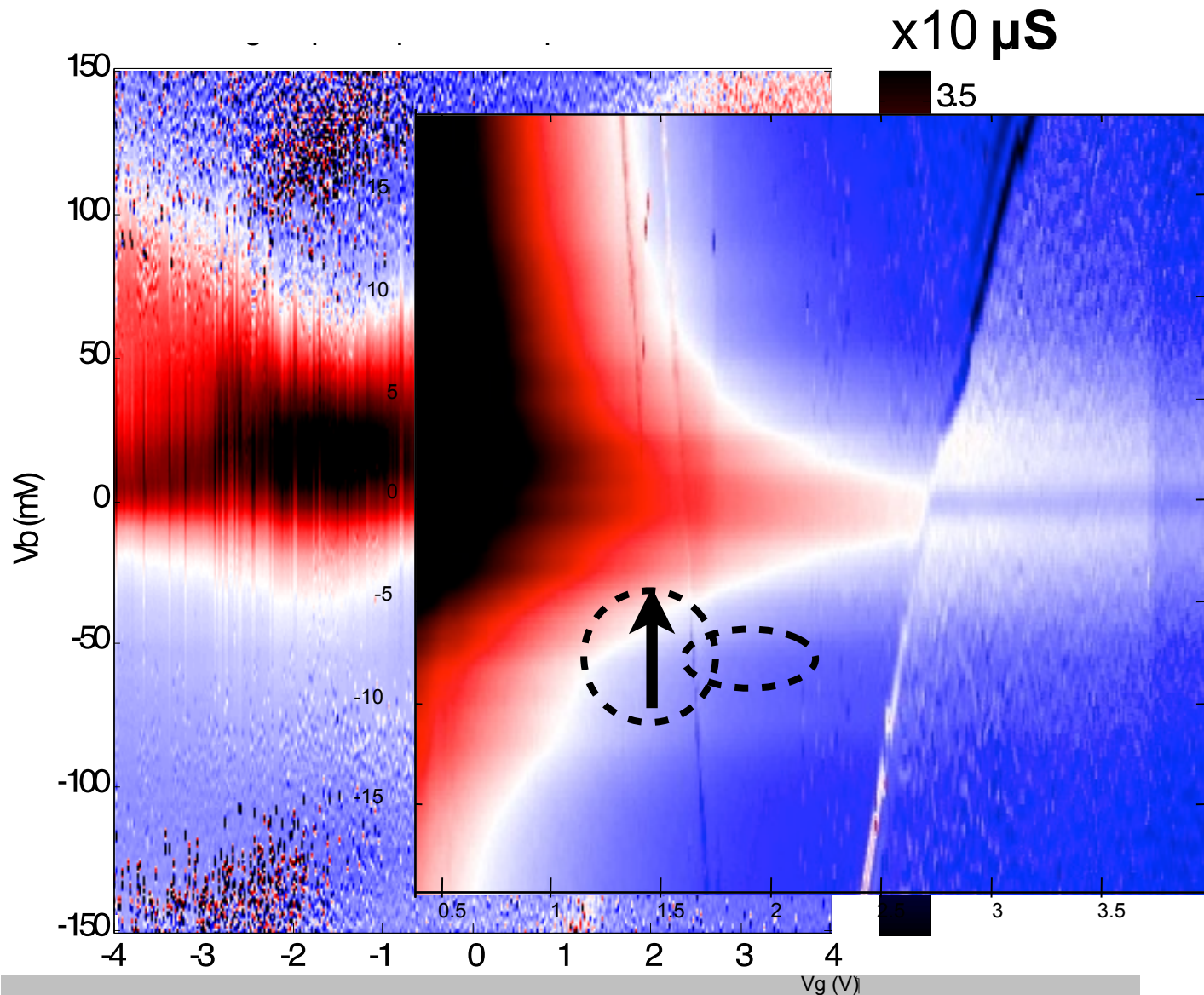
gate voltage V_{gate} [Volts] (controls particle number)



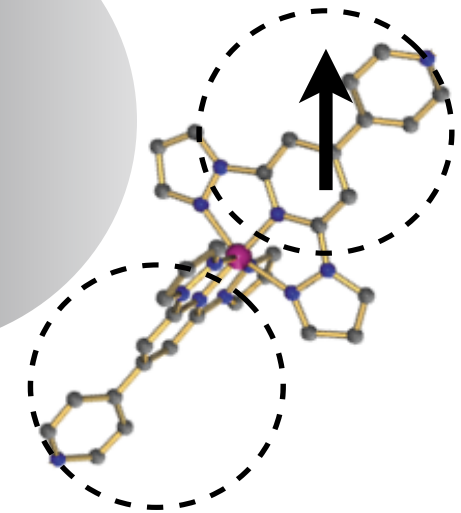
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



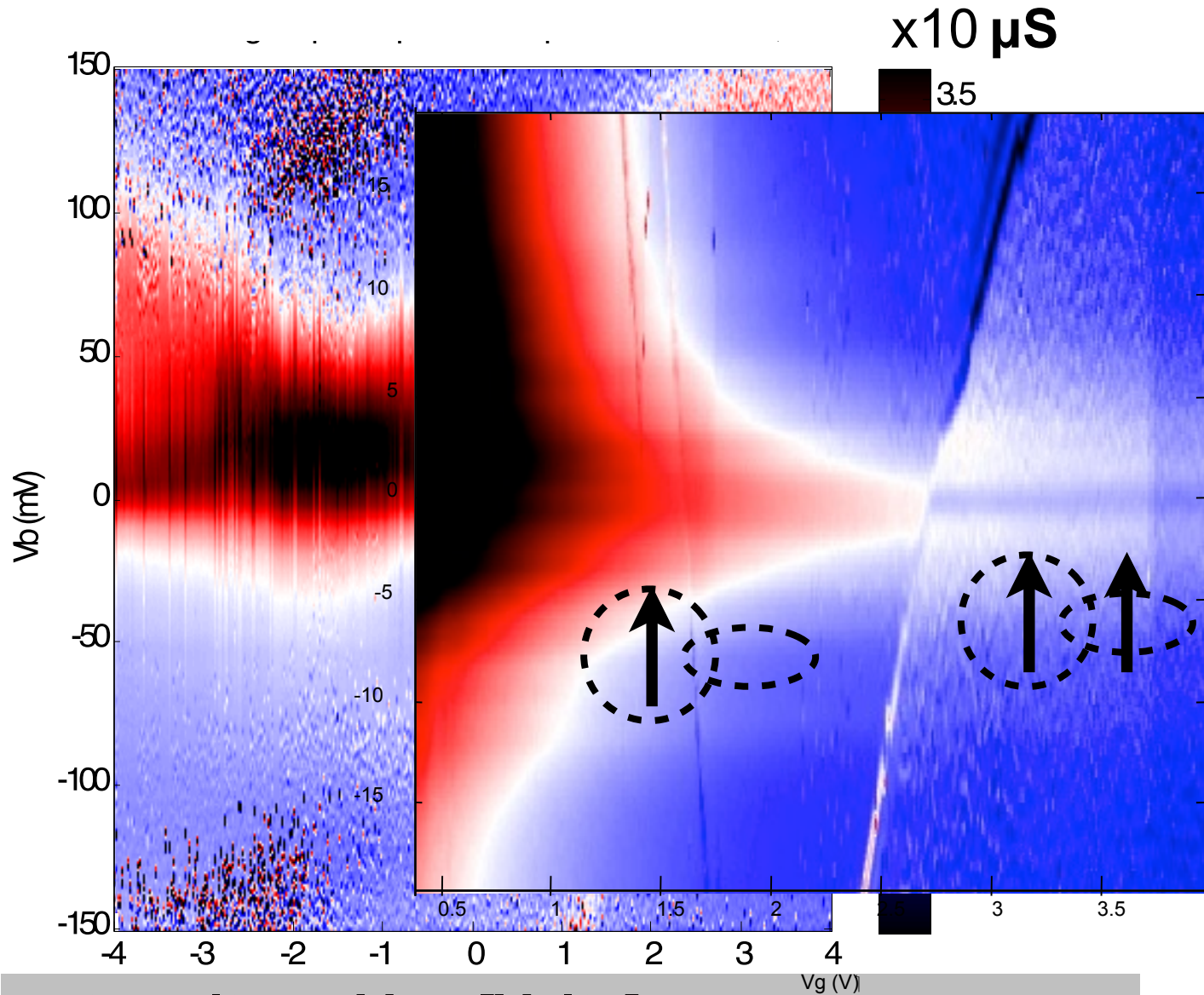
gate voltage V_{gate} [Volts] (controls particle number)



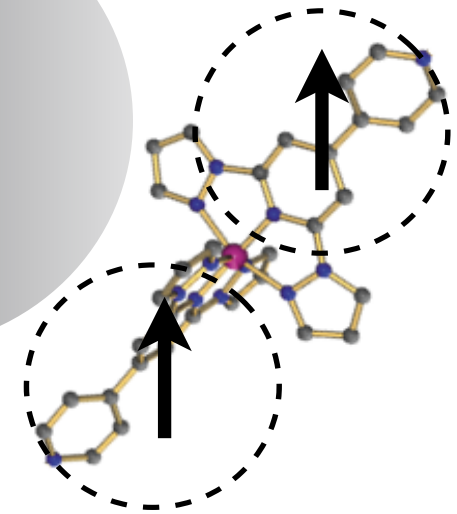
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



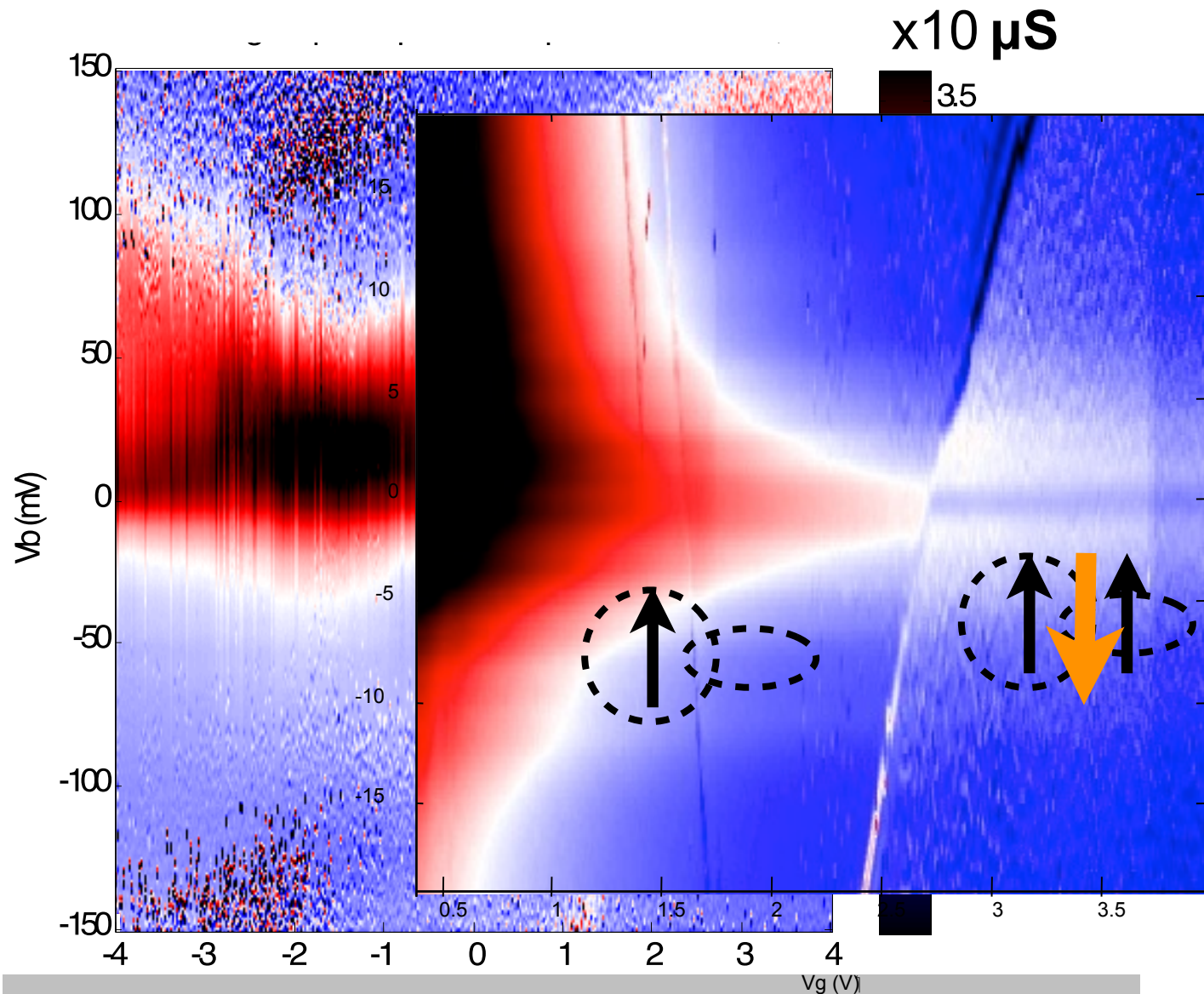
gate voltage V_{gate} [Volts] (controls particle number)



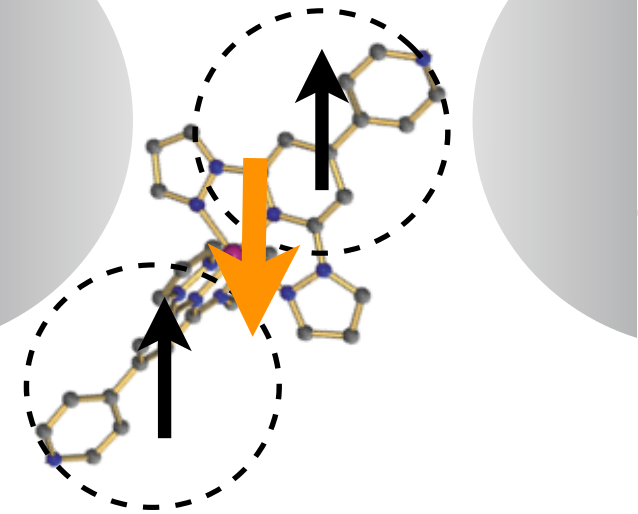
Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



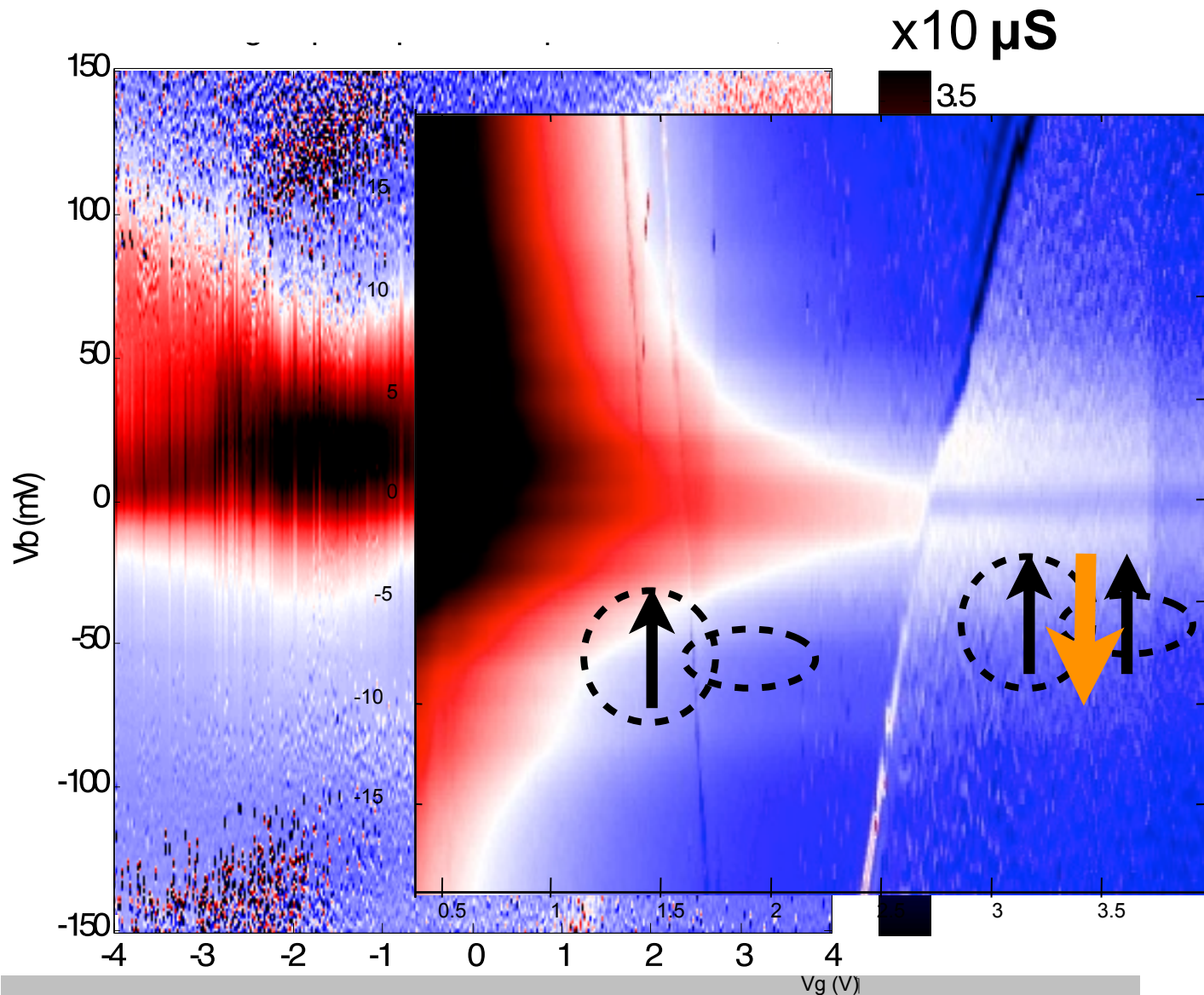
gate voltage V_{gate} [Volts] (controls particle number)



Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

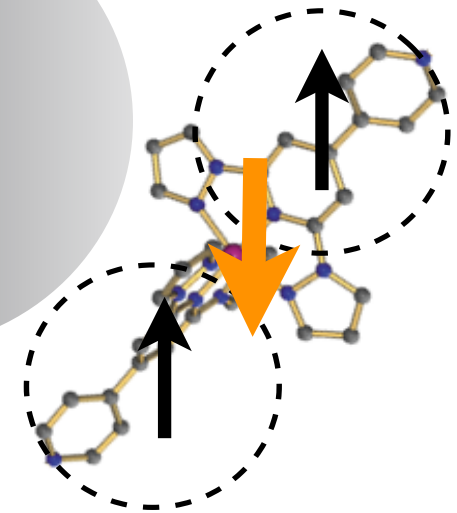
Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



gate voltage V_{gate} [Volts] (controls particle number)

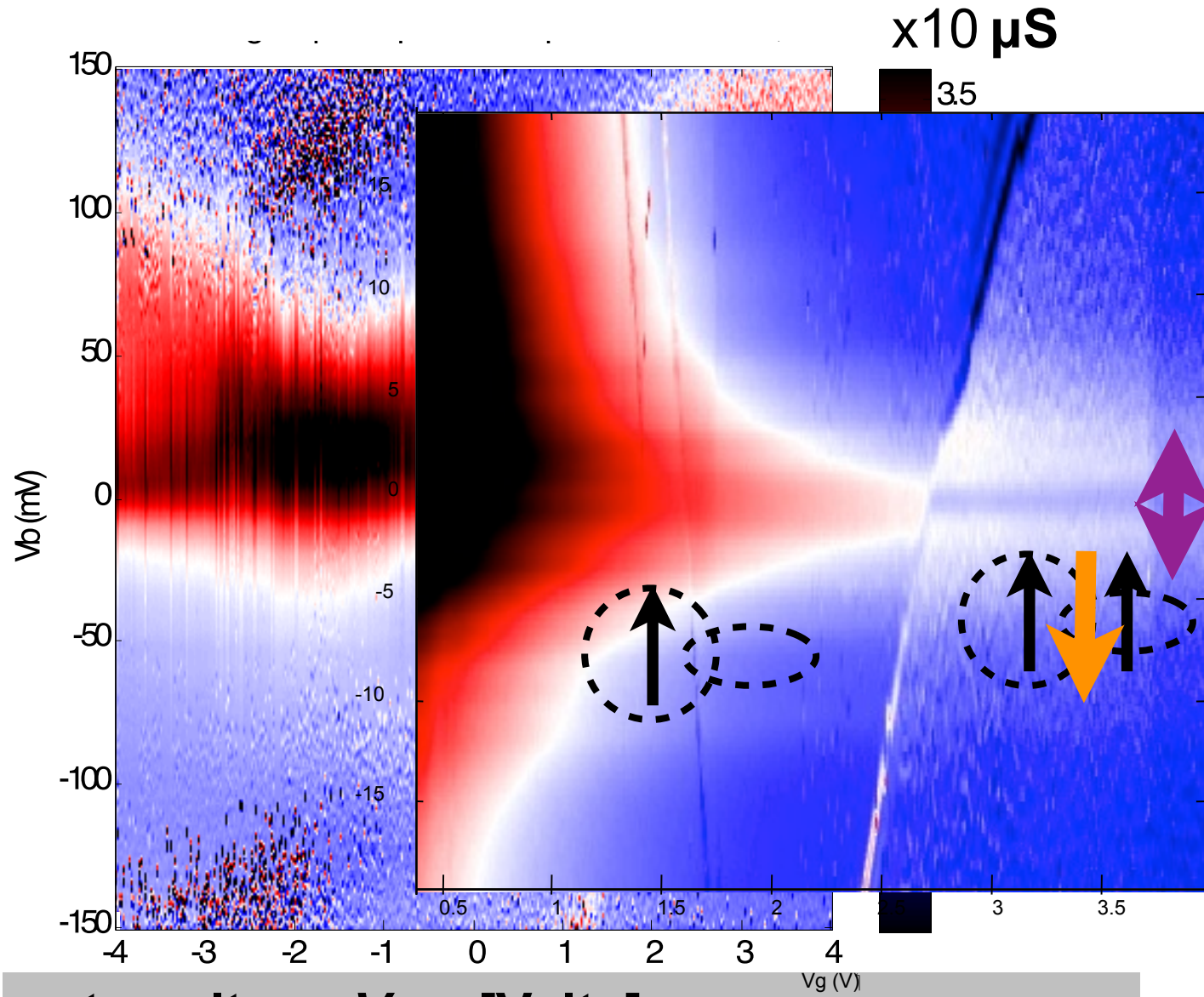
S=1 ground state



Split Kondo resonance in $[\text{Fe}(\text{bpp})_2]^{2+}$

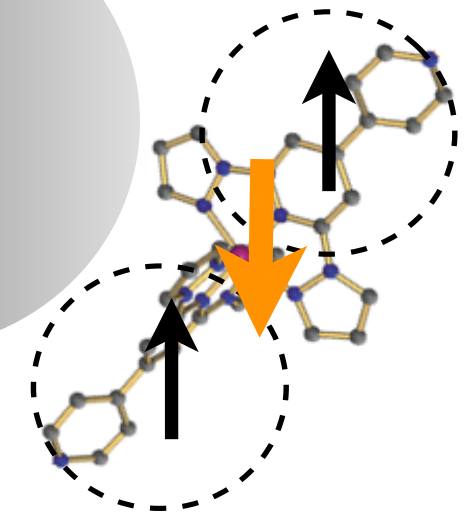
Control parameter: **gate voltage** (Delft, electro-migration method)

transport (bias) voltage



gate voltage V_{gate} [Volts] (controls particle number)

S=1 ground state



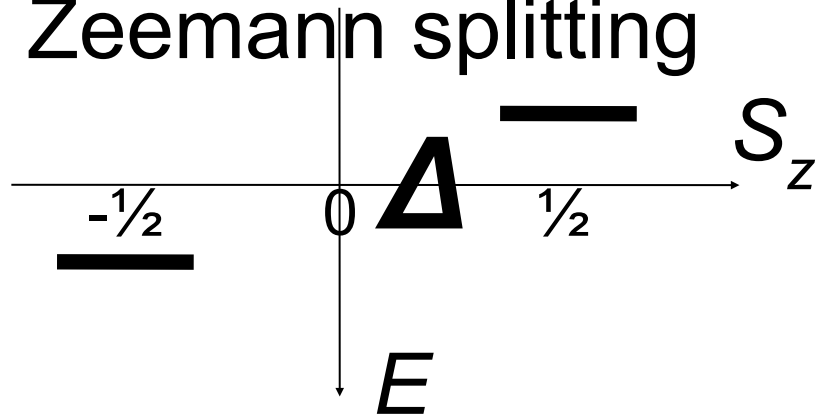
spin orbit induced splitting 1-3meV (CAS-SCF, K. Fink)

A. Bernand-Mantel et al. preprint 2010

Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

$S=1/2$ in B -field:
Zeemann splitting



Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

$S=1/2$ in B -field:
Zeemann splitting

$V_{bias} \ll \Delta$: Kondo destroyed

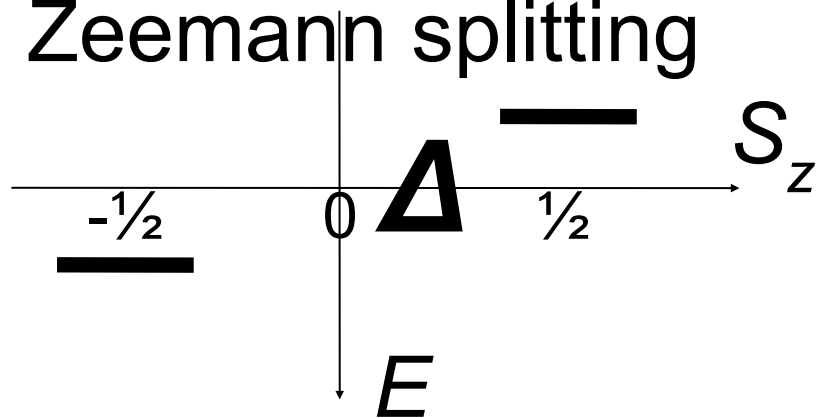


E

Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

$S=1/2$ in B -field:
Zeemann splitting



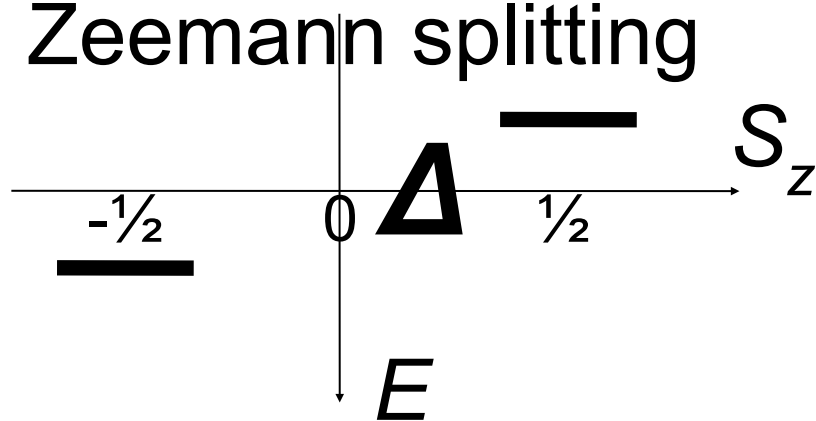
$$V_{bias} \approx \Delta \lesssim T_K$$

- symmetry is partially restored
- **Kondo resonance reappears**

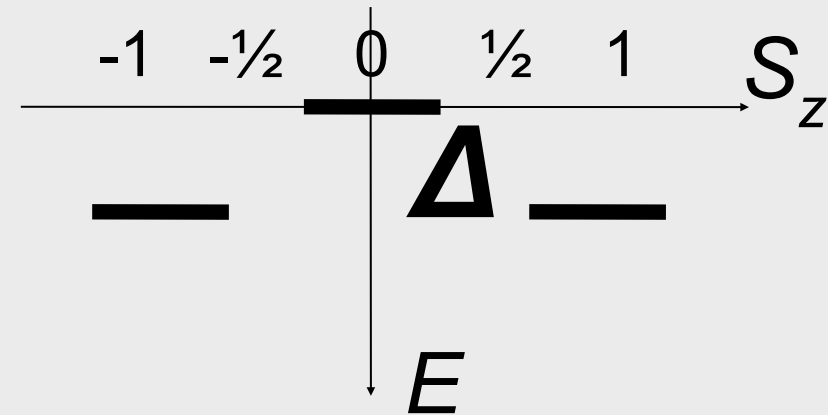
Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

$S=1/2$ in B -field:
Zeemann splitting



$S=1$ & spin orbit coupling



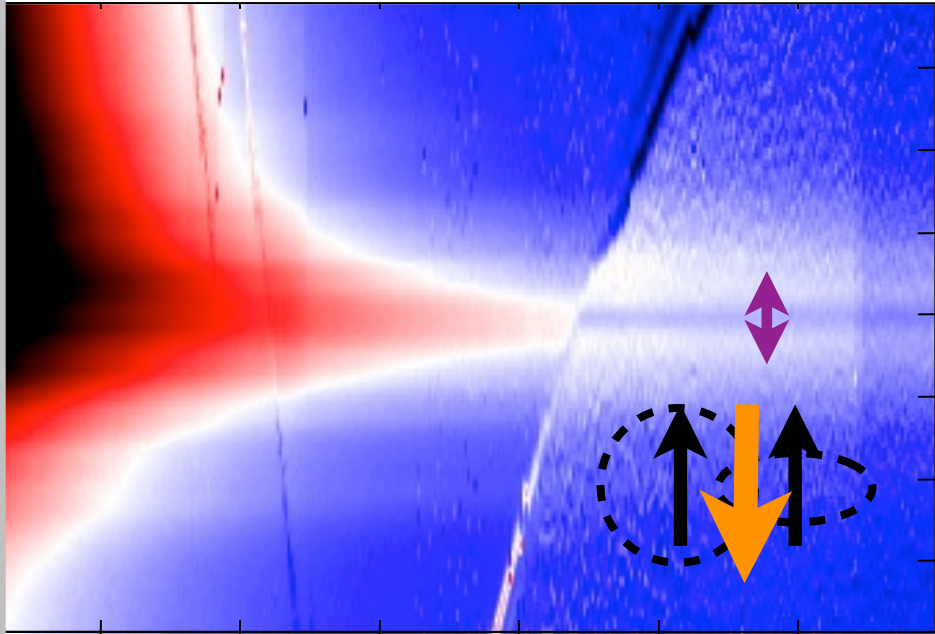
$$V_{bias} \approx \Delta \approx T_K$$

- symmetry is partially restored
- **Kondo resonance reappears**

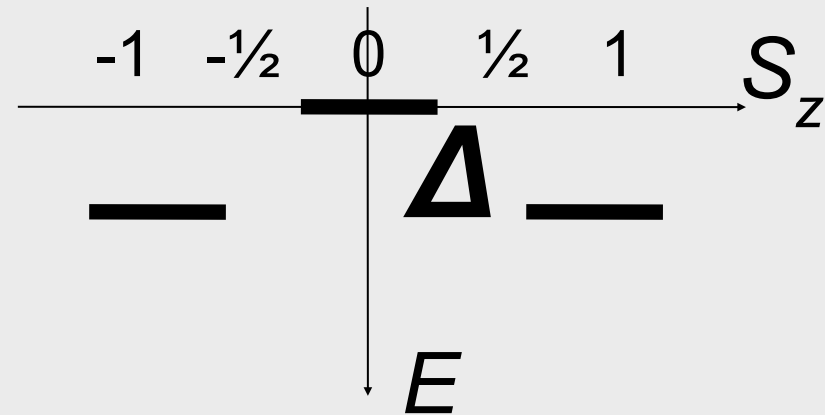
Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

transport voltage
 V_{bias}



$S=1$ & spin orbit coupling



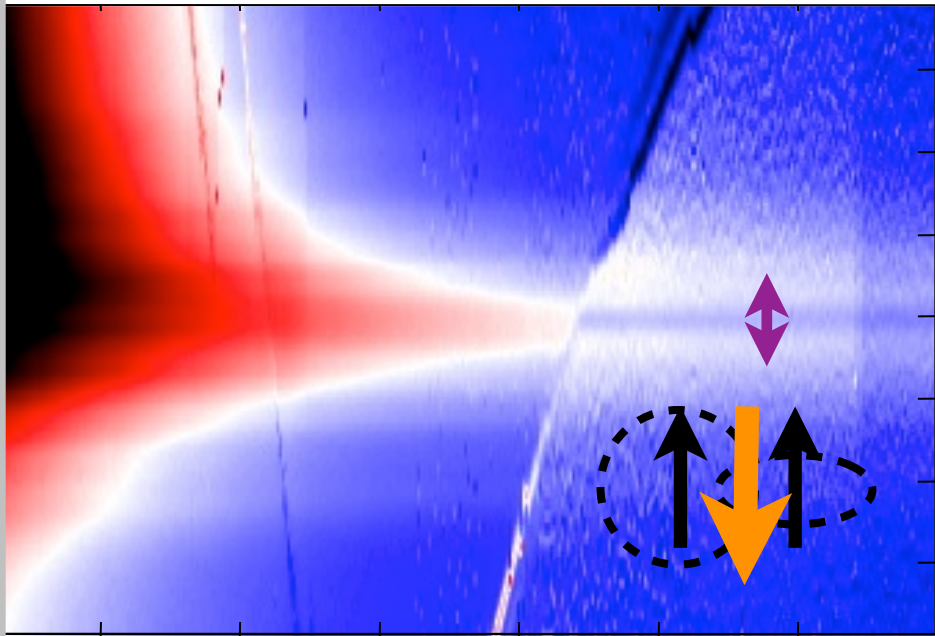
$$V_{bias} \approx \Delta \approx T_K$$

- symmetry is partially restored
- **Kondo resonance reappears**

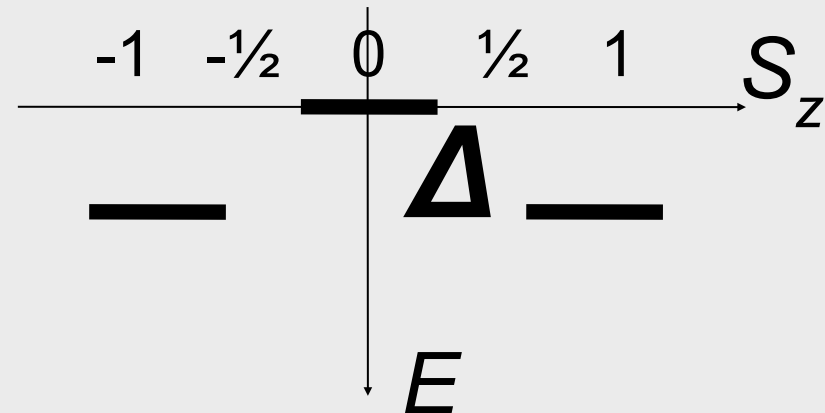
Splitting of the Kondo resonance

Non-equilibrium Kondo Effect

transport voltage
 V_{bias}



$S=1$ & spin orbit coupling



$$V_{bias} \approx \Delta \approx T_K$$

- symmetry is partially restored
- **Kondo resonance reappears**

→ transport peaks at

$$V_{bias} = \Delta$$

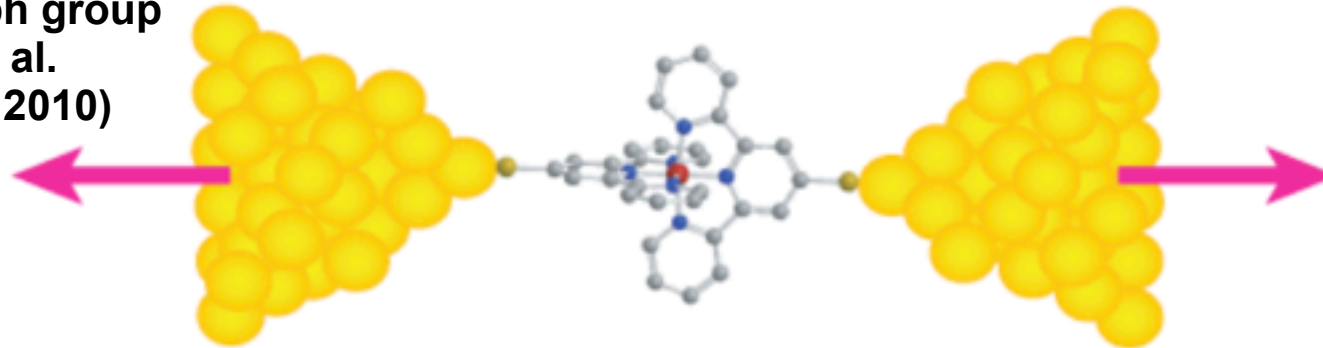
at any gate voltage

quantitative analysis: multi-determinant calculation (CAS-SCF) by K. Fink:
 $\Delta \approx 1-3 \text{ meV}$

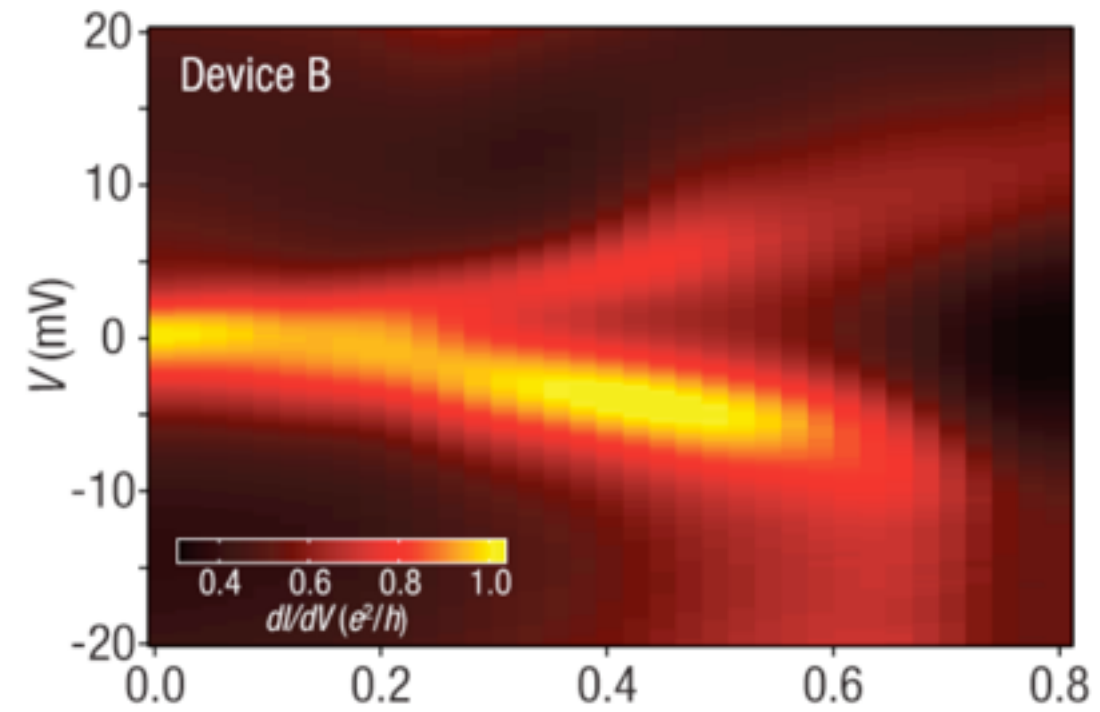
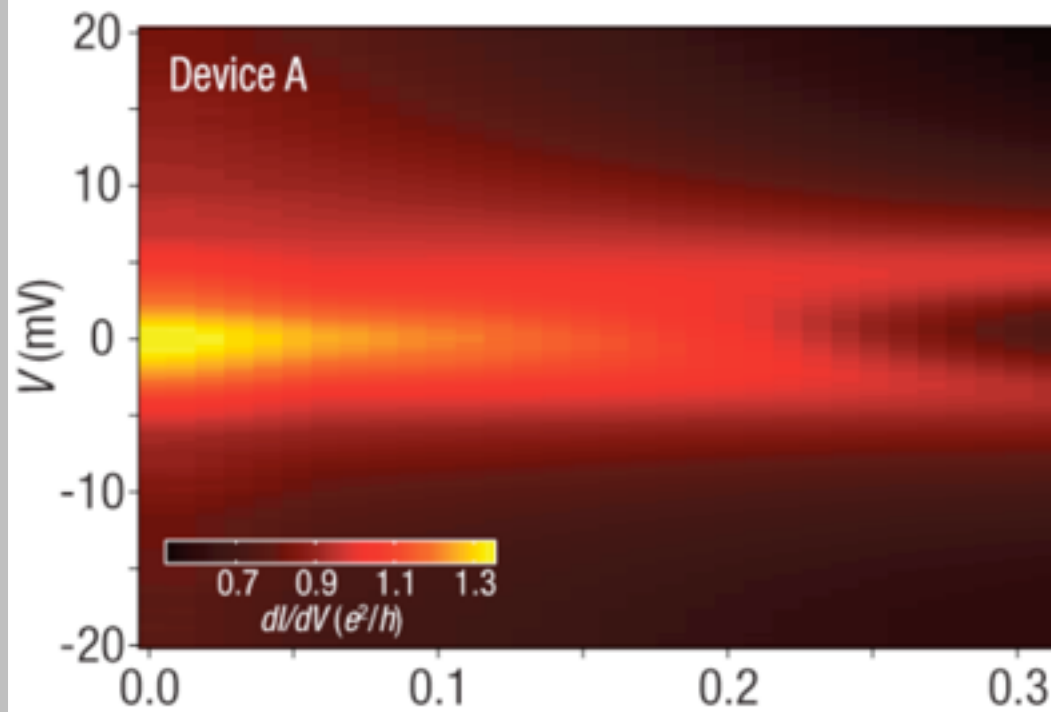
Extension: Split Kondo in $[\text{Co}(\text{tpy})_2]$

Control parameter: **strain**

Dan Ralph group
(Parks et al.
Science, 2010)



transport voltage

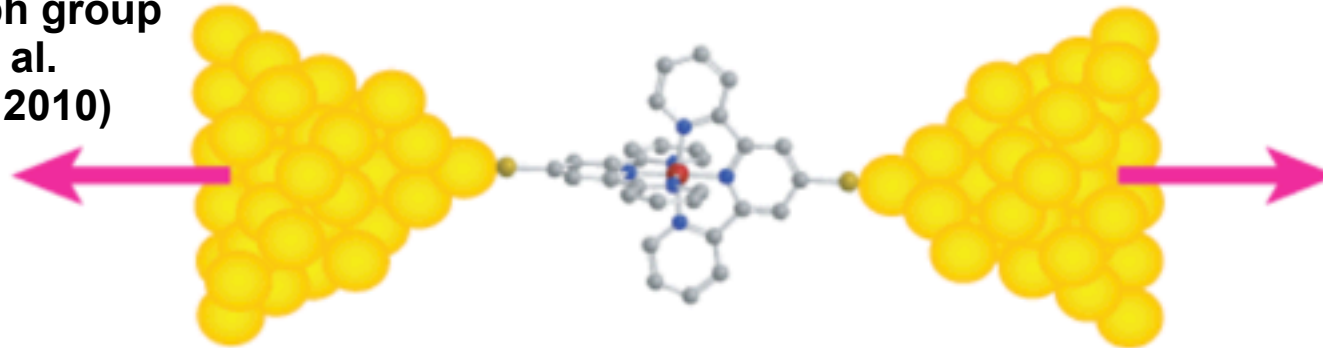


electrode spacing: x [Angstrom]

Extension: Split Kondo in $[\text{Co}(\text{tpy})_2]$

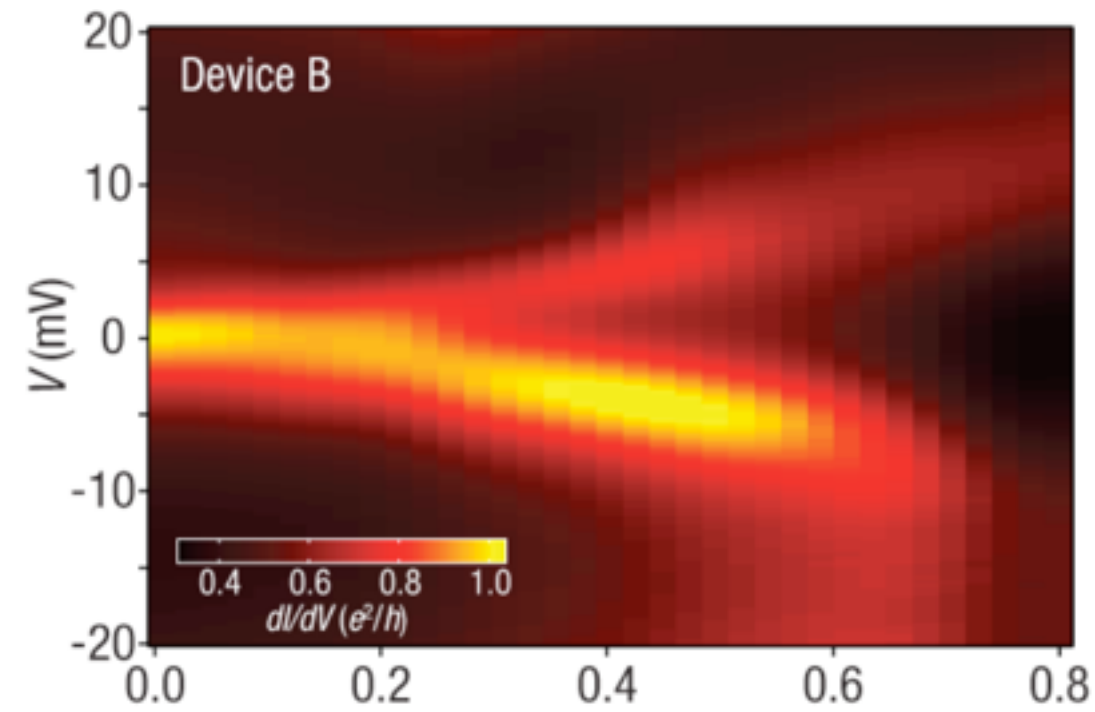
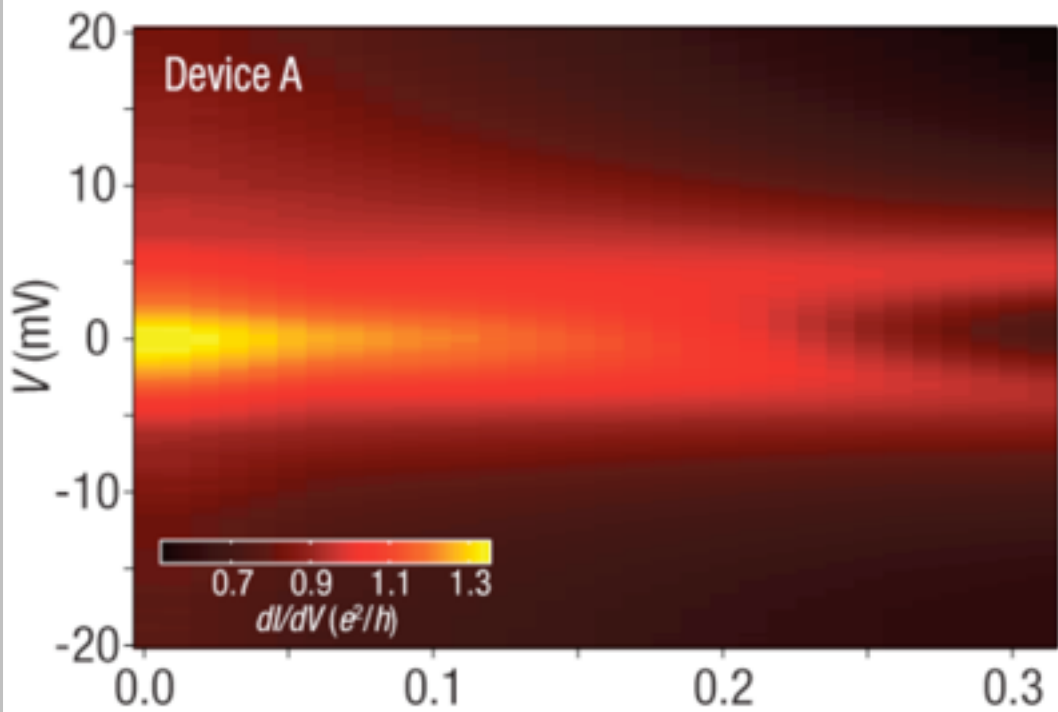
Control parameter: **strain**

Dan Ralph group
(Parks et al.
Science, 2010)

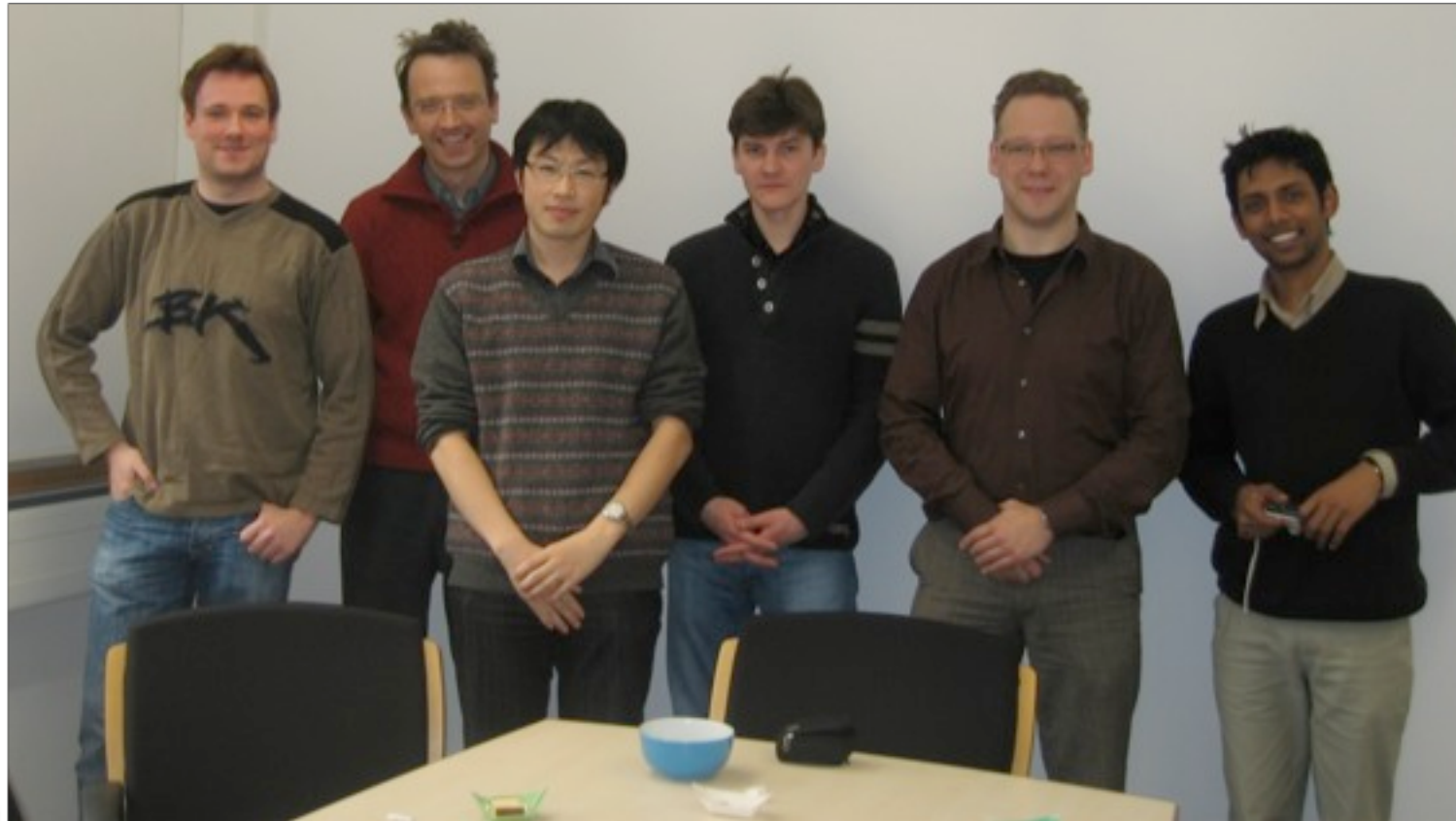


Scenario by authors:
 $S=1$ (Co^{1+} spin triplet ground state)
spin-orbit splitting $\Delta(x)$

transport voltage



electrode spacing: x [Angstrom]



**Collaborations for
presented works:**

(INT@KIT)

K. Fink,
M. Ruben,
P. Schmitteckert,
F. Weigend,
J. Weissmueller

(PI@KIT)

S. Schmauss,
W. Wulfhekel

(TU Delft)

A. Bernand-Mantell,
H. van der Zant

*Stephan Bernadotte, Hideaki Obuse (Kyoto), Alexei Bagrets, Michiel van Setten,
Soumya Bera*

&

Velimir Meded, Arindam Dasgupta, Christian Seiler